PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2 EPAS ID: PAT3923103

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT

CONVEYING PARTY DATA

Name	Execution Date
SCOTT M. PALMER	07/31/2014
CHI WEI CLIBURN CHAN	08/26/2014
KENT J. WEINHOLD	08/26/2014
LAURIE D. SNYDER	07/31/2014

RECEIVING PARTY DATA

Name:	Duke University	
Street Address:	2812 Erwin Road, Suite 306	
Internal Address:	Box 90083	
City:	Durham	
State/Country:	NORTH CAROLINA	
Postal Code:	27705	

PROPERTY NUMBERS Total: 1

Property Type	Number
Application Number:	15039679

CORRESPONDENCE DATA

Fax Number: (414)271-5770

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

Phone: (414) 271-7590

Email: tvanheyningen@andruslaw.com **Correspondent Name:** TAMBRYN K. VANHEYNINGEN

Address Line 1: 100 EAST WISCONSIN

Address Line 2: SUITE 1100

Address Line 4: MILWAUKEE, WISCONSIN 53202

ATTORNEY DOCKET NUMBER:	5667-00324 DUKE
NAME OF SUBMITTER:	TAMBRYN K. VANHEYNINGEN
SIGNATURE:	/TAMBRYN K. VANHEYNINGEN/
DATE SIGNED:	06/17/2016

Total Attachments: 5

PATENT REEL: 038939 FRAME: 0502 source=2014-08-26_5667-00170_Executed_Assignment_for_Filing_in_-00324#page1.tif
source=2014-08-26_5667-00170_Executed_Assignment_for_Filing_in_-00324#page2.tif
source=2014-08-26_5667-00170_Executed_Assignment_for_Filing_in_-00324#page3.tif
source=2014-08-26_5667-00170_Executed_Assignment_for_Filing_in_-00324#page4.tif
source=2014-08-26_5667-00170_Executed_Assignment_for_Filing_in_-00324#page5.tif

PATENT REEL: 038939 FRAME: 0503

ASSIGNMENT

Pursuant to our obligation to DUKE UNIVERSITY (herein referred to as "Assignee"), having its principal place of business at:

2812 Erwin Road, Suite 306 Box 90083 Durham, North Carolina 27705

and for other valuable and sufficient consideration, receipt whereof is hereby acknowledged, we:

Scott M. Palmer
Duke University Medical Center
Department of Medicine - Pulmonary
Box 103002
Durham, NC 27710

Chi Wei Cliburn Chan Duke University – Dept. of Statistical Science 2424 Erwin Road Ste 1102 11078 Hock Plaza, Duke Box 2721 Durham, NC 27705

Kent J. Weinhold Duke University – Division of Surgical Sciences 204 Surg Oncol Res Fac Durham, NC 27710

Laurie D. Snyder
Duke University Medical Center
Department of Medicine - Pulmonary
Box 103002
Durham, NC 27710

1

confirm our obligation to and effective as of November 26, 2013, hereby sell, assign and convey, unto Assignee, its successors and assigns, our entire right, title and interest –

- (1) in and to an invention entitled "IMMUNE MONITORING TO PREDICT AND PREVENT INFECTION" for which United States Provisional Patent Application No. 62/014,906 was filed June 20, 2014 (Atty. File No. 5667-00170), which application claims the benefit of United States Provisional Patent Application No. 61/908,890 filed November 26, 2013 (Atty. File No. 5667-00111) (both of which are hereinafter the "United States Provisional Patent Applications);
- (2) in and to said United States Provisional Patent Applications, in and to all other patent applications derived from or relating to said United States Provisional Patent Applications (including divisional, continuation, continuation-in-part, §111(b) provisional, §111(a), and reissue applications) based upon said invention, and in and to the patent or patents to be granted thereon, including reissues or reexaminations thereof, if any, to the full end of the term or terms for which said patent or patents may be granted;
- (3) in and to all patent applications on said invention now or hereafter filed in countries foreign to the United States of America, and in and to any and all patents granted on said applications to the full end of the terms for which said patents may be granted; and
- (4) in and to all applications filed under International Conventions on the invention or claiming priority to the United States Provisional Patent Applications.

We agree that any patent applications with a claim to priority based on said United States Provisional Patent Applications may be filed in the name of Assignee.

We hereby agree that we will, upon demand of Assignee, its successors or assigns, and without further consideration to us, execute any and all papers that may be necessary, or deemed to be necessary, by Assignee, its successors or assigns, to a complete fulfillment of the intent and purposes of this Assignment, it being understood that any expense incident to the execution of such papers shall be paid by Assignee, its successors and assigns, and not by us.

The Commissioner of Patents and Trademarks of the United States is hereby authorized and requested to issue said United States patent or patents to Assignee.

7/31/14	(The
Date	Scott M. Palmer Inventor
Date	Chi Wei Cliburn Chan Inventor
Date	Kent J. Weinhold Inventor
7/3//2014 Date	Laurie D. Snyder Inventor

Date	Scott M. Palmer Inventor
26 Ayg 2014 Date	Chi Wei Cliburn Chan Inventor
Date	Kent J. Weinhold Inventor
Date	Laurie D. Snyder Inventor

Date	Scott M. Palmer Inventor
Date	Chi Wei Cliburn Chan Inventor
8/36/14 Date	Rend J. Weinhold Inventor
Date	Laurie D. Snyder