

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
 Stylesheet Version v1.2

EPAS ID: PAT3887969

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT OF INTELLECTUAL PROPERTY SECURITY AGREEMENT

CONVEYING PARTY DATA

Name	Execution Date
HEALTHCARE FINANCIAL SOLUTIONS, LLC, AS RETIRING AGENT	05/13/2016

RECEIVING PARTY DATA

Name:	SOLAR CAPITAL LTD., AS SUCCESSOR AGENT
Street Address:	500 PARK AVENUE, 3RD FLOOR
City:	NEW YORK
State/Country:	NEW YORK
Postal Code:	10022

PROPERTY NUMBERS Total: 33

Property Type	Number
Patent Number:	6619291
Patent Number:	6718985
Patent Number:	7037334
Patent Number:	7431726
Patent Number:	7166127
Patent Number:	8142493
Patent Number:	8202315
Patent Number:	8382829
Patent Number:	8460371
Patent Number:	8728097
Patent Number:	8864822
Patent Number:	8845723
Patent Number:	8911461
Application Number:	11174951
Application Number:	11685240
Application Number:	12557655
Application Number:	13158012
Application Number:	13282139
Application Number:	13312582
Application Number:	14138926

PATENT

Property Type	Number
Application Number:	14210967
Application Number:	14190732
Application Number:	14039779
Application Number:	14010950
Application Number:	13777042
Application Number:	12393604
Application Number:	12394528
Application Number:	14249928
Application Number:	13770652
PCT Number:	US1418720
PCT Number:	US1427411
PCT Number:	US1362282
PCT Number:	US1418722

CORRESPONDENCE DATA

Fax Number: (213)891-8763

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

Email: ipdocket@lw.com

Correspondent Name: LATHAM & WATKINS LLP

Address Line 1: 355 SOUTH GRAND AVENUE

Address Line 4: LOS ANGELES, CALIFORNIA 90071-1560

ATTORNEY DOCKET NUMBER: 054439-0016

NAME OF SUBMITTER: RHONDA DELEON

SIGNATURE: /Rhonda DeLeon/

DATE SIGNED: 05/24/2016

Total Attachments: 11

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**ASSIGNMENT OF INTELLECTUAL PROPERTY
SECURITY AGREEMENT**

This **ASSIGNMENT OF INTELLECTUAL PROPERTY SECURITY AGREEMENT** (this “**Assignment**”), dated as of May 13, 2016, is by **HEALTHCARE FINANCIAL SOLUTIONS, LLC**, (as the former administrative agent, the “**Retiring Agent**”) and **SOLAR CAPITAL LTD.**, (as the successor administrative agent together with its successors and assigns, the “**Successor Agent**”).

RECITALS:

WHEREAS, Mitralign, Inc., as “Grantor”, and Retiring Agent are parties to one or more intellectual property security agreements identified in Exhibit A attached hereto (as the same have been and may hereafter be amended, restated, supplemented or otherwise modified from time to time, collectively, the “**Agreements**”) pursuant to which Grantor granted a security interest in and to and lien upon the intellectual property identified in Exhibit B (the “**Subject IP**”); and

WHEREAS, pursuant to that certain Omnibus Agency Transfer Agreement by and between Retiring Agent and Successor Agent, Retiring Agent has assigned to Successor Agent all of its rights, remedies, duties and other obligations under, among other documents, the Agreements and the Subject IP, in each instance, in its capacity as administrative agent and collateral agent, as the case may be.

NOW, THEREFORE, in consideration of the foregoing, and for other good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, Retiring Agent hereby assigns and transfers to Successor Agent and its successors and assigns, all of its rights, title and interest in and to the Agreements.

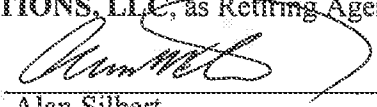
This Assignment may be executed in any number of counterparts, each of which when so executed shall be deemed an original and all of which taken together shall constitute one and the same instrument.

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IN WITNESS WHEREOF, Retiring Agent and Successor Agent have caused this Assignment to be duly executed as of the date first above written.

RETIRING AGENT:

**HEALTHCARE FINANCIAL
SOLUTIONS, LLC, as Retiring Agent**

By: 
Name: Alan Silbert
Title: Duly Authorized Signatory

SUCCESSOR AGENT:

**SOLAR CAPITAL LTD., as Successor
Agent**

By: _____
Name: _____
Title: Duly Authorized Signatory

IN WITNESS WHEREOF, Retiring Agent and Successor Agent have caused this Assignment to be duly executed as of the date first above written.

