#### 503877544 06/17/2016

# PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2

EPAS ID: PAT3924193

| SUBMISSION TYPE:      | NEW ASSIGNMENT |
|-----------------------|----------------|
| NATURE OF CONVEYANCE: | ASSIGNMENT     |

#### **CONVEYING PARTY DATA**

| Name         | Execution Date |
|--------------|----------------|
| AKIN AKINC   | 10/01/2015     |
| MARTIN MAIER | 10/01/2015     |
| VARUN KUMAR  | 06/11/2016     |

#### **RECEIVING PARTY DATA**

| Name:           | ALNYLAM PHARMACEUTICALS, INC. |  |
|-----------------|-------------------------------|--|
| Street Address: | 300 THIRD STREET              |  |
| City:           | CAMBRIDGE                     |  |
| State/Country:  | MASSACHUSETTS                 |  |
| Postal Code:    | 02142                         |  |

# **PROPERTY NUMBERS Total: 1**

| Property Type       | Number   |
|---------------------|----------|
| Application Number: | 14650264 |

#### CORRESPONDENCE DATA

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using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

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ATTORNEY DOCKET NUMBER: 135399.03902 NAME OF SUBMITTER: JUDY YEDDO SIGNATURE: /Judy Yeddo/ **DATE SIGNED:** 06/17/2016

**Total Attachments: 6** 

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> **PATENT** REEL: 038945 FRAME: 0884 503877544

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> PATENT REEL: 038945 FRAME: 0885

| RECORDATION FOR  | M COVER SHEET   |  |  |
|--|---|--|--|
| PATENTS ONLY   |   |  |  |
| To the Director of the U.S. Patent and Trademark Office: Plea  | se record the attached documents or the new address(es) below.  |  |  |
| 1. Name of conveying party(ies) Akin AKINC (10/01/2015), Martin MAIER (10/01/2015), and Varun KUMAR (06/11/2016)  Additional name(s) of conveying party(ies) attached?  Yes X No   | Name and address of receiving party(ies)  Name: ALNYLAM PHARMACEUTICALS, INC.  Internal Address:  Street Address:   |  |  |
| 3. Nature of conveyance/Execution Date(s):   | Olieet Address.   |  |  |
| Execution Date(s) in parentheses after inventor name  X Assignment Merger Change of Name  Security Agreement Joint Research Agreement  Government Interest Assignment  Executive Order 9424, Confirmatory License  Other | 300 Third Street  City: Cambridge  State: Massachusetts  Country: United States of America Zip: 02142  Additional name(s) & address(es) attached? Yes x No                                    |  |  |
|  | Additional name(s) & address(es) attached? Yes X No   |  |  |
| 4. Application or patent number(s):  A. Patent Application No.(s)  14/650,264  Additional numbers attached?  | nent serves as an Oath/Declaration (37 CFR 1.63).  B. Patent No.(s)  Yes X No   |  |  |
| Name and address to whom correspondence concerning document should be mailed:  | 6. Total number of applications and patents involved:   |  |  |
| Jay P. Lessler<br>Name: BLANK ROME LLP   | 7. Total fee (37 CFR 1.21(h) & 3.41) \$   |  |  |
| Internal Address:  |   |  |  |
| Street Address: The Chrysler Building 405 Lexington Ave.   | Authorized to be charged to deposit account     Enclosed     None required (government interest not affecting title)  |  |  |
| City: New York   | 8. Payment Information  |  |  |
| State:         NY         Zip:         10174-0208           Phone Number:         (212) 885-5000           Docket Number:         135399.03902           Email Address:         patents@blankrome.com                    | Deposit Account Number <u>02-2555</u><br>Authorized User Name <u>Jay P. Lessler</u>   |  |  |
| 9. Signature: /Jay P. Lessler/   | June 14, 2016   |  |  |
| Signature  Jay P. Lessler - 41,151  Name of Person Signing  Documents to be recorded (including cover she  | Date  Total number of pages including cover sheet, attachments, and documents:  eet) should be faxed to (571) 273-0140, or mailed to: of the USPTO, P.O.Box 1450, Alexandria, V.A. 22313-1450 |  |  |

# COMBINED ASSIGNMENT & DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATIONS

## <u>ASSIGNMENT</u>

THIS ASSIGNMENT made by Akin AKINC, Martin MAIER, and Varun KUMAR (hereinafter referred to as Assignors), each residing at 300 Third Street, Cambridge, Massachusetts 02142:

WHEREAS, Assignors have invented certain new and useful improvements in IMPROVED NUCLEIC ACID LIPID PARTICLE FORMULATIONS, set forth in U.S. Patent Application No. 14/650,264, filed June 5, 2015, International Patent Application No. PCT/US13/73181, filed December 4, 2013, and U.S. Patent Application No. 61/734,736, filed December 7, 2012; and

WHEREAS, ALNYLAM PHARMACEUTICALS, INC., a Company organized under and pursuant to the laws of Delaware having its principal place of business at 300 Third Street, Cambridge, Massachusetts 02142 (hereinafter referred to as Assignee), is desirous of acquiring the entire right, title and interest in and to said inventions and said applications for Letters Patent of the United States and all other countries in the world, and in and to any Letters Patent of the United States and all other countries in the world to be obtained therefore and thereon.

