

## PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1  
 Stylesheet Version v1.2

EPAS ID: PAT3906911

<b>SUBMISSION TYPE:</b>	NEW ASSIGNMENT	
<b>NATURE OF CONVEYANCE:</b>	ASSIGNMENT	
<b>CONVEYING PARTY DATA</b>		
<b>Name</b>		<b>Execution Date</b>
THYNC, INC.		03/17/2016
<b>RECEIVING PARTY DATA</b>		
<b>Name:</b>	ABC-THY, LLC	
<b>Street Address:</b>	19200 STEVENS CREEK BLVD.	
<b>City:</b>	CUPERTINO	
<b>State/Country:</b>	CALIFORNIA	
<b>Postal Code:</b>	95014	
<b>PROPERTY NUMBERS Total: 18</b>		
<b>Property Type</b>	<b>Number</b>	
Application Number:	14091121	
Application Number:	14558604	
Application Number:	14769727	
Application Number:	14320443	
Application Number:	14320461	
Application Number:	14639015	
Application Number:	14956193	
Application Number:	14634551	
Application Number:	14634664	
Application Number:	14634661	
Application Number:	29508490	
Application Number:	29513764	
Application Number:	29517629	
Application Number:	29527368	
Application Number:	14715461	
Application Number:	14715470	
Application Number:	14715476	
Application Number:	14715483	
<b>CORRESPONDENCE DATA</b>		

**Fax Number:** (650)212-7562

***Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.***

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**Email:** info@shayglenn.com, mbuggie@shayglenn.com

**Correspondent Name:** SHAY GLENN LLP

**Address Line 1:** 2755 CAMPUS DRIVE, SUITE 210

**Address Line 4:** SAN MATEO, CALIFORNIA 94403

<b>ATTORNEY DOCKET NUMBER:</b>	14146 ET AL.
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<b>NAME OF SUBMITTER:</b>	MARY BUGGIE
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<b>SIGNATURE:</b>	/Richard D. Shoop, Reg. No. 45,763/
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<b>DATE SIGNED:</b>	06/07/2016
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**Total Attachments: 11**

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RECORDATION FORM COVER SHEET  
PATENTS ONLYU.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE

TO THE HONORABLE DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE. PLEASE RECORD THE ATTACHED ORIGINAL DOCUMENTS OR COPY THEREOF.

1. Name of conveying party(ies):

(1) THYNC, INC. (03/17/2016)

Additional name(s) of conveying party(ies) attached?

☐ Yes ☒ No

2. Name and address of receiving party(ies):

Name: ABC-THY, LLC  
19200 STEVENS CREEK, BLVD.  
CUPERTINO, CA 95014

3. Nature of Conveyance:

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other

Name and address of receiving party(ies):

Name:

Street Address:

City: State: Zip:

Country:

Additional name(s) &amp; address(es) attached?

☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s) – See attached

Title:

B. Patent No.(s) – See attached

Additional numbers attached?

☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Richard D. Shoop  
Shay Glenn LLP  
2755 Campus Drive, Suite 210  
San Mateo, CA 94403

6. Total number of applications and patents involved: 18

7. Total fee (37 CFR 3.41): \$0.00

☒ Charge any required fees to Deposit Account 50-4050.

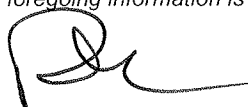
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8. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*

Richard D. Shoop, Reg. No. 45,763

Name of Person Signing



Signature

June 7, 2016

Date

Total number of pages including cover sheet, attachments, and documents: 11

**RECORDATION FORM COVER SHEET  
PATENTS ONLY**

TO THE HONORABLE DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE. PLEASE RECORD THE ATTACHED ORIGINAL DOCUMENTS OR COPY THEREOF.

