

PATENT ASSIGNMENT COVER SHEET

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 Stylesheet Version v1.2

EPAS ID: PAT3919871

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
MARK C. BRISTER	03/22/2011
ANDREW P. RASDAL	03/21/2011
NEIL R. DRAKE	03/21/2011
MATTHEW S. LAKE	03/21/2011
DUBRAVKA MARKOVIC	03/21/2011
AMY D.L. VANDENBERG	03/21/2011
ANTONIO C. LLEVARES	03/21/2011
JOSEFINA NIDER	03/21/2011
RECEIVING PARTY DATA	
Name:	OBALON THERAPEUTICS, INC.
Street Address:	5421 AVENIDA ENCINAS
Internal Address:	SUITE F
City:	CARLSBAD
State/Country:	CALIFORNIA
Postal Code:	92008
PROPERTY NUMBERS Total: 1	
Property Type	Number
Application Number:	14660805
CORRESPONDENCE DATA	
Fax Number:	(949)760-9502
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
Phone:	8587074000
Email:	efiling@knobbe.com
Correspondent Name:	KNOBBE MARTENS OLSON & BEAR LLP
Address Line 1:	2040 MAIN STREET
Address Line 2:	14TH FLOOR
Address Line 4:	IRVINE, CALIFORNIA 92614
ATTORNEY DOCKET NUMBER:	OBALON.009P1C3
NAME OF SUBMITTER:	ROSE M. THIESSEN

PATENT

SIGNATURE:	/Rose M. Thiessen/
DATE SIGNED:	06/15/2016
Total Attachments: 10 source=2016-06-15 Executed Assignment from parent - OBALON.009P1C3#page1.tif source=2016-06-15 Executed Assignment from parent - OBALON.009P1C3#page2.tif source=2016-06-15 Executed Assignment from parent - OBALON.009P1C3#page3.tif source=2016-06-15 Executed Assignment from parent - OBALON.009P1C3#page4.tif source=2016-06-15 Executed Assignment from parent - OBALON.009P1C3#page5.tif source=2016-06-15 Executed Assignment from parent - OBALON.009P1C3#page6.tif source=2016-06-15 Executed Assignment from parent - OBALON.009P1C3#page7.tif source=2016-06-15 Executed Assignment from parent - OBALON.009P1C3#page8.tif source=2016-06-15 Executed Assignment from parent - OBALON.009P1C3#page9.tif source=2016-06-15 Executed Assignment from parent - OBALON.009P1C3#page10.tif	

ASSIGNMENT

WHEREAS, Mark C. Brister, a citizen of the United States, residing at 1005 Valley Side Lane, Encinitas, CA; Andrew P. Rasdal, a citizen of the United States, residing at 4736 Finchley Terrace, San Diego, CA 92130; Neil R. Drake, a citizen of Canada, residing at 14366 Janal Way, San Diego, CA 92129; Matthew S. Lake, a citizen of the United States, residing at 4410 Trieste Drive, Carlsbad, CA 92010; Dubravka Markovic, a citizen of the United States, residing at 7638 Reposado Drive, Carlsbad, CA 92009; Amy D.L. VandenBerg, a citizen of the United States, residing at 15577 Bristol Ridge Terrace, San Diego, CA 92127; Antonio C. Llevares, a citizen of the United States, residing at 1003 Plaza Gardenia, Chula Vista, CA 91910; Josefina Nider, a citizen of the United States, residing at 8851 Arcturus Way, San Diego, CA 92126, (individuals hereinafter "ASSIGNOR") invented certain new and useful improvements, technology, inventions, developments, ideas or discoveries related to an INTRAGASTRIC DEVICE (collectively hereinafter referred to as the "Work") for which an application for Letters Patent in the United States has been prepared for filing (identified above) with the United States Patent and Trademark Office (hereinafter the "Application");

