

PATENT ASSIGNMENT COVER SHEET

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EPAS ID: PAT3909309

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
JAMES J. MOREHEAD	06/01/2016
LOREN A. EYRES	06/02/2016
BERTRAM C. JOHNSON	06/03/2016
MARTIN H. MUENDEL	06/01/2016
DEREK A. TUCKER	06/01/2016
RECEIVING PARTY DATA	
Name:	LUMENTUM OPERATIONS LLC
Street Address:	400 NORTH MCCARTHY BOULEVARD
City:	MILPITAS
State/Country:	CALIFORNIA
Postal Code:	95035
PROPERTY NUMBERS Total: 1	
Property Type	Number
Application Number:	15177140
CORRESPONDENCE DATA	
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<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
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ATTORNEY DOCKET NUMBER:	0100-0065CP1
NAME OF SUBMITTER:	DANIEL M. JEBSEN
SIGNATURE:	/Daniel M. Jebesen, Reg. No. 71,711/
DATE SIGNED:	06/08/2016
Total Attachments: 3	

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PATENT

REEL: 038848 FRAME: 0210

**ASSIGNMENT
(Joint)
Worldwide Rights**

THIS ASSIGNMENT, by James J. MOREHEAD, Loren A. EYRES, Bertram C. JOHNSON, Martin H. MUENDEL, and Derek A. TUCKER, having offices at 400 North McCarthy Blvd, Milpitas, CA 95035 US, 400 North McCarthy Blvd, Milpitas, CA 95035 US, 400 North McCarthy Blvd, Milpitas, CA 95035 US, 400 North McCarthy Blvd, Milpitas, CA 95035 US, and 400 North McCarthy Blvd, Milpitas, CA 95035 US (hereinafter referred to as "the Assignors"), respectively, witnesseth:

WHEREAS, the Assignors have invented certain new and useful improvements in CASCADED OPTICAL HARMONIC GENERATION set forth in an application for Letters Patent of the United States,

- (1) ☐ which is a provisional application
(a) ☐ filed herewith; or
(b) ☐ bearing Application No. _____, and filed on _____; or
- (2) ☒ which is a non-provisional application
(a) ☒ bearing Application No. 15/177,140, and filed on June 8, 2016; or
(b) ☐ filed herewith; and

We hereby authorize Applicants' representative to fill in the preceding information relating to the filing date and/or serial number, as appropriate.

WHEREAS, Lumentum Operations LLC, a corporation duly organized under and pursuant to the laws of Delaware and having its principal place of business at 400 North McCarthy Blvd, Milpitas, CA 95035 US (hereinafter referred to as "the Assignee"), is desirous of acquiring the entire right, title, and interest in and to said inventions, the right to file applications on said inventions and the entire right, title and interest in and to any applications, including any previously or subsequently filed provisional applications, for Letters Patent of the United States or other countries claiming priority to said application or from which said application claims priority, and in and to any Letters Patent or Patents, United States or foreign, to be obtained therefor and thereon.

NOW, THEREFORE, be it known that for good and sufficient consideration, the receipt of which is hereby acknowledged, the Assignors have sold, assigned, transferred, and set over, and by these presents do sell, assign, transfer, and set over, unto the Assignee, its successors, legal representatives, and assigns the entire right, title, and interest in and to the above-mentioned inventions, the right to file applications on said inventions and the entire right, title and interest in and to any applications for Letters Patent of the United States or other countries claiming priority to said applications, and any and all Letters Patent or Patents of the United States of America and all foreign countries that may be granted therefor and thereon, and in and to any and all applications claiming priority to said applications, provisional applications, divisions, continuations, and continuations-in-part of said applications, and reissues and extensions of said Letters Patent or Patents, and all rights under the International Convention for the Protection of Industrial Property, the same to be held and enjoyed by the Assignee, for its own use and behalf and the use and behalf of its successors, legal representatives, and assigns, to the full end of the term or terms for which Letters Patent or Patents may be granted as fully and entirely as the same would have been held and enjoyed by the Assignors had this sale and assignment not been made;

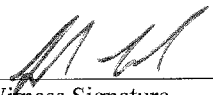
AND for the same consideration, the Assignors hereby covenant and agree to and with the Assignee, its successors, legal representatives, and assigns, that, at the time of execution and delivery of these presents, the Assignors are the sole and lawful owners of the entire right, title, and interest in and to the inventions set forth in said applications and said applications, including provisional applications, above-mentioned, and that the same are unencumbered, and that the Assignors have good and full right and lawful authority to sell and convey the same in the manner herein set forth;

AND for the same consideration, the Assignors hereby covenant and agree to and with the Assignee, its successors, legal representatives, and assigns that the Assignors will, whenever counsel of the Assignee, or the counsel of its successors, legal representatives, and assigns, shall advise that any proceeding in connection with said inventions or said applications for Letters Patent or Patents, or any proceeding in connection with Letters Patent or Patents for said inventions in any country, including interference proceedings, is lawful and desirable, or that any application claiming priority to said application, division, continuation, or continuation-in-part of any applications for Letters Patent or Patents, or any reissue or extension of any Letters Patent or Patents to be obtained thereon, is lawful and desirable, sign all papers and documents, take all lawful oaths, and do all acts necessary or required to be done for the procurement, maintenance, enforcement, and defense of Letters Patent or Patents for said inventions, without charge to the Assignee, its successors, legal representatives, and assigns, but at the cost and expense of the Assignee, its successors, legal representatives, and assigns;

AND the Assignors hereby request the Commissioner of Patents to issue any and all said Letters Patent of the United States to the Assignee as the Assignee of said inventions, the Letters Patent to be issued for the sole use and behalf of the Assignee, its successors, legal representatives, and assigns.


James J. MOREHEAD

Date: June 1, 2016


Witness Signature

Jennifer Lindahl
Witness Name


Loren A. EYRES

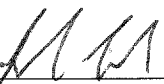
Date: June 2, 2016


Witness Signature

Jennifer Lindahl
Witness Name


Bertram C. JOHNSON


Date: June 8, 2016


Witness Signature

Jennifer Lindahl
Witness Name


Martin H. MUENDEL

Date: 6/1/2016


Witness Signature

Jennifer Lindahl
Witness Name


Derck A. TUCKER

Date: 6/1/2016


Witness Signature

Jennifer Lindahl
Witness Name