

## PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1  
 Stylesheet Version v1.2

EPAS ID: PAT3925804

<b>SUBMISSION TYPE:</b>	NEW ASSIGNMENT
<b>NATURE OF CONVEYANCE:</b>	ASSIGNMENT
<b>CONVEYING PARTY DATA</b>	
<b>Name</b>	<b>Execution Date</b>
JOHN FLYGARE	03/10/2014
JANET GUNZNER-TOSTE	03/12/2014
THOMAS PILLOW	03/10/2014
BRIAN SAFINA	03/10/2014
VISHAL VERMA	03/10/2014
BINQING WEI	03/11/2014
GUILING ZHAO	03/12/2014
<b>RECEIVING PARTY DATA</b>	
<b>Name:</b>	GENENTECH, INC.
<b>Street Address:</b>	1 DNA WAY
<b>City:</b>	SOUTH SAN FRANCISCO
<b>State/Country:</b>	CALIFORNIA
<b>Postal Code:</b>	94080
<b>PROPERTY NUMBERS Total: 1</b>	
<b>Property Type</b>	<b>Number</b>
<b>Application Number:</b>	15104388
<b>CORRESPONDENCE DATA</b>	
<b>Fax Number:</b>	
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
<b>Phone:</b>	414-271-6560
<b>Email:</b>	MKEIPDOCKET@MICHAELBEST.COM
<b>Correspondent Name:</b>	MICHAEL, BEST & FRIEDRICH LLP (MT)
<b>Address Line 1:</b>	100 EAST WISCONSIN AVENUE
<b>Address Line 2:</b>	SUITE 3300
<b>Address Line 4:</b>	MILWAUKEE, WISCONSIN 53202
<b>ATTORNEY DOCKET NUMBER:</b>	065435-9248-US00
<b>NAME OF SUBMITTER:</b>	CHARLENE L. YAGER
<b>SIGNATURE:</b>	/charlene l. yager/
<b>DATE SIGNED:</b>	06/20/2016

PATENT

**Total Attachments: 6**

source=19240955\_065435-9248-US00\_-\_ASSIGNMENT\_(Flygare\_et\_al.\_to\_Genentech)\_-\_as\_filed#page1.tif

source=19240955\_065435-9248-US00\_-\_ASSIGNMENT\_(Flygare\_et\_al.\_to\_Genentech)\_-\_as\_filed#page2.tif

source=19240955\_065435-9248-US00\_-\_ASSIGNMENT\_(Flygare\_et\_al.\_to\_Genentech)\_-\_as\_filed#page3.tif

source=19240955\_065435-9248-US00\_-\_ASSIGNMENT\_(Flygare\_et\_al.\_to\_Genentech)\_-\_as\_filed#page4.tif

source=19240955\_065435-9248-US00\_-\_ASSIGNMENT\_(Flygare\_et\_al.\_to\_Genentech)\_-\_as\_filed#page5.tif

source=19240955\_065435-9248-US00\_-\_ASSIGNMENT\_(Flygare\_et\_al.\_to\_Genentech)\_-\_as\_filed#page6.tif



## UNITED STATES PATENT AND TRADEMARK OFFICE

UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND  
DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

MARCH 19, 2014

PTAS

TONY WEI PENG  
1 DNA WAY  
SOUTH SAN FRANCISCO, CA 94080

**502727251**

### UNITED STATES PATENT AND TRADEMARK OFFICE NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT RECORDATION BRANCH OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE ASSIGNMENT RECORDATION BRANCH AT 571-272-3350. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, MAIL STOP: ASSIGNMENT RECORDATION BRANCH, P.O. BOX 1450, ALEXANDRIA, VA 22313.

RECORDATION DATE: 03/18/2014

REEL/FRAME: 032467/0070  
NUMBER OF PAGES: 5

BRIEF: ASSIGNMENT OF ASSIGNORS INTEREST (SEE DOCUMENT FOR DETAILS).