AND WHEREAS, Obalon Therapeutics, Inc., a Corporation, with its principal place of business at 5421 Avenida Encinas, Suite F, Carlsbad, CA 92008 (hereinafter the "ASSIGNEE"), desires to acquire the entire right, title, and interest in and to the Application and the Work:

NOW, THEREFORE, for good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNOR hereby acknowledges that ASSIGNOR has sold, assigned, transferred and set over, and by these presents does hereby sell, assign, transfer and set over, unto said ASSIGNEE, its successors, legal representatives and assigns, the entire right, title, and interest throughout the world in the Application and the Work, including all provisional applications relating thereto, and all nonprovisional applications claiming priority thereto, including, all divisions, continuations, continuations-in-part, reissues, and reexaminations thereof, and all Letters Patent of the United States which may be granted thereon and all reissues and extensions thereof, and all rights of priority under International Conventions and any related Letters Patent which may hereafter be granted or filed in any country or countries foreign to the United States, all extensions, renewals and reissues thereof; and ASSIGNOR hereby authorizes and requests the Commissioner of Patents of the United States, and any Official of any country or countries foreign to the United States, whose duty it is to issue patents on applications as aforesaid, to issue all related Letters Patent to the ASSIGNEE, its successors, legal representatives and assigns, in accordance with the terms of this instrument.

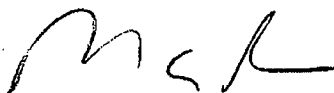
AND ASSIGNOR DOES HEREBY sell, assign, transfer, and convey to ASSIGNEE, its successors, legal representatives, and assigns all claims for damages and all remedies arising out of any violation of the rights assigned hereby that may have accrued prior to the date of assignment to ASSIGNEE, or may accrue hereafter, including, but not limited to, the right to sue for, collect, and retain damages for past infringements of said Letters Patent before or after issuance.

AND ASSIGNOR DOES HEREBY covenant and agree that ASSIGNOR will communicate to said ASSIGNEE, its successors, legal representatives and assigns, any facts known to ASSIGNOR respecting the Work, and testify in any legal proceeding, assist in the preparation of any other provisional or non-provisional applications relating to the Application and the Work or any improvements made thereto, sign all lawful papers, execute and make all rightful oaths and/or declarations in connection with the Application and the Work including any improvements made thereto, any patent applications filed therefrom, and any continuing application filed from any of the aforementioned applications, and generally do everything possible to aid the ASSIGNEE, its

successors, legal representatives and assigns, to obtain and enforce proper patent protection for the Work in all countries.

I hereby authorize and request the attorneys of Knobbe, Martens, Olson & Bear, LLP to insert here in parentheses (Application Number 13/069,265, filed 3/22/2011) the filing date and application number of said application when known.

IN TESTIMONY WHEREOF, I hereunto set my hand and seal this 22nd day of March, 2011.



Mark C. Brister

STATE OF CALIFORNIA

ss.

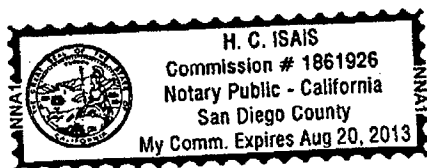
COUNTY OF San Diego

On 03/22/2011, before me, H.C. ISAIS, notary public, personally appeared Mark C. Brister who proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument, and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

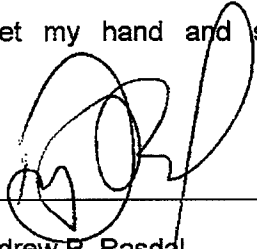
WITNESS my hand and official seal.

[SEAL]



Notary Signature

IN TESTIMONY WHEREOF, I hereunto set my hand and seal this 21 day of March, 2011.



Andrew P. Rasdal

STATE OF CALIFORNIA

ss.

