

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
 Stylesheet Version v1.2

EPAS ID: PAT3924469

SUBMISSION TYPE:	NEW ASSIGNMENT	
NATURE OF CONVEYANCE:	ASSIGNMENT	
CONVEYING PARTY DATA		
	Name	Execution Date
	AIXTRON, INC.	05/17/2016
RECEIVING PARTY DATA		
Name:	AIXTRON SE	
Street Address:	DORNKAULSTR. 2	
City:	HERZOGENRATH	
State/Country:	GERMANY	
Postal Code:	52134	
PROPERTY NUMBERS Total: 10		
Property Type	Number	
Application Number:	12832947	
Application Number:	12832953	
Application Number:	13921969	
Application Number:	14321233	
Application Number:	14735048	
Patent Number:	8697197	
Patent Number:	8765232	
Patent Number:	9299956	
Patent Number:	9096932	
Patent Number:	9096933	
CORRESPONDENCE DATA		
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ATTORNEY DOCKET NUMBER:	2001	

NAME OF SUBMITTER:	TAREK N. FAHMI
SIGNATURE:	/Tarek N. Fahmi/
DATE SIGNED:	06/17/2016
Total Attachments: 2 source=Aixtron_Inc_Assign_to_Aixtron_SE#page1.tif source=Aixtron_Inc_Assign_to_Aixtron_SE#page2.tif	

ASSIGNMENT

This Assignment (this "Assignment") is made as of MAY 17, 2016 by Aixtron, Inc., a California corporation having an address at 1139 Karlstad Dr., Sunnyvale, CA 94089 ("Assignor"), to Aixtron SE, a Societas Europaea having an address at Dornkaulstr. 2, Herzogenrath, Germany 52134 ("Assignee").

RECITALS

- A. Assignor is the owner of the United States Patents and patent applications set forth on Exhibit A hereto (the "Patents").
- B. Assignor now desires to assign to Assignee all of Assignor's right, title and interest in and to the Patents and Assignee desires to receive said assignment.

AGREEMENT

NOW, THEREFORE, in consideration of the foregoing premises, and the covenants and agreements in this Assignment, Assignor and Assignee agree as follows:

1. Assignor does hereby sell, transfer, convey, assign and deliver to Assignee all of Assignor's right, title and interest in and to the Patents, including any foreign counterparts, divisions, continuations or reissues thereof, the same to be held by Assignee for Assignee's own use and enjoyment, and for the use and enjoyment of Assignee's successors, assigns and other legal representatives, as fully and entirely as the same would have been held and enjoyed by Assignor if this Assignment and sale had not been made; together with all claims for damages by reason of past infringements of the Patents, along with the right to sue for and collect such damages for the use and benefit of Assignee and its successors, assigns and other legal representatives.
2. Assignor hereby authorizes and requests the Commissioner for Patents of the United States, and any officer of any country or countries foreign to the United States, whose duty it is to issue patents or other evidence or forms of intellectual property protection or applications as aforesaid, to issue the same to Assignee and its successors, assigns and other legal representatives in accordance with the terms of this instrument.

IN WITNESS WHEREOF, the parties have executed this Assignment on the date first above written.

Assignor: Aixtron, Inc.

By: [Signature]

Name: Dr. Bernd Schulte

Title: Director of the Board

Assignee: Aixtron SE

By: [Signature]

Name: Martin Goetzeler Dr. Bernd Schulte

Title: President & CEO Executive Vice President, COO

PATENT

REEL: 038947 FRAME: 0141

EXHIBIT A**TO PATENT ASSIGNMENT**

<u>Description</u>	<u>Patent / Patent Application Number</u>	<u>Issue / Filing Date</u>
METHODS FOR PLASMA PROCESSING	8,697,197	04-15-2014
APPARATUS AND METHOD FOR DIELECTRIC DEPOSITION	8,765,232	07-01-2014
PLASMA GENERATING UNITS FOR PROCESSING A SUBSTRATE	12/832,947	07-08-2010
APPARATUS FOR PLASMA PROCESSING	12/832,953	07-08-2010
METHOD FOR DEPOSITION OF HIGH-PERFORMANCE COATINGS AND ENCAPSULATED ELECTRONIC DEVICES	9,299,956	03-29-2016
APPARATUS AND METHOD FOR FORMING THIN PROTECTIVE AND OPTICAL LAYERS ON SUBSTRATES	13/921,969	06-19-2013
METHODS FOR PLASMA PROCESSING	9,096,932	08-04-2015
METHODS FOR PLASMA PROCESSING	9,096,933	08-04-2015
APPARATUS AND METHOD FOR DIELECTRIC DEPOSITION	14/321,233	07-01-2014
METHOD FOR PLASMA PROCESSING	14/735,048	06-10-2015

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