

## PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1  
 Stylesheet Version v1.2

EPAS ID: PAT3927143

<b>SUBMISSION TYPE:</b>	NEW ASSIGNMENT
<b>NATURE OF CONVEYANCE:</b>	ASSIGNMENT
<b>CONVEYING PARTY DATA</b>	
<b>Name</b>	<b>Execution Date</b>
ROBERT R ALFANO	10/30/1998
YICI GUO	10/30/1998
FENG LIU	10/30/1998
PING PEI HO	10/30/1998
<b>RECEIVING PARTY DATA</b>	
<b>Name:</b>	RESEARCH FOUNDATION OF THE CITY UNIVERSITY OF NEW YORK
<b>Street Address:</b>	79 FIFTH AVENUE
<b>City:</b>	NEW YORK
<b>State/Country:</b>	NEW YORK
<b>Postal Code:</b>	10003
<b>PROPERTY NUMBERS Total: 1</b>	
<b>Property Type</b>	<b>Number</b>
Patent Number:	6208886
<b>CORRESPONDENCE DATA</b>	
<b>Fax Number:</b>	(914)723-4301
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
<b>Phone:</b>	9147234300
<b>Email:</b>	asuares@lsllp.com
<b>Correspondent Name:</b>	MYRON GREENSPAN
<b>Address Line 1:</b>	ONE CHASE ROAD
<b>Address Line 2:</b>	LACKENBACH SIEGEL
<b>Address Line 4:</b>	SCARSDALE, NEW YORK 10583
<b>ATTORNEY DOCKET NUMBER:</b>	CUNYRF.GEN
<b>NAME OF SUBMITTER:</b>	MYRON GREENSPAN
<b>SIGNATURE:</b>	/MYRON GREENSPAN/
<b>DATE SIGNED:</b>	06/21/2016
<b>Total Attachments: 10</b>	
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**RECORDATION FORM COVER SHEET  
PATENTS ONLY**

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

**1. Name of conveying party(ies)**

ALFANO, ROBERT R.  
GUO, YICI  
LIU, FENG  
HO, PING PEI

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

**3. Nature of conveyance/Execution Date(s):**

Execution Date(s) 10/30/1998

- ☐ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Joint Research Agreement  
☐ Government Interest Assignment  
☐ Executive Order 9424, Confirmatory License  
☒ Other CORRECTIVE ASSIGNMENT (SEE ATTACHED)

**2. Name and address of receiving party(ies)**

Name: RESEARCH FOUNDATION OF THE CITY UNIVERSITY OF NY

Internal Address: \_\_\_\_\_

Street Address: 79 FIFTH AVENUE

City: NEW YORK

State: NEW YORK

Country: U.S.A. Zip: 10003

Additional name(s) & address(es) attached? ☐ Yes ☒ No

**4. Application or patent number(s):**

☐ This document is being filed together with a new application.

A. Patent Application No.(s)

B. Patent No.(s)

6,208,886

Additional numbers attached? ☐ Yes ☒ No

**5. Name and address to whom correspondence concerning document should be mailed:**

Name: MYRON GREENSPAN

Internal Address: LACKENBACH SIEGEL, LLP

Street Address: ONE CHASE ROAD

City: SCARSDALE

State: NEW YORK Zip: 10583

Phone Number: (914) 723-4300

Fax Number: (914) 723-4301

Email Address: mgreenspan@lsllp.com

**6. Total number of applications and patents involved: 1**

**7. Total fee (37 CFR 1.21(h) & 3.41) \$ \_\_\_\_\_**

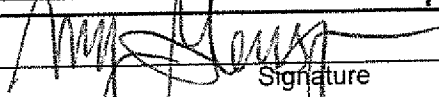
- ☐ Authorized to be charged to deposit account  
☐ Enclosed  
☐ None required (government interest not affecting title)

**8. Payment Information**

Deposit Account Number \_\_\_\_\_

Authorized User Name \_\_\_\_\_

**9. Signature:**



Signature

6/21/16  
Date

Myron Greenspan  
Name of Person Signing

Total number of pages including cover sheet, attachments, and documents: 10

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:  
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O.Box 1450, Alexandria, V.A. 22313-1450