NOW, THEREFORE, in consideration of One Dollar (\$1.00) and other good and sufficient consideration, the receipt of which is hereby acknowledged, Assignors have sold, assigned, transferred and set over, and by these presents do sell, assign, transfer and set over, unto Assignee, its successors, legal representatives and assigns, the entire right, title and interest in and to the above-mentioned inventions and applications for Letters Patent, and in and to any and all direct and indirect divisions, continuations and continuations-in-part of said applications, and any and all Letters Patent in the United States and all other countries in the world which may be granted therefore and thereon, and reissues, reexaminations and extensions of said Letters Patent, and all rights under the International Convention for the Protection of Industrial Property, the same to be held and enjoyed by Assignee, for its own use and benefit and the use and benefit of its successors, legal representatives and assigns, to the full end of the term or terms for which Letters Patent may be granted and/or extended, as fully and entirely as

1

135399,03902

the same would have been held and enjoyed by Assignors, had this sale and assignment not been made.

AND for the same consideration, Assignors hereby represent and warrant to Assignee, its successors, legal representatives and assigns, that, at the time of execution and delivery of these presents, except for any rights, titles and/or interests that have arisen to Assignee under law or that have already been transferred to Assignee, Assignors are the sole and lawful owners of the entire right, title and interest in and to the said inventions and applications for Letters Patent above-mentioned, and that the same are unencumbered and that Assignors have good and full right and lawful authority to sell and convey the same in the manner herein set forth.

AND for the same consideration, Assignors hereby covenant and agree to and with Assignee, its successors, legal representatives and assigns, that Assignors will sign all papers and documents, take all lawful oaths and do all acts necessary or required to be done for the procurement, maintenance, enforcement and defense of any Letters Patent and applications for Letters Patent for said inventions, without charge to Assignee, its successors, legal representatives and assigns, whenever counsel of Assignee, or counsel of its successors, legal representatives and assigns, shall advise: that any proceeding in connection with said inventions, or said Patent application for Letters Patent, or any proceeding in connection with any Letters Patent or applications for Letters Patent for said inventions in any country, including but not limited to interference proceedings, is lawful and desirable; or, that any division, continuation or continuation-in-part of any application for Letters Patent, or any reissue, reexamination or extension of any Letters Patent, to be obtained thereon, is lawful and desirable.

AND Assignors hereby request the Commissioner for Patents and Trademarks to issue said Letters Patent of the United States to Assignee, as Assignee of said inventions and the Letters Patent to be issued thereon, for the sole use and benefit of Assignee, its successors, legal representatives and assigns.

AND Assignors acknowledge an obligation of assignment of this invention to Assignee at the time the invention was made.

135399,03902

### **DECLARATION**

As a below named inventor, I hereby declare that:

This declaration is directed to the patent application entitled:

# IMPROVED NUCLEIC ACID LIPID PARTICLE FORMULATIONS

the specification of which was filed on June 5, 2015 as U.S. Patent Application No. 14/650,264.

The above-identified application was made or authorized to be made by me.

I believe that I am the original inventor or an original joint inventor of a claimed invention in the application.

I have reviewed and understand the contents of the above-identified application.

I am aware of the duty to disclose to the Office all information known to me to be material to patentability as defined in 37 C.F.R. 1.56.

135399,03902

I hereby acknowledge that any willful false statement made in this Declaration is punishable under 18 U.S.C. 1001 by fine or imprisonment of not more than five (5) years, or both.

| Date: October 1, 2015     | Signature: | Akin Akinc             |
|---------------------------|------------|------------------------|
| Date: <u>Oct. 1, 2015</u> | Signature: | Mat Mais  Martin Maier |
| Date:                     | Signature: | Varun Kumar            |

I hereby acknowledge that any willful false statement made in this Declaration is punishable under 18 U.S.C. 1001 by fine or imprisonment of not more than five (5) years, or both.

| Date: |          | Signature: | Akin Akinc   |   |
|-------|----------|------------|--------------|---|
| Date: |          | Signature: | Martin Maier | : |
| Date: | 06/11/16 | Signature: | Varun Kumar  |   |

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