

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
 Stylesheet Version v1.2

EPAS ID: PAT3903678

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
JAMES BRADFORD HOLMES	05/08/2014
JAMES ROBERT HOLLIS	05/09/2014
DAVID RANDOLPH SPRACHER	05/09/2014
WAYNE DAVID SULLIVAN	05/08/2014
RECEIVING PARTY DATA	
Name:	General Electric Company
Street Address:	1 River Road
City:	Schenectady
State/Country:	NEW YORK
Postal Code:	12345
PROPERTY NUMBERS Total: 1	
Property Type	Number
Application Number:	15171554
CORRESPONDENCE DATA	
Fax Number:	(518)449-0047
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
Phone:	518-449-0044
Email:	ptocommunications@hoffmanwarnick.com, sadonnino@hoffmanwarnick.com
Correspondent Name:	HOFFMAN WARNICK LLC
Address Line 1:	540 BROADWAY
Address Line 2:	4TH FLOOR
Address Line 4:	ALBANY, NEW YORK 12207
ATTORNEY DOCKET NUMBER:	272029-3
NAME OF SUBMITTER:	MATTHEW B. PINCKNEY
SIGNATURE:	/Matthew B. Pinckney/
DATE SIGNED:	06/06/2016
This document serves as an Oath/Declaration (37 CFR 1.63).	
Total Attachments: 6	

source=272029-3_US_SignedDeclarationAssignment_02JUN2016#page1.tif
source=272029-3_US_SignedDeclarationAssignment_02JUN2016#page2.tif
source=272029-3_US_SignedDeclarationAssignment_02JUN2016#page3.tif
source=272029-3_US_SignedDeclarationAssignment_02JUN2016#page4.tif
source=272029-3_US_SignedDeclarationAssignment_02JUN2016#page5.tif
source=272029-3_US_SignedDeclarationAssignment_02JUN2016#page6.tif

**COMBINED DECLARATION AND GLOBAL ASSIGNMENT
FOR UTILITY OR DESIGN PATENT APPLICATION**

As the below named inventor(s), I/we hereby declare that:

This declaration and assignment are directed to:

**TURBOMACHINE BUCKET DISPLACEMENT APPARATUS AND
METHOD OF USE**

- ☐ the specification of which is attached hereto OR
- ☒ United States application or PCT international application number
14/277,222 filed on May 14, 2014, as
amended on [date] (if applicable).
(We hereby authorize and request the Company or its delegated attorneys or agents to insert
above the application number and filing date of the application when known.)

The above-identified application was made or authorized to be made by us.

We believe that we are original joint inventors of a claimed invention in the application.

We hereby acknowledge that any willful false statement made in this declaration is punishable under 18 USC § 1001 by fine or imprisonment of not more than five (5) years, or both.

We have reviewed and understand the contents of the above-identified application, including the claims, as amended by any amendment referred to above.

We acknowledge the duty to disclose information which is material to the patentability of this application as defined in 37 CFR § 1.56, including, for continuation-in-part applications, material information which became available between the filing date of the prior application and the filing date of the continuation-in-part application.

In accordance with our obligation(s) under an Employee Innovation and Proprietary Information Agreement or (as applicable) arising out of other agreements (such as, but not limited to, the Services Agreement between General Electric Company, a New York corporation, and our employers), and/or for other good and valuable consideration of which we acknowledge receipt, I/we,

Inventor First Name	Inventor Middle Name	Inventor Last Name		Residence Town	Residence State	Residence Country
James	Bradford	Holmes	of	Fountain Inn	South Carolina	United States
James	Robert	Hollis	of	Greenville	South Carolina	United States
David	Randolph	Spracher	of	Simpsonville	South Carolina	United States

Wayne	David	Sullivan	of	Wilmington	North Carolina	United States
-------	-------	----------	----	------------	----------------	---------------

hereby sell and assign to:

Name of Company: <i>General Electric Company</i>
Entity Type (optional): <i>New York corporation</i>
Address: <i>1 River Road, Schenectady, New York 12345 USA</i>

herein referred to as "Company", its successors and assigns our entire respective rights, titles and interests in and to the invention and improvements made or conceived by us described in the application(s) listed above and in the following table:

Country of Filing	Type of Filing	Application Number	Filing Date	Title

(We hereby authorize and request the Company or its delegated attorneys or agents to insert above the application number and filing date of the application(s) when known.)