## ATTACHMENT

1. **Name of Conveying Party:**

ALFANO, ROBERT R.  
GUO, YICI  
LIU, FENG  
HO, PING PEI

2. **Name of Receiving Party:**

Research Foundation of the City University of New York

3. **Correction of Recordation at:**

Reel 033544  
Frame 0584

4. **To correct by adding names of all conveying parties as follows:**

ALFANO, ROBERT R.  
GUO, YICI  
LIU, FENG  
HO, PING PEI

5. **Assignors confirm Assignment**

6. **Attached is a cover sheet being corrected and Original Assignment executed by all four (4) Assignors.**

ASSIGNMENT

81128A

WHEREAS, ROBERT R. ALFANO, 3777 INDEPENDENCE AVENUE, BRONX, NEW YORK 10463, YICI GUO, 33-47 91ST STREET #1H, JACKSON HEIGHT, NEW YORK 11372, FENG LIU, 3055 BAILEY AVE. #E2, BRONX, NEW YORK 10463, and PING PEI HO, 24 WEST TERRACE ROAD, GREAT NECK, NEW YORK 11021 (hereinafter referred to as ASSIGNORS), have invented and own a certain invention entitled: NON-LINEAR OPTICAL TOMOGRAPHY OF TURBID MEDIA for which an application for Letters Patent of the United States was filed on April 3, 1998 and assigned Serial No. 08/054,743.

THE UNIVERSITY OF NEW YORK, a Corporation existing under the Laws of the State of New York having a principal place of business at 78 Fifth Avenue, New York, New York 10003 (hereinafter referred to as ASSIGNEE), is desirous of acquiring the entire interest in, to and under said invention and application and to and under said Letters Patent or similar legal protection to be obtained therefor in the United States and in any and all foreign countries.

NOW, THEREFORE, TO ALL WHOM IT MAY CONCERN: Be it known that in consideration of the payment by ASSIGNEE to ASSIGNORS of the sum of One Dollar (\$1.00), the receipt of which is hereby acknowledged, and for other good and valuable consideration, ASSIGNORS hereby sell, assign and transfer to ASSIGNEE the full and exclusive right, title and interest to said invention in the United States and its territorial possessions and in all foreign countries and to said application and Letters Patent or similar legal protection in the United States and its territorial possessions and in any and all foreign countries to be obtained for said invention by said application or any continuation, division, renewal, substitute or reissue thereof or any legal equivalent thereof in a foreign country for the full term or terms for which the same may be granted.

ASSIGNORS hereby covenant that no assignment, sale, agreement or encumbrance have been or will be made or entered into which could conflict with this assignment and sale;

ASSIGNORS further covenant that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said application, said invention and said Letters Patent and legal equivalents in foreign countries as may be known and accessible to ASSIGNORS and will testify as to the same in any interference or litigation related thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalents thereof in any foreign country which may be necessary or desirable to carry out the purposes thereof.

IN WITNESS WHEREOF, I have hereunto set hand and seal this 30 day of Oct, 1998.

Robert R. Alfano  
ROBERT R. ALFANO

PATENT

REEL: 9569 FRAME: 0278  
PATENT


REEL: 033544 FRAME: 0587

PATENT


REEL: 038907 FRAME: 0274

81128A NONLINEAR OPTICAL TOMOGRAPHY OF TURBID MEDIA

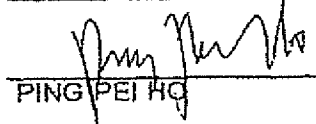
IN WITNESS WHEREOF, I have hereunto set hand and seal this 30 day of  
October, 1998.

  
YICI GUO

IN WITNESS WHEREOF, I have hereunto set hand and seal this 30 day of  
October, 1998.

  
FENG LIU

IN WITNESS WHEREOF, I have hereunto set hand and seal this 30 day of  
October, 1998.