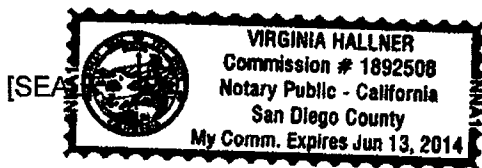
COUNTY OF

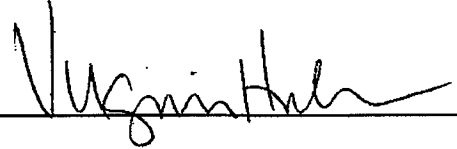
San Diego

On 3-21-2011, before me, VIRGINIA HALLNER notary public, personally appeared Andrew P. Rasdal who proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument, and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

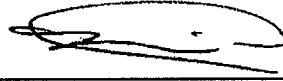
WITNESS my hand and official seal.





Notary Signature

IN TESTIMONY WHEREOF, I hereunto set my hand and seal this 21 day of March, 2011.



Neil R. Drake

STATE OF CALIFORNIA

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ss.

}

COUNTY OF

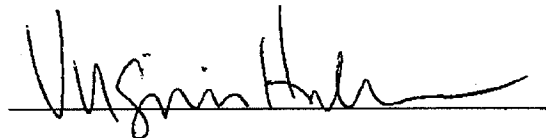
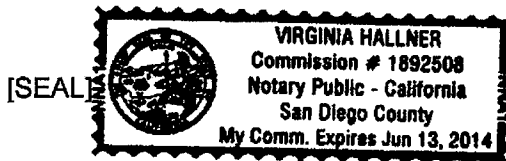
}

San Diego

On 3-21-2011, before me, VIRGINIA HALLNER notary public, personally appeared Neil R. Drake who proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument, and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

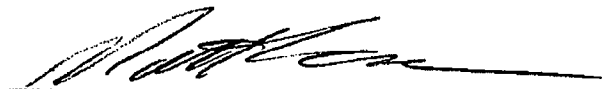
I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.



Notary Signature

IN TESTIMONY WHEREOF, I hereunto set my hand and seal this 21 day of March, 2011.



Matthew S. Lake

STATE OF CALIFORNIA

}

ss.

COUNTY OF

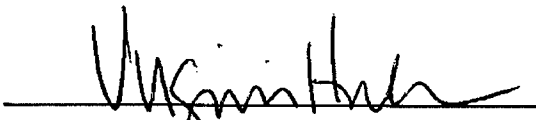
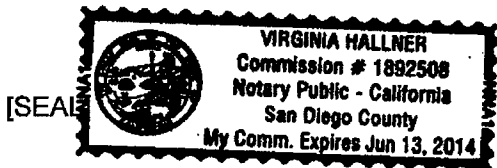
}

San Diego

On 3-21-2011, before me, VIRGINIA HALLNER notary public, personally appeared Matthew S. Lake who proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument, and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

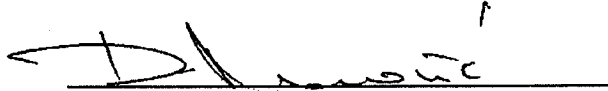
I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.



Notary Signature

IN TESTIMONY WHEREOF, I hereunto set my hand and seal this 21 day of March, 2011.



Dubravka Markovic

STATE OF CALIFORNIA

ss.

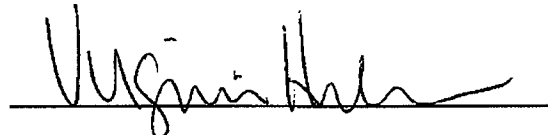
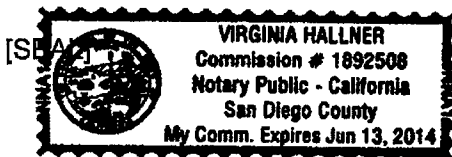
COUNTY OF

San Diego

On 3-21-2011, before me, VIRGINIA HALLNER notary public, personally appeared Dubravka Markovic who proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument, and acknowledged to me that she executed the same in her authorized capacity, and that by her signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

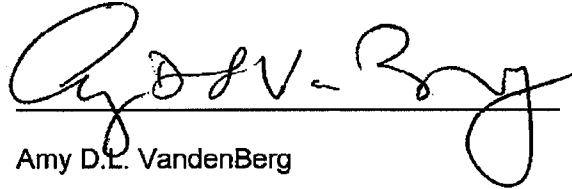
I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.



