

## PATENT ASSIGNMENT COVER SHEET

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Stylesheet Version v1.2

EPAS ID: PAT3924539

<b>SUBMISSION TYPE:</b>	NEW ASSIGNMENT
<b>NATURE OF CONVEYANCE:</b>	ASSIGNMENT
<b>CONVEYING PARTY DATA</b>	
<b>Name</b>	<b>Execution Date</b>
CHRISTOPHER B. BUCK	01/29/2015
UPASANA RAY	01/28/2015
DIANA V. PASTRANA	01/29/2015
<b>RECEIVING PARTY DATA</b>	
<b>Name:</b>	THE UNITED STATES OF AMERICA, AS REPRESENTED BY THE SECRETARY, DEPARTMENT OF HEALTH AND HUMAN SERVICES
<b>Street Address:</b>	6011 EXECUTIVE BOULEVARD, SUITE 325, MSC 7660
<b>Internal Address:</b>	OFFICE OF TECHNOLOGY TRANSFER, NATIONAL INSTITUTES OF HEALTH
<b>City:</b>	BETHESDA
<b>State/Country:</b>	MARYLAND
<b>Postal Code:</b>	20892-7660
<b>PROPERTY NUMBERS Total: 1</b>	
<b>Property Type</b>	<b>Number</b>
<b>Application Number:</b>	15106074
<b>CORRESPONDENCE DATA</b>	
<b>Fax Number:</b>	(503)595-5301
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
<b>Phone:</b>	503-595-5300
<b>Email:</b>	susan.graf@klarquist.com
<b>Correspondent Name:</b>	SUSAN W. GRAF/KLARQUIST SPARKMAN, LLP
<b>Address Line 1:</b>	121 SW SALMON STREET
<b>Address Line 2:</b>	SUITE 1600
<b>Address Line 4:</b>	PORTLAND, OREGON 97204
<b>ATTORNEY DOCKET NUMBER:</b>	4239-91716-03
<b>NAME OF SUBMITTER:</b>	SUSAN W. GRAF, PH.D.
<b>SIGNATURE:</b>	/Susan W. Graf/
<b>DATE SIGNED:</b>	06/17/2016
<b>Total Attachments: 6</b>	

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**ASSIGNMENT FOR NIH/FDA/CDC INVENTIONS AIA  
(NIH OR FDA OR CDC CO-INVENTORS ONLY)**

**WHEREAS**, we, Christopher B. Buck, Upasana Ray, and Diana V. Pastrana, employees of the National Institutes of Health, are each employed by a component of the United States Department of Health and Human Services having an address at the Office of Technology Transfer, National Institutes of Health, 6011 Executive Boulevard, Suite 325, MSC 7660, Bethesda, MD 20892-7660, and have made certain inventions as described and claimed in:

U.S. Provisional Patent Application No. 61/919, 043, filed on December 20, 2013, entitled "MULTI-FUNCTIONAL CHIMERIC ANTIGEN RECEPTORS AGAINST HIV," and  
PCT Patent Application No. PCT/US2014/071621, filed on December 19, 2014, entitled "IMMUNOGENIC JC POLYOMAVIRUS COMPOSITIONS AND METHODS OF USE," and  
both of which applications are collectively referred to herein as "**The Patent Applications**"; and

**WHEREAS**, we are the inventors named in **The Patent Applications**; and

**WHEREAS**, the inventions described and claimed in **The Patent Applications** were made under conditions such as to entitle **THE UNITED STATES OF AMERICA**, as represented by the Secretary, Department of Health and Human Services [hereinafter **THE GOVERNMENT**] under Executive Order 10096 and its amendments, 35 U.S.C. §§200-201, §§206-207, and §210 and 37 C.F.R. Part 501 to the entire right, title, and interest in such inventions; and

**WHEREAS**, **THE GOVERNMENT** is desirous of acquiring all domestic and foreign right, title, and interest in the inventions described and claimed in **The Patent Applications**;

**NOW, THEREFORE**, having the legal obligation to assign our right, title and interest in the inventions described and claimed in **The Patent Applications** to **THE GOVERNMENT**, having a place of business at the Office of Technology Transfer, National Institutes of Health, 6011 Executive Boulevard, Suite 325, MSC 7660, Bethesda, MD 20892-7660 and for consideration, the receipt and sufficiency of which are hereby acknowledged, we hereby assign and transfer to **THE GOVERNMENT**:

the entire right, title, and interest in and to any and all inventions described and claimed in **The Patent Applications** and any and all Letters Patent which may be granted thereon, and any and all continuations, divisions, reissues or extensions thereof; and

all rights to claim priority on the basis of **The Patent Applications** including but not limited to applications filed through the provisions of the Patent Cooperation Treaty ("**PCT Applications**"); and

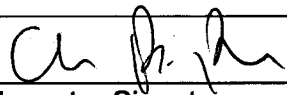
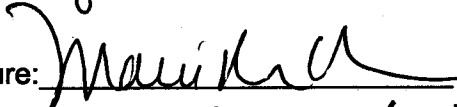
all applications for Letters Patent that may be filed for any and all inventions described and claimed in **The Patent Applications** that may be filed in any foreign country and all Letters Patent that may be granted thereon in any foreign country and all extensions, renewals, and reissues thereof; and



any and all such Letters Patent as may be granted in the United States or any foreign country to be held by **THE GOVERNMENT** to the end of the term for which the same would have been held by the inventors had this assignment not been made.