DOCKET NUMBER: PR5761

ASSIGNOR:  
FLYGARE, JOHN

DOC DATE: 03/10/2014

ASSIGNOR:  
GUNZNER-TOSTE, JANET

DOC DATE: 03/12/2014

ASSIGNOR:  
PILLOW, THOMAS

DOC DATE: 03/10/2014

ASSIGNOR:  
SAFINA, BRIAN

DOC DATE: 03/10/2014

ASSIGNOR:  
VERMA, VISHAL

DOC DATE: 03/10/2014

ASSIGNOR:  
WEI, BINQING

DOC DATE: 03/11/2014

ASSIGNOR:  
ZHAO, GUILING

DOC DATE: 03/12/2014

ASSIGNEE:  
GENENTECH, INC.  
1 DNA WAY  
SOUTH SAN FRANCISCO, CALIFORNIA  
94080

APPLICATION NUMBER: 61916675 FILING DATE: 12/16/2013  
PATENT NUMBER: ISSUE DATE:  
TITLE: PEPTIDOMIMETIC COMPOUNDS AND ANTIBODY-DRUG CONJUGATES THEREOF

ASSIGNMENT RECORDATION BRANCH  
PUBLIC RECORDS DIVISION

**ASSIGNMENT**

WHEREAS, John **FLYGARE**, Janet **GUNZNER-TOSTE**, Thomas **PILLOW**, Brian **SAFINA**, Vishal **VERMA**, Binqing **WEI** and Guiling **ZHAO** (each an "ASSIGNOR"), have invented a new and useful invention in

**PEPTIDOMIMETIC COMPOUNDS AND ANTIBODY-DRUG CONJUGATES THEREOF**

for which an application Serial No. 61/916,675 (Docket No. PR5761) for Letters Patent has been filed by them on December 16, 2013; and

WHEREAS, GENENTECH, INC., a corporation organized and existing under and by virtue of the laws of the State of Delaware, having a place of business at 1 DNA Way, South San Francisco, California 94080-4990, is desirous of acquiring an interest in and to said invention, and in and to the Letters Patents to be obtained therefor;

NOW, THEREFORE, be it known by all whom it may concern;


That for good and valuable consideration the receipt of which is hereby acknowledged, the undersigned ASSIGNOR(S) have and do hereby sell, assign, transfer and set over unto the said GENENTECH, INC., its successors and assigns, the full and exclusive right, title and interest, including all rights under the Paris Convention for the Protection of Industrial Property, in and to said invention, and in and to any and all applications filed and Letters Patents granted and issued therefor, or any continuation, division, renewal, or substitute thereof, and as to Letters Patents any reissue or re-examination thereof, not only for, to, and in the United States of America, its territories and possessions, but for, to and in all other countries; and it has been and is hereby authorized and requested that the appropriate government agencies issue said Letters Patents to said GENENTECH, INC., in accordance with this Assignment.

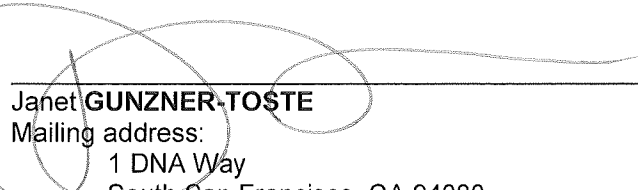
The undersigned ASSIGNOR(S) covenant and agree to cooperate with GENENTECH, INC., to enable said GENENTECH, INC. to enjoy to the fullest extent the right, title and interest herein conveyed in the United States and foreign countries. Such cooperation by the undersigned ASSIGNOR(S) includes prompt production of pertinent facts and documents, giving of testimony, execution of petitions, oaths, specifications, declarations or other papers, and other assistance, all to the extent deemed necessary or desirable by said GENENTECH, INC., (a) for perfecting the right, title and interest herein conveyed; (b) for prosecuting any of said applications; (c) for filing and prosecuting applications for reissuance of any of said patents; (d) for interference or other priority proceedings involving said invention; and (e) for legal proceedings involving said invention and any applications therefor and any patents granted thereon, including without limitation opposition proceedings, cancellation proceedings, priority contests, public use proceedings, infringement actions and court actions; provided, however, that the expense incurred by the undersigned ASSIGNOR(S) in providing such cooperation shall be paid for by said GENENTECH, INC.

