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MR

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S-144,193

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

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BATTELLE ENERGY ALLIANCE, LLC

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance/Execution Date(s):

Execution Date(s) 04/18/2016

- ☐ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Joint Research Agreement
☐ Government Interest Assignment
☒ Executive Order 9424, Confirmatory License
☐ Other

2. Name and address of receiving party(ies)

Name: United States Department of Energy

Internal Address: _____

Street Address: 1000 Independence Ave., S.W.

City: Washington

State: D.C.

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4. Application or patent number(s):

A. Patent Application No.(s)

15/074,297

☐ This document is being filed together with a new application.

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address to whom correspondence concerning document should be mailed:

Name: Michael J. Dobbs

Internal Address: U. S. Dept. of Energy, IPLD

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Email Address: Confirmatory.License@science.doe.gov

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 1.21(h) & 3.41) \$

- ☐ Authorized to be charged by credit card
☐ Authorized to be charged to deposit account
☐ Enclosed
☒ None required (government interest not affecting title)


8. Payment Information

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9. Signature:


 Signature

5/12/2016
 Date

Timothy Harney #38,174

Name of Person Signing

Total number of pages including cover
 sheet, attachments, and documents:

2

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:
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DOE Case No: S-115,640
BA-282D3

CONFIRMATORY LICENSE

Application for: METHODS OF COMBINED BIOPROCESSING AND RELATED
MICROORGANISMS, THERMOPHILIC AND/OR ACIDOPHILIC
ENZYMES, AND NUCLEIC ACIDS ENCODING SAID ENZYMES
Inventor(s): David N. Thompson, William A. Apel, Vicki S. Thompson, Thomas E.
Ward
Serial No.: 15/074,297
Filing Date (U.S.): 03/18/16
DOE Contract No.: DE-AC07-05ID14517
Contractor: Battelle Energy Alliance, LLC

The invention identified above is a "Subject Invention" under the above-numbered contract with Battelle Energy Alliance, LLC. A copy of the provisions of the above-numbered contract governing patent rights in the Subject Invention at the time the invention was made has been submitted to the U.S. Department of Energy.

The Contractor hereby confirms that under the provisions of the above-numbered contract governing patent rights, it has granted to the Government a nonexclusive, nontransferable, irrevocable, paid-up license to practice or to have practiced for or on behalf of the United States the Subject Invention throughout the world. This License applies to the invention in the above-identified patent application and any and all divisions or continuations thereof and any resulting patent or reissue patent which may be granted thereon.

It is understood and agreed that this License does not preclude the Government from asserting rights under the provisions of said Contract or any other agreement between the Government and the Contractor, or any other rights of the Government with respect to the above-identified invention.

The Contractor hereby grants the Government an irrevocable power to inspect and make copies of the above-identified application.

Signed this 10th day of April, 2016.

BATTELLE ENERGY ALLIANCE, LLC

By: Mark A. Kaczor

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