and any and all applications for patent and patents therefrom in any and all countries, including all divisions, continuations, continuations-in-part, reexaminations, renewals, and reissues thereof, and all rights of priority resulting from the filing of the application(s), and authorize and request any official whose duty it is to issue patents, to issue any patent on the inventions and improvements resulting therefrom to the Company, or its successors or assigns, and agree that on request and without further consideration, we will communicate to the Company or its representatives or nominees any facts known to us respecting the inventions and improvements and testify in any legal proceeding, make all rightful oaths, sign all lawful papers and execute all instruments or documents required or requested for the making and prosecution of any applications of any type for patent, utility model, or other similar rights in all countries including, but not limited to, any provisional, non-provisional, continuation, continuation-in-part, divisional, renewal or substitute thereof, for any derivation proceedings relating thereto, and, as to any patents that issue from such applications, for any supplemental examination, derivation proceeding, opposition, post grant review, reissue, re-examination, inter partes review, or extension thereof, and generally do everything possible to aid the Company, its successors, assigns, and nominees to obtain and enforce proper patent protection for the invention and its improvements in all countries.

Remuneration claims of the inventor(s)/assignor(s) potentially arising from German Law on Employee Inventions, or any other respective country law, remain unaffected by this assignment.

INVENTOR 1

Signature: James Bradford Holmes
James Bradford Holmes

Date: 5/8/2014

Witnessed by: [Signature]
Signature

Date: 5-8-2014

DANIEL CAPRIOTTI
Printed Name of Witness

Witnessed by: [Signature]
Signature

Date: 5-8-2014

ROBERT HUTT
Printed Name of Witness

SECTION BELOW IS FOR USE ONLY IF SIGNING IN PRESENCE OF A NOTARY

James Bradford Holmes

Date: _____

STATE OF _____
COUNTY OF _____

ss.

This _____ day of _____, _____ before me personally came the above-named **James Bradford Holmes**, to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he/she executed the same of his/her own free will for the purposes therein set forth.

Seal

(Notary Public)

INVENTOR 2

Signature: James Robert Hollis Date: 5/9/14
James Robert Hollis

Witnessed by: Cathy Dickerson Date: 5/9/14
Signature

Cathy Dickerson
Printed Name of Witness

Witnessed by: Dawn M. Dewey Date: 5/9/14
Signature

Dawn M. Dewey
Printed Name of Witness

SECTION BELOW IS FOR USE ONLY IF SIGNING IN PRESENCE OF A NOTARY

James Robert Hollis Date: _____

STATE OF

ss.

COUNTY OF

This _____ day of _____, _____ before me personally came the above-named **James Robert Hollis**, to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he/she executed the same of his/her own free will for the purposes therein set forth.

Seal

(Notary Public)

INVENTOR 3


Signature: 
David Randolph Spracher

Date: 5-9-14

Witnessed by: 
Signature

Date: 5/9/14

CHRISTOPHER M. PENNY
Printed Name of Witness

Witnessed by: 
Signature

Date: 5/9/2014

KENN BRUCE
Printed Name of Witness

SECTION BELOW IS FOR USE ONLY IF SIGNING IN PRESENCE OF A NOTARY

David Randolph Spracher

Date: _____

STATE OF

ss.

COUNTY OF

This _____ day of _____, _____ before me personally came the above-named **David Randolph Spracher**, to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he/she executed the same of his/her own free will for the purposes therein set forth.

Seal

(Notary Public)

GE Docket No. 272029-1

INVENTOR 4

Signature: Wayne David Sullivan
Wayne David Sullivan

Date: 5-8-2014

Witnessed by: Joshua Oelen
Signature

Date: 5-8-2014

Joshua Oelen
Printed Name of Witness

Witnessed by: Robert Huth
Signature

Date: 5-8-2014

ROBERT HUTH
Printed Name of Witness

SECTION BELOW IS FOR USE ONLY IF SIGNING IN PRESENCE OF A NOTARY

Wayne David Sullivan

Date: _____

STATE OF

ss.

COUNTY OF

This _____ day of _____, _____ before me personally came the above-named **Wayne David Sullivan**, to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he/she executed the same of his/her own free will for the purposes therein set forth.

Seal

(Notary Public)