PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2 EPAS ID: PAT3921586

| SUBMISSION TYPE: | NEW ASSIGNMENT |
|-----------------------|----------------|
| NATURE OF CONVEYANCE: | ASSIGNMENT |

CONVEYING PARTY DATA

| Name | Execution Date |
|----------------------|----------------|
| MORIZ JELINEK | 06/07/2016 |
| NAVEEN GOUD GANAGONA | 06/07/2016 |
| JOHANNES GEORG LAVEN | 06/07/2016 |
| HANS-JOACHIM SCHULZE | 06/07/2016 |
| WERNER SCHUSTEREDER | 06/07/2016 |

RECEIVING PARTY DATA

| Name: | INFINEON TECHNOLOGIES AG |
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| City: | NEUBIBERG |
| State/Country: | GERMANY |
| Postal Code: | 85579 |

PROPERTY NUMBERS Total: 1

| Property Type | Number |
|---------------------|----------|
| Application Number: | 15178039 |

CORRESPONDENCE DATA

Fax Number: (214)661-4688

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

Email: ptomail1@bakerbotts.com
Correspondent Name: BAKER BOTTS L.L.P.
Address Line 1: 2001 ROSS AVENUE

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Address Line 4: DALLAS, TEXAS 75201

| ATTORNEY DOCKET NUMBER: | 068758.0630 |
|-------------------------|------------------------------------------------------------|
| NAME OF SUBMITTER: | KAREN LANGFORD |
| SIGNATURE: | /Karen Langford/ |
| DATE SIGNED: | 06/16/2016 |
| | This document serves as an Oath/Declaration (37 CFR 1.63). |
| T | |

Total Attachments: 6

PATENT REEL: 038932 FRAME: 0234

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PATENT REEL: 038932 FRAME: 0235

Docket Number: <u>068758,0630</u>

DECLARATION AND ASSIGNMENT FOR PATENT AND DESIGN APPLICATIONS

UNITED STATES PATENT RIGHTS, OR UNITED STATES PLUS ALL FOREIGN PATENT RIGHTS

Title of Invention

Methods for Forming a Semiconductor Device and a Semiconductor Device

As a below named inventor (hereinafter designated as the undersigned), I hereby declare that: This declaration is directed to the application attached hereto. If the application is not attached Application not Attached hereto, the application is as identified by the attorney docket number as set forth above and/or the following: Enter Appln. No. United States Application Number or PCT International Appln. No. Enter Filing Date filed on The above-identified application was made or authorized to be made by me. I believe that I am the original inventor or an original joint inventor of a claimed invention in the application. I have reviewed and understand the contents of the above-identified application, including the claims. I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations § 1.56. WHEREAS, the undersigned has invented certain at least one claim in the application identified. WHEREAS, Infineon Technologies AG Insert Name of Assignee Insert Address of Am Campeon 1-12, 85579 Neubiberg, Germany of Assignee its heirs, successors, legal representatives and assigns (hereinafter designated as the Assignee) is desirous of acquiring the entire right, title and interest in and to said invention and in and to any Letters Patent(s) that may be granted therefor in the United States of America and

Check Box if Appropriate in any foreign countries.

NOW, THEREFORE, for good and valuable consideration, the receipt of which is hereby acknowledged, the undersigned has (have) sold, assigned and transferred, and by these considerations does (do) sell, assign and transfer unto said Assignee the full and exclusive right to the said invention in the United States of America, its territories, dependencies and possessions and the entire right, title and interest in and to any and all Letters Patent(s) which may be granted therefor in the United States of America, its territories, dependencies and possessions, and if the box above is designated, in any and all foreign countries; and to any and all divisions, reissues, continuations, conversions and extensions of all of the above for the full term or terms for which the same may be granted.

Docket Number: <u>068758.0630</u>

The undersigned agrees to execute all papers necessary in connection with this application and any continuing, divisional, conversion or reissue applications thereof or any other proceedings before the United States Patent and Trademark Office and also to execute separate assignments in connection with such applications as the Assignee may deem necessary or expedient.

The undersigned agrees to execute all papers and documents and to perform any act which may be necessary in connection with claims or provisions of the International Convention for the Protection of Industrial Property or similar agreements.

The undersigned agrees to perform all affirmative acts which may be necessary to obtain a grant of (a) valid United States of America patent(s) and any foreign patent(s) to the Assignee and to vest all rights therein hereby conveyed to said Assignee as fully and entirely as the same would have been held by the undersigned if this Assignment and sale had not been made.

The undersigned hereby authorizes and requests the Patent and Trademark Office Officials in the United States of America and in any foreign countries to issue any and all Letters Patent(s) resulting from said application or any continuing, divisional conversion or reissue applications thereof to the said Assignee, as Assignee of the entire interest, and hereby covenants that he has the full right to convey the entire interest herein assigned, and that he has not executed, and will not execute, any agreement in conflict herewith.

The undersigned hereby grants the Assignee's legal representatives the power to insert, before or after this document has been executed by the undersigned, any information to identify the instant patent application which may be necessary or desirable in order to comply with the rules of the U.S. Patent and Trademark Office.

The undersigned hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment.