4. Application number(s) or patent number(s):

ATTY NO.	TITLE	APP. NO.	APP. DATE	PUB. NO.	PUB. DATE	PAT. NO.	ISSUE DATE
1	14146-712.200 WEARABLE TRANSDERMAL ELECTRICAL STIMULATION DEVICES AND METHODS OF USING THEM	14/091,121	11/26/2013	US-2014-0148872-A1	5/29/2014	8,903,494	2/11/2015
2	14146-712.500 WEARABLE TRANSDERMAL ELECTRICAL STIMULATION DEVICES AND METHODS OF USING THEM	14/558,604	12/2/2014	US-2015-0088224-A1	3/26/2015		
3	14146-714.US0 METHODS AND APPARATUSES FOR NETWORKING NEUROMODULATION OF A GROUP OF INDIVIDUALS	14/769,727	8/21/2015	US-2016-0008632-A1	1/14/2016		
4	14146-719.200 TRANSDERMAL ELECTRICAL STIMULATION METHODS FOR MODIFYING OR INDUCING COGNITIVE STATE	14/320,443	6/30/2014	US-2015-0005840-A1	1/1/2015	9,014,811	4/21/2015
5	14146-719.201 TRANSDERMAL ELECTRICAL STIMULATION DEVICES FOR MODIFYING OR INDUCING COGNITIVE STATE	14/320,461	6/30/2014	US-2015-0005841-A1	1/1/2015	9,002,458	4/7/2015
6	14146-719.301 TRANSDERMAL ELECTRICAL STIMULATION DEVICES FOR MODIFYING OR INDUCING COGNITIVE STATE	14/639,015	3/4/2015	US-2015-0174403-A1	6/25/2015	9,233,244	1/12/2016
7	14146-719.302 TRANSDERMAL ELECTRICAL STIMULATION DEVICES FOR MODIFYING OR INDUCING COGNITIVE STATE	14/956,193	12/1/2015				
8	14146-726.200 METHODS FOR USER CONTROL OF NEUROSTIMULATION TO MODIFY A COGNITIVE STATE	14/634,551	2/27/2015	US-2015-0238762-A1	8/27/2015		
9	14146-734.200 CANTILEVER ELECTRODES FOR TRANSDERMAL AND TRANSCRANIAL STIMULATION	14/634,664	2/27/2015	US-2015-0035877-A1	11/26/2015		
10	14146-734.201 METHODS FOR ATTACHING AND WEARING A NEUROSTIMULATOR ELECTRODE ASSEMBLY FOR TRANSDERMAL AND TRANSCRANIAL STIMULATION	14/634,661	2/27/2015	US-2015-0335876-A1	11/26/2015	9,333,334	5/10/2016
11	14146-801.200	29/508,490	11/6/2014				

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4. Application number(s) or patent number(s):

ATTY NO.	TITLE	APP. NO.	APP. DATE	PUB. NO.	PUB. DATE	PAT. NO.	ISSUE DATE
12 14146-801.201	ELECTRODE ASSEMBLY FOR TRANSDERMAL AND TRANSCRANIAL STIMULATION	29/513,764	1/5/2015				
13 14146-801.202	ELECTRODE ASSEMBLY FOR TRANSDERMAL AND TRANSCRANIAL STIMULATION	29/517,629	2/13/2015				
14 14146-801.203	ELECTRODE ASSEMBLY FOR TRANSDERMAL AND TRANSCRANIAL STIMULATION	29/527,368	5/18/2015				
15 14146-739.200	WEARABLE TRANSDERMAL NEUROSTIMULATOR HAVING CANTILEVERED ATTACHMENT	14/715,461	5/18/2015	US-2015-0335875-A1	11/26/2015		
16 14146-739.201	TRANSDERMAL NEUROSTIMULATOR ADAPTED TO REDUCE CAPACITIVE BUILD-UP	14/715,470	5/18/2015	US-2015-0335888-A1	11/26/2015		
17 14146-740.200	METHODS AND APPARATUSES FOR AMPLITUDE-MODULATED ENSEMBLE WAVEFORMS FOR NEUROSTIMULATION	14/715,476	5/18/2015	US-2015-0328461-A1	11/20/2015		
18 14146-740.201	METHODS AND APPARATUSES FOR CONTROL OF A WEARABLE TRANSDERMAL NEUROSTIMULATOR TO APPLY ENSEMBLE WAVEFORMS	14/715,483	5/18/2015	US-2015-0328467-A1	11/19/2015		

## GENERAL ASSIGNMENT

This General Assignment ("Assignment") is made this 17<sup>th</sup> day of March, 2015, by and between **Thync, Inc.** a Delaware corporation ("Assignor") and **ABC-THY, LLC**, a California limited liability company ("Assignee"), with reference to the following:

### Recitals

- A. Assignor has its principal place of business at 140 W Main St, Suite 2, Los Gatos, California 95030, and its federal tax identification number is 45-2959582;
- B. Assignor is indebted to diverse creditors and is desirous of providing for payment of those creditors by making a general assignment of all of Assignor's assets for that purpose;
- C. Assignee has its principal place of business in Cupertino, California; and
- D. This General Assignment has been approved by Assignor's Board of Directors and by the requisite vote of stockholders and shall not be effective until accepted by Assignee.