Notary Signature

IN TESTIMONY WHEREOF, I hereunto set my hand and seal this 21 day of
March, 2011.


Amy D.L. Vandenberg

STATE OF CALIFORNIA

ss.

COUNTY OF

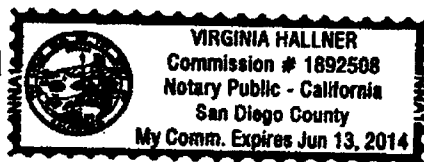
San Diego

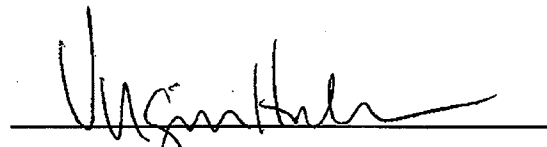
On 3-21-2011, before me, VIRGINIA HALLNER notary public,
personally appeared Amy D.L. Vandenberg who proved to me on the basis of satisfactory evidence to
be the person whose name is subscribed to the within instrument, and acknowledged to me that she
executed the same in her authorized capacity, and that by her signature on the instrument the person,
or the entity upon behalf of which the person acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that
the foregoing paragraph is true and correct.

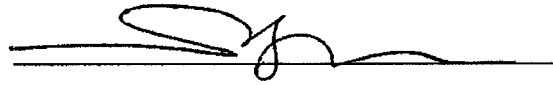
WITNESS my hand and official seal.

[SEAL]




Notary Signature

IN TESTIMONY WHEREOF, I hereunto set my hand and seal this 21 day of March, 2011.



Antonio C. Llevares

STATE OF CALIFORNIA

ss.

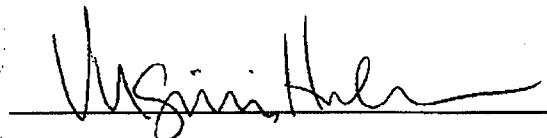
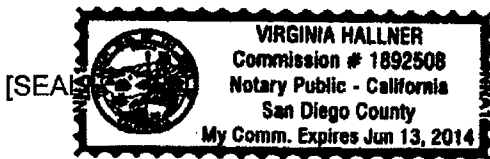
COUNTY OF

San Diego

On 3-21-2011, before me, VIRGINIA HALLNER notary public, personally appeared Antonio C. Llevares who proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument, and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

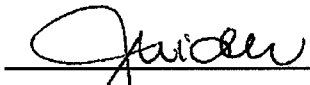
I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.



Notary Signature

IN TESTIMONY WHEREOF, I hereunto set my hand and seal this 21 day of March, 2011.


Josefina Nider

STATE OF CALIFORNIA

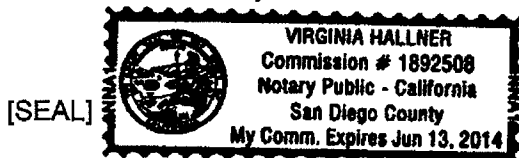
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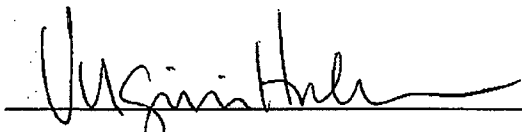
COUNTY OF San Diego

On 3-21-2011, before me, VIRGINIA HALLNER notary public, personally appeared Josefina Nider who proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument, and acknowledged to me that she executed the same in her authorized capacity, and that by her signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.




Notary Signature

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031611