RETIRING AGENT:

**HEALTHCARE FINANCIAL
SOLUTIONS, LLC, as Retiring Agent**

By: _____
Name: _____
Title: Duly Authorized Signatory

SUCCESSOR AGENT:

**SOLAR CAPITAL LTD., as Successor
Agent**

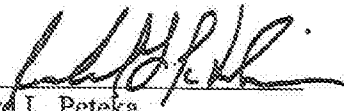
By: 
Name: Richard L. Peteka
Title: Duly Authorized Signatory

EXHIBIT A

Intellectual Property Security Agreements in favor of General Electric Capital Corporation

Intellectual Property Security Agreements	Recordation Details
Intellectual Property Security Agreement dated December 31, 2014	5434/ 0577 January 5, 2015 034635/ 0173 January 5, 2015

Assignments of Intellectual Property Security Agreements in favor of Healthcare Financial Solutions, LLC¹

Assignments of Intellectual Property Security Agreement	Recordation Details
Assignment of Intellectual Property Security Agreement dated November 17, 2015	5671/0264 November 18, 2015 37146/0250 November 18, 2015

¹ Pursuant to the Assignment of Intellectual Property Security Agreement, the security agreements in favor of General Electric Capital Corporation were assigned to Retiring Agent, Healthcare Financial Solutions, LLC.

Assignment of Intellectual Property Security Agreement

EXHIBIT B

Copyrights and Copyright Applications

None

Trademarks and Trademark Applications

Country	Mark	Registration Details		
		Number	Date	Renewal
US	MITRALIGN	3409603	08-Apr-08	08-Apr-18
US	MITRALOCK	85/697,946	15-Jan-13	
US	MITRALIGN Stylized New Logo	4,484,944	18-Feb-14	

US Patents

Patent #:	Issue Date:	Title:
6619291	16-Sep-03	METHOD AND APPARATUS FOR CATHETER-BASED ANNULOPLASTY
6718985	13-Apr-04	METHOD AND APPARATUS FOR CATHETER-BASED ANNULOPLASTY USING LOCAL PPLICATIONS
7037334	2-May-06	METHOD AND APPARATUS FOR CATHETER-BASED ANNULOPLASTY USING LOCAL PPLICATIONS
7431726	7-Oct-08	TISSUE FASTENING SYSTEMS AND METHODS UTILIZING MAGNETIC GUIDANCE
7166127	23-Jan-07	TISSUE FASTENING SYSTEMS AND METHODS UTILIZING MAGNETIC GUIDANCE
8142493	27-Mar-12	METHOD OF HEART VALVE REPAIR
8202315	19-Jun-12	CATHETER-BASED ANNULOPLASTY USING VENTRICULARLY POSITIONED CATHETER
8382829	26-Feb-13	METHOD TO REDUCE MITRAL REGURGITATION BY CINCHING THE COMMISSURE OF THE MITRAL VALVE
8460371	11-Jun-13	METHOD AND APPARATUS FOR PERFORMING CATHETER-BASED ANNULOPLASTY USING LOCAL PPLICATIONS
8728097	29-May-14	TISSUE PPLICATION DEVICES AND METHODS FOR THEIR USE
8864822	21-Oct-14	DEVICES AND METHODS FOR INTRODUCING ELEMENTS INTO TISSUE
8845733	30-Sep-14	SYSTEMS AND METHODS FOR INTRODUCING ELEMENTS INTO TISSUE
8911461	16-Dec-14	SUTURE CUTTER AND METHOD OF CUTTING SUTURES