The undersigned hereby acknowledges that any willful false statement made in this declaration is punishable under 18 U.S.C. § 1001 by fine or imprisonment of not more than five (5) years, or both.

In witness whereof, executed by the undersigned on the date opposite the undersigned name.

| Full Name of Inventor: | Moriz Jelinek | |
|------------------------|----------------------|--|
| 7.6-2016 | | |
| Date | Inventor's Signature | |
| Full Name of Inventor: | Naveen Goud Ganagona | |
| 07/06/16 Date | G. Ngod. | |
| Date | Inventor's Signature | |
| Full Name of Inventor: | Johannes Georg Laven | |
| Date | Inventor's Signature | |

Docket Number: <u>068758.0630</u>

| Full Name of Inventor: | Hans-Joachim Schulze |
|------------------------|----------------------|
| Date | Inventor's Signature |
| Full Name of Inventor: | Werner Schustereder |
| 7.6.166 | Inventor's Signature |

Docket Number: 068758.0630

DECLARATION AND ASSIGNMENT FOR PATENT AND DESIGN APPLICATIONS

United States Patent Rights, or United States Plus all Foreign Patent Rights

Title of Invention Methods for Forming a Semiconductor Device and a Semiconductor Device As a below named inventor (hereinafter designated as the undersigned), I hereby declare that: Application not This declaration is directed to the application attached hereto. If the application is not attached Attached hereto, the application is as identified by the attorney docket number as set forth above and/or the following: Enter Appln, No. United States Application Number or PCT International Appln. No. Enter Filing Date filed on The above-identified application was made or authorized to be made by me. I believe that I am the original inventor or an original joint inventor of a claimed invention in the application. I have reviewed and understand the contents of the above-identified application, including the claims. I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations § 1.56. WHEREAS, the undersigned has invented certain at least one claim in the application identified. Insert Name WHEREAS, Infineon Technologies AG of Assignee Insert Address of Am Campeon 1-12, 85579 Neubiberg, Germany of Assigned its heirs, successors, legal representatives and assigns (hereinafter designated as the Assignee) is desirous of acquiring the entire right, title and interest in and to said invention and in and to any Letters Patent(s) that may be granted therefor in the United States of America and in any foreign countries. Appropriate

Check Box if

NOW, THEREFORE, for good and valuable consideration, the receipt of which is hereby acknowledged, the undersigned has (have) sold, assigned and transferred, and by these considerations does (do) sell, assign and transfer unto said Assignee the full and exclusive right to the said invention in the United States of America, its territories, dependencies and possessions and the entire right, title and interest in and to any and all Letters Patent(s) which may be granted therefor in the United States of America, its territories, dependencies and possessions, and if the box above is designated, in any and all foreign countries; and to any and all divisions, reissues, continuations, conversions and extensions of all of the above for the full term or terms for which the same may be granted.

Docket Number: 068758,0630

The undersigned agrees to execute all papers necessary in connection with this application and any continuing, divisional, conversion or reissue applications thereof or any other proceedings before the United States Patent and Trademark Office and also to execute separate assignments in connection with such applications as the Assignee may deem necessary or expedient.

The undersigned agrees to execute all papers and documents and to perform any act which may be necessary in connection with claims or provisions of the International Convention for the Protection of Industrial Property or similar agreements.

The undersigned agrees to perform all affirmative acts which may be necessary to obtain a grant of (a) valid United States of America patent(s) and any foreign patent(s) to the Assignee and to vest all rights therein hereby conveyed to said Assignee as fully and entirely as the same would have been held by the undersigned if this Assignment and sale had not been made.

The undersigned hereby authorizes and requests the Patent and Trademark Office Officials in the United States of America and in any foreign countries to issue any and all Letters Patent(s) resulting from said application or any continuing, divisional conversion or reissue applications thereof to the said Assignee, as Assignee of the entire interest, and hereby covenants that he has the full right to convey the entire interest herein assigned, and that he has not executed, and will not execute, any agreement in conflict herewith.

The undersigned hereby grants the Assignee's legal representatives the power to insert, before or after this document has been executed by the undersigned, any information to identify the instant patent application which may be necessary or desirable in order to comply with the rules of the U.S. Patent and Trademark Office.

The undersigned hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment.

The undersigned hereby acknowledges that any willful false statement made in this declaration is punishable under 18 U.S.C. § 1001 by fine or imprisonment of not more than five (5) years, or both.

In witness whereof, executed by the undersigned on the date opposite the undersigned name.

| Full Name of Inventor: | Moriz Jelinek |
|---------------------------|----------------------|
| | |
| Date | Inventor's Signature |
| Full Name of Inventor: | Naveen Goud Ganagona |
| T difficante of inventor. | Naveen Goud Ganagona |
| Date | Inventor's Signature |
| | |
| Full Name of Inventor: | Johannes Georg Laven |
| 2/6/16 | |
| C / Date | Inventor's Signature |
| | |
| | Page 2 of 3 |

PATENT REEL: 038932 FRAME: 0240

| | Docket Number: <u>068758.0630</u> |
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| Full Name of Inventor: | Hans-Joachim Schulze |
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| Date | Inventor's Signature |
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| Full Name of Inventor: | Werner Schustereder |

Inventor's Signature

Date