### Agreement

NOW, THEREFORE, Assignor, for valuable consideration, receipt of which is hereby acknowledged, does hereby make the following general assignment for the benefit of Assignor's creditors to Assignee under the following terms and conditions, all of which terms and conditions are agreed to by Assignor and Assignee:

1. Assignment of Assets. Subject to Sections 1.1 and 1.2, Assignor does hereby grant, assign, bargain, sell and transfer to Assignee, its successors and assigns, in trust, for the benefit of all the Assignor's creditors generally, all of the property and assets of Assignor of every kind and nature wherever situated, whether in possession, reversion, remainder or expectancy, both real and personal, and any interest or equity therein not exempt from the enforcement of a money judgment, including, without limitation, all inventory, merchandise, goods, furniture, fixtures, machinery, equipment, raw materials, work in process, accounts, general intangibles, intellectual property, deposits, books, records, fixtures, cash on hand, bank accounts, tax refunds, all choses in action, insurance policies and refunds and all other property of every kind and nature owned by Assignor, or in which Assignor has an interest (the "Assignment Estate").

1.1 Lease Exclusion. Leases and leasehold interests in real property are not included in the Assignment Estate; provided, that, if the Assignee determines that such excluded lease or leasehold interest may be assigned and also that the same has realizable value for Assignor's creditors, then such excluded lease or leasehold interest shall be deemed to be included in the Assignment Estate and Assignor shall, upon demand of Assignee, assign and transfer such lease or leasehold interest to Assignee, or its nominee, for administration under the terms of this Assignment.

1.2 Employee Benefit Plan Exclusion. Employee benefit plans (which includes any related employee trust fund), including, without limitation, any ERISA- qualified plan or other similar employee plan, are not included in the Assignment Estate. Assignee shall not be or deemed to be an administrator under any such employee benefit plan nor shall Assignee have any role in, or responsibility for, the termination of any employee benefit plan of Assignor and/or its employees.

2. Real Property Grant Deed. This Assignment constitutes a grant deed to all real property owned by Assignor (except for real property leases and leasehold interests which are expressly excepted from the Assignment Estate as provided in Section 1.1 above), whether or not the Assignor's real property is specifically described in this Assignment.

3. Delivery Of Documents, Endorsements And Mail Delivery. Assignor agrees to deliver to Assignee all books of account and records of Assignor, to execute and deliver all additional necessary documents reasonably requested by Assignee, and to endorse all indicia of ownership as reasonably requested by Assignee, in order to complete the transfer of all assets to Assignee as intended by this Assignment, including, but not limited to, all of Assignor's real and personal property and/or Assignor's interest therein, including, mortgages, deeds of trust, motor vehicles, trademarks, copyrights and patent rights (but excluding real property leases and leasehold interests which are expressly excepted from the Assignment Estate as provided in Section 1.1 above). Neither Assignor, nor its agents, shall execute any documents on behalf of Assignor without prior written approval of Assignee. Assignee is hereby authorized to execute all endorsements and demands requiring Assignor's signature, in the name of Assignor, including endorsements on checks, bank accounts, deposit accounts, and stock certificates, payable to, or standing in the name of Assignor. Assignor further authorizes Assignee to apply for any deposits, refunds (including specifically, among others, claims for refund of taxes paid or unearned insurance premiums) or claims wherever necessary, in the name of Assignor. Assignee is authorized to direct all Assignor's mail to be delivered to Assignee, and Assignee is expressly authorized and directed to open said mail as agent of Assignor, and to do anything or act which Assignee in its sole and arbitrary discretion deems necessary or advisable in any case to effectuate the purposes of this Assignment.