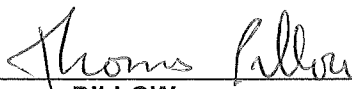
The terms and covenants of this assignment shall inure to the benefit of said GENENTECH, INC., its successors, assigns and other legal representatives, and shall be binding upon the undersigned ASSIGNOR(S), their respective heirs, legal representatives and assigns.

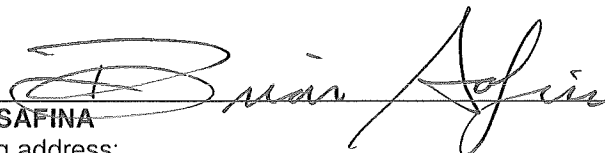
The undersigned ASSIGNOR(S) hereby warrant and represent that they have not entered and will not enter into any assignment, contract, or understanding in conflict herewith.

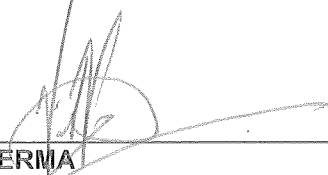
IN WITNESS WHEREOF I/we undersign as follows;

  
\_\_\_\_\_  
John **FLYGARE** 3/10/14  
Date  
Mailing address:  
1 DNA Way  
South San Francisco, CA 94080  
Citizenship: USA

  
\_\_\_\_\_  
Janet **GUNZNER-TOSTE** 12 Mar 2014  
Date  
Mailing address:  
1 DNA Way  
South San Francisco, CA 94080  
Citizenship: USA

  
\_\_\_\_\_  
Thomas **PILLOW** 3/10/14  
Date  
Mailing address:  
1 DNA Way  
South San Francisco, CA 94080  
Citizenship: USA

  
\_\_\_\_\_  
Brian **SAFINA** 3/10/14  
Date  
Mailing address:  
1 DNA Way  
South San Francisco, CA 94080  
Citizenship: USA

  
\_\_\_\_\_  
Vishal **VERMA** 3/10/14  
Date  
Mailing address:  
1 DNA Way  
South San Francisco, CA 94080  
Citizenship: USA

*Binqing Wei* *03/11/14*  
\_\_\_\_\_  
Binqing **WEI** Date  
Mailing address:  
1 DNA Way  
South San Francisco, CA 94080  
Citizenship: CN

*[Signature]* *3/12/14*  
\_\_\_\_\_  
Guiling **ZHAO** Date *twP*  
Mailing address:  
1 DNA Way  
South San Francisco, CA 94080  
Citizenship: USA

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1  
Stylesheet Version v1.2

EPAS ID: PAT2773857

<b>SUBMISSION TYPE:</b>	NEW ASSIGNMENT
<b>NATURE OF CONVEYANCE:</b>	ASSIGNMENT

**CONVEYING PARTY DATA**

Name	Execution Date
JOHN FLYGARE	03/10/2014
JANET GUNZNER-TOSTE	03/12/2014
THOMAS PILLOW	03/10/2014
BRIAN SAFINA	03/10/2014
VISHAL VERMA	03/10/2014
BINQING WEI	03/11/2014
GUILING ZHAO	03/12/2014

**RECEIVING PARTY DATA**

<b>Name:</b>	GENENTECH, INC.
<b>Street Address:</b>	1 DNA WAY
<b>City:</b>	SOUTH SAN FRANCISCO
<b>State/Country:</b>	CALIFORNIA
<b>Postal Code:</b>	94080

**PROPERTY NUMBERS Total: 1**

Property Type	Number
Application Number:	61916675

**CORRESPONDENCE DATA**

**Fax Number:** (650)952-9881

*Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent via US Mail.*

**Phone:** 650/467-5161

**Email:** terrill.patricia@gene.com, docketing-d@gene.com

**Correspondent Name:** TONY WEI PENG

**Address Line 1:** 1 DNA WAY

**Address Line 4:** SOUTH SAN FRANCISCO, CALIFORNIA 94080

<b>ATTORNEY DOCKET NUMBER:</b>	PR5761
<b>NAME OF SUBMITTER:</b>	TONY WEI PENG
<b>SIGNATURE:</b>	/Tony Wei Peng/
<b>DATE SIGNED:</b>	03/18/2014

Total Attachments: 3

**PATENT**

**RECORDED: 06/20/2016**

**REEL: 038958 FRAME: 0372**