U.S. Patent Applications

Title	Publication Date	Publication/Application No.	Status
METHOD AND APPARATUS FOR CATHETER BASED ANNULOPLASTY	29-Jan-04	US2004/0019378A1	ABANDONED
METHOD AND APPARATUS FOR PERFORMING CATHETER BASED ANNULOPLASTY USING LOCAL PPLICATIONS	28-May-2013 (Issued)	8,450,371	ISSUED 06/11/2013
TISSUE ANCHOR, ANCHORING SYSTEM AND METHODS OF USING THE SAME	11-Jan-07 1. 19-Mar-09	US2007-0010857A1 US2009-0076547	Notice of Allowance mailed 11/14/2014
TISSUE ANCHORS, SYSTEMS AND METHODS AND DEVICES	18-Sep-08 03-JUN-2014	US2008-0228265A1 20141202910007	ABANDONED
TISSUE PPLICATION DEVICE AND METHOD OF ITS USE	18-Mar-10	US2010-0070028A1	ALLOWED September 30, 2014
STEERABLE WIRE DELIVERY CATHETER WITH INTEGRATED ECHO VISUALIZATION	12-Apr-12	US2012-0089022A1	
SYSTEM AND METHOD FOR SECURING TISSUE	1. 03-May-12	US2012-0109155A1	Allowed September 25, 2014
STEERABLE GUIDE CATHETER HAVING PREFORMED CURVED SHAPE	41081	US20120158021	
ENERGY ASSISTED TISSUE PIERCING DEVICE AND METHOD OF USE THEREOF	07/03/2014	2014/0188108	
TRANSLATION CATHETERS, SYSTEMS, METHODS AND USE THEREOF	04/03/2014	20140094906	
METHOD AND DEVICES FOR PERCUTANEOUS TRICUSPID VALVE REPAIR	26-FEB-2014	US14/18720	
PPLICATION LOCK DELIVERY SYSTEM AND METHOD OF USE THEREOF	27-Sep-13	14/039779 – 2014/0094826 published	

Title	Publication Date	Publication/Application No.	Status
		on 04/03/2014	
TISSUE ANCHORS, SYSTEMS AND METHODS, AND DEVICES	3-Apr-14	US2014/009460 (CIP 11/685240)	
TISSUE ANCHOR AND ANCHORING SYSTEM	26-Feb-13	13/777042 20140243859 published on 08/28/2014	
METHOD OF PERCUTANEOUS MITRAL VALVE ANNULOPLASTY	26-FEB-2008 27-FEB-2008 Prov. Dates	12/393604	
DEVICE FOR PERCUTANEOUSLY DELIVERING A CARDIAC IMPLANT THROUGH THE APPLICATION OF DIRECT ACTUATION FORCES EXTERNAL TO THE BODY	27-FEB-2008 25-MAY-2007	12/394528	
TISSUE PPLICATION DEVICES AND METHODS FOR THEIR USE (CIP 12/393608)	10-Apr-14	14/249928 -- 20140303720 -- published on 04/10/2014	
SYSTEMS AND METHODS FOR INTRODUCING ELEMENTS INTO TISSUE - DIVISIONAL	18-Aug-14		
HAND OPERATED DEVICE FOR CONTROLLED DEPLOYMENT OF A TISSUE ANCHOR AND METHOD OF USING SAME	26-Oct-11	2012/0109155A1	ALLOWED September 25, 2014
METHOD TO REDUCE MITRAL REGURGITATION BY CINCHING THE COMMISSURE OF THE MITRAL VALVE	25-July-13	2013/0190863	
TRANSLATION CATHETERS, SYSTEMS, METHODS AND USE THEREOF	09/18/2014	US2014/0275757A1 (14/210,967)	
METHOD AND DEVICES FOR PERCUTANEOUS TRICUSPID VALVE REPAIR	08/28/2014	US2014/0243894A1 (14/190,732)	

PCT Patent Applications

Title	Publication Date	Publication/Application No.
TISSUE ANCHOR, ANCHORING SYSTEM AND METHODS OF USING THE SAME	19-Mar-08	EP1898802
TRANSLATION CATHETERS, SYSTEMS, METHODS AND USE THEREOF	15-MAR-2014	US14/27431
I METHOD AND DEVICES FOR PERCUTANEOUS TRICUSPID VALVE REPAIR	26-Feb-14	US14/18720
PLICATION LOCK DELIVERY SYSTEM AND METHOD OF USE THEREOF	27-Sep-13	US13/62282
TISSUE ANCHOR AND ANCHORING SYSTEM	26-Feb-14	US14/18722

Foreign Patents and Foreign Patent Applications

Country	Patent #	Issue Date	Title
JP	4282983	27-Mar-08	METHOD AND APPARATUS FOR CATHETER-BASED ANNULOPLASTY
JP	4282984	27-Mar-08	METHOD AND APPARATUS FOR CATHETER-BASED ANNULOPLASTY
WO			METHOD AND APPARATUS FOR PERFORMING CATHETER-BASED ANNULOPLASTY
AU		12-Apr-07	
WO			METHOD AND APPARATUS FOR CATHETER-BASED ANNULOPLASTY USING LOCAL PPLICATIONS
AU		5-Jun-07	
WO			METHOD AND APPARATUS FOR PERFORMING CATHETER-BASED ANNULOPLASTY USING LOCAL PPLICATIONS
NZ		14-Aug-08	