We further agree to make, execute, and deliver to **THE GOVERNMENT**, upon request, any and all papers, documents, affidavits, or other instruments that may be necessary in the prosecution of any application or applications for improvements or reissues of Letters Patent, and to assist **THE GOVERNMENT** in every way as may be requested in protecting said invention.

**IN WITNESS WHEREOF** we subscribe our hands and seals on the dates shown below:

Inventor: Christopher B. Buck	
Date <u>1/29/2015</u>	<u></u> Inventor Signature
County of <u>Montgomery</u>	
State of <u>Maryland</u>	
Subscribed and sworn to before me this <u>29th</u> day of <u>January</u> , <u>2015</u> .	
Seal	Notary Signature: <u></u>
	Notary Public for the State of: <u>Maryland (Montgomery County)</u>
	My Commission Expires: <u>June 1, 2018</u>

MARIE RIORDAN KAPLAN  
Notary Public-Maryland  
Montgomery County  
My Commission Expires  
June 01, 2018

Inventor: Upasana Ray

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Date \_\_\_\_\_

Inventor Signature \_\_\_\_\_

County of \_\_\_\_\_

State of \_\_\_\_\_

Subscribed and sworn to before me this \_\_\_\_\_ day of \_\_\_\_\_, \_\_\_\_\_.

Seal

Notary Signature: \_\_\_\_\_

Notary Public for the State of: \_\_\_\_\_

My Commission Expires: \_\_\_\_\_

Inventor: and Diana V. Pastrana

---

Date January 29, 2015

Diana V Pastrana  
Inventor Signature

County of Montgomery

State of Maryland

Subscribed and sworn to before me this 29th day of January, 2015.

Seal

Notary Signature: Marie Riordan Kaplan

Notary Public for the State of: Maryland (Montgomery County)

My Commission Expires: June 1, 2018

MARIE RIORDAN KAPLAN  
 Notary Public - Maryland  
 Montgomery County  
 My Commission Expires  
 June 01, 2018

**ASSIGNMENT FOR NIH/FDA/CDC INVENTIONS AIA  
(NIH OR FDA OR CDC CO-INVENTORS ONLY)**

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**WHEREAS**, we are the inventors named in **The Patent Applications**; and

**WHEREAS**, the inventions described and claimed in **The Patent Applications** were made under conditions such as to entitle **THE UNITED STATES OF AMERICA**, as represented by the Secretary, Department of Health and Human Services [hereinafter **THE GOVERNMENT**] under Executive Order 10096 and its amendments, 35 U.S.C. §§200-201, §§206-207, and §210 and 37 C.F.R. Part 501 to the entire right, title, and interest in such inventions; and

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the entire right, title, and interest in and to any and all inventions described and claimed in **The Patent Applications** and any and all Letters Patent which may be granted thereon, and any and all continuations, divisions, reissues or extensions thereof; and

all rights to claim priority on the basis of **The Patent Applications** including but not limited to applications filed through the provisions of the Patent Cooperation Treaty ("PCT Applications"); and

all applications for Letters Patent that may be filed for any and all inventions described and claimed in **The Patent Applications** that may be filed in any foreign country and all Letters Patent that may be granted thereon in any foreign country and all extensions, renewals, and reissues thereof; and

any and all such Letters Patent as may be granted in the United States or any foreign country to be held by **THE GOVERNMENT** to the end of the term for which the same would have been held by the inventors had this assignment not been made.

We further agree to make, execute, and deliver to **THE GOVERNMENT**, upon request, any and all papers, documents, affidavits, or other instruments that may be necessary in the prosecution of any application or applications for improvements or reissues of Letters Patent, and to assist **THE GOVERNMENT** in every way as may be requested in protecting said invention.

**IN WITNESS WHEREOF** we subscribe our hands and seals on the dates shown below:

Inventor: Christopher B. Buck	
Date _____	_____ Inventor Signature
County of _____	
State of _____	
Subscribed and sworn to before me this _____ day of _____, _____.	
Seal	
	Notary Signature: _____
	Notary Public for the State of: _____
	My Commission Expires: _____

Inventor: Upasana Ray

Date 01/28/2015

*Upasana Ray*  
Inventor Signature

County of \_\_\_\_\_

State of \_\_\_\_\_

Subscribed and sworn to before me this \_\_\_\_\_ day of \_\_\_\_\_, \_\_\_\_\_.

Seal

Notary Signature: \_\_\_\_\_

Notary Public for the State of: \_\_\_\_\_

My Commission Expires: \_\_\_\_\_

Inventor: and Diana V. Pastrana

Date \_\_\_\_\_

\_\_\_\_\_  
Inventor Signature

County of \_\_\_\_\_

State of \_\_\_\_\_

Subscribed and sworn to before me this \_\_\_\_\_ day of \_\_\_\_\_, \_\_\_\_\_.

Seal

Notary Signature: \_\_\_\_\_

Notary Public for the State of: \_\_\_\_\_

My Commission Expires: \_\_\_\_\_