4. Nature Of Assignment. This instrument transfers legal title and possession of all of Assignor's assets in the Assignment Estate; provided, that this Assignment constitutes a transfer of only those assets that can be transferred legally and does not constitute a transfer of property that it is illegal to transfer. Assignee, in its sole discretion, may determine whether to continue all or a part of the business operations of Assignor or to liquidate Assignor's assets.

5. Disposition Of Assets. Assignee, in its discretion, may sell and dispose of Assignor's assets upon such terms and conditions as it may see fit, at public or private sale, or otherwise. Assignee shall not be personally liable in any manner in connection with the performance of its duties and obligations hereunder except in the event of its gross negligence, willful misconduct or violation of law. Assignee's obligations hereunder shall be in a

representative capacity only as an Assignee for the general benefit of Assignor's creditors. Assignee shall administer this estate to the best of its ability and it is expressly understood that Assignee, and its agents, servants or employees, shall be liable only for reasonable care and diligence in the administration of the Assignment Estate. Assignee shall not be liable for any act or thing done by Assignee, its agents, servants, or employees in good faith in connection herewith. Assignee is not liable or responsible for any obligations of any nature whatsoever incurred at any time by Assignor, whether before or after the date of this Assignment. Assignee acknowledges and agrees that all of Assignee's actions in respect of Assignor's assets, property, rights and business shall be in furtherance of its duties as set forth herein.

6. Compensation Of Assignee.

REDACTED

7. Powers And Duties Of Assignee.

REDACTED



8. Assignor's Duties As To Non-Assignable Tax Or Other Refund Claims.

REDACTED

9. Distributions.

REDACTED

REDACTED

10. Right To Withhold Payment Of Contested Claims.

REDACTED

11. Definition Of Transaction. It is agreed and understood that this Assignment is a general assignment for the benefit of all of Assignor's creditors; and that this is a "general assignment for the benefit of creditors," as set forth in, and defined in the California *Code of Civil Procedure, Section 493.010*, and all other laws of the State of California pertaining thereto. This general assignment for the benefit of creditors (s) (a) does constitute an assignment to Assignee of all assets of Assignor which are transferable and not exempt from enforcement of a money judgment; (b) is an assignment for the benefit of all of the creditors of the Assignor; and (c) does not create a preference of one creditor or class of creditors over any other creditor or class of creditors.

12. Limitation of Liability.

REDACTED

REDACTED

REDACTED

13. Entire Agreement. This Assignment supersedes all prior discussions and agreements between the parties with respect to the subject matter hereof and thereof and contains the sole and entire agreement between the parties hereto with respect to the subject matter hereof and thereof.

14. Headings. The headings used in this Assignment have been inserted for convenience of reference only and do not define or limit the provisions hereof.


15. Invalid Provisions. If any provision of this Assignment is held to be illegal, invalid or unenforceable under any present or future law, and if the rights or obligations of any party hereto under this Assignment will not be materially and adversely affected thereby, (a) such provision will be fully severable, (b) this Assignment will be construed and enforced as if such illegal, invalid or unenforceable provision had never comprised a part hereof and (c) the remaining provisions of this Assignment will remain in full force and effect and will not be affected by the illegal, invalid or unenforceable provision or by its severance herefrom.

16. Governing Law. This Agreement shall be governed by and construed in accordance with the Laws of the State of California applicable to a contract executed and performed in such State, without giving effect to the conflicts of laws principles thereof.

17. Counterparts. This Assignment may be executed in any number of counterparts, each of which will be deemed an original, but all of which together will constitute one and the same instrument.

"Assignor"

Thync, Inc.  
a Delaware corporation

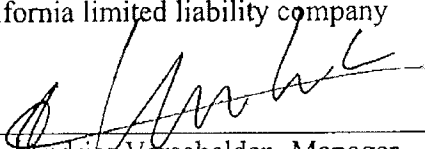
By: \_\_\_\_\_  
Signature

\_\_\_\_\_  
Isy Goldwasser/ CEO  
Print Name/ Title

Accepted by Assignee on 3/17/16 at Emeryville, CA.

"Assignee"

ABC-THY, LLC,  
a California limited liability company

By:   
Andries Verschelden, Manager

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