  
PING PEI HQ

RECORDED: 11/02/1998

RECORDED: 08/14/2014

PATENT

REEL: 9569 FRAME: 0279  
PATENT

REEL: 033544 FRAME: 0588


PATENT

REEL: 038967 FRAME: 0275

## Patent Assignment Details

**NOTE: Results display only for issued patents and published applications. For pending or abandoned applications please consult USPTO staff.**

Reel/Frame: 033544 / 0584

[View Recorded Assignment](#) 

Pages: 5

Recorded: 08/14/2014

Attorney Dkt CUNY.GEN  
#:

Conveyance: CORRECTIVE ASSIGNMENT TO CORRECT THE NAME OF THE ASSIGNEE PREVIOUSLY RECORDED ON REEL 009569 FRAME 0277. ASSIGNOR(S) HEREBY CONFIRMS THE THE RESEARCH FOUNDATION OF THE CITY UNIVERSITY OF NEW YORK.

### Total properties: 1

1 Patent #: 6208886 Issue Dt: 03/27/2001 Application #: 09054743 Filing Dt: 04/03/1998  
Title: NON-LINEAR OPTICAL TOMOGRAPHY OF TURBID MEDIA

### Assignor

1 ALFANO, ROBERT R

Exec Dt: 10/30/1998

### Assignee

1 THE RESEARCH FOUNDATION OF THE CITY UNIVERSITY OF NEW YORK  
79 FIFTH AVENUE  
NEW YORK, NEW YORK 10003

### Correspondence name and address

MYRON GREENSPAN  
ONE CHASE ROAD  
LACKENBACH SIEGEL LLP  
SCARSDALE, NY 10583

Search Results as of: 06/10/2016 01:12 PM

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If you have any comments or questions concerning the data displayed, contact PRD / Assignments at 571-272-3350. v.2.5  
Web interface last modified: Aug 20, 2015 v.2.5

## PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1  
Stylesheet Version v1.2

EPAS ID: PAT2982606

<b>SUBMISSION TYPE:</b>	CORRECTIVE ASSIGNMENT	
<b>NATURE OF CONVEYANCE:</b>	Corrective Assignment to correct the NAME OF THE ASSIGNEE previously recorded on Reel 009569 Frame 0277. Assignor(s) hereby confirms the THE RESEARCH FOUNDATION OF THE CITY UNIVERSITY OF NEW YORK.	
<b>CONVEYING PARTY DATA</b>		
	<b>Name</b>	<b>Execution Date</b>
	ROBERT R ALFANO	10/30/1998
<b>RECEIVING PARTY DATA</b>		
<b>Name:</b>	THE RESEARCH FOUNDATION OF THE CITY UNIVERSITY OF NEW YORK	
<b>Street Address:</b>	79 FIFTH AVENUE	
<b>City:</b>	NEW YORK	
<b>State/Country:</b>	NEW YORK	
<b>Postal Code:</b>	10003	
<b>PROPERTY NUMBERS Total: 1</b>		
	<b>Property Type</b>	<b>Number</b>
	Application Number:	09054743
<b>CORRESPONDENCE DATA</b>		
<b>Fax Number:</b>	(914)723-4301	
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>		
<b>Phone:</b>	9147234668	
<b>Email:</b>	asuarez@lsilp.com	
<b>Correspondent Name:</b>	MYRON GREENSPAN	
<b>Address Line 1:</b>	ONE CHASE ROAD	
<b>Address Line 2:</b>	LACKENBACH SIEGEL LLP	
<b>Address Line 4:</b>	SCARSDALE, NEW YORK 10583	
<b>ATTORNEY DOCKET NUMBER:</b>	CUNY.GEN	
<b>NAME OF SUBMITTER:</b>	MYRON GREENSPAN	
<b>SIGNATURE:</b>	/myron greenspan/	
<b>DATE SIGNED:</b>	08/14/2014	
This document serves as an Oath/Declaration (37 CFR 1.63).		
<b>Total Attachments: 3</b>		
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PATENT  
REEL: 033544 FRAME: 0585

PATENT  
REEL: 038967 FRAME: 0278

11-12-1998



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PT U.S. Department of Commerce  
Patent and Trademark Office

11/2/98

To the Honorable Commissioner of Patents and Trademarks:  
Please record the attached original documents or copy thereof.

1. Name of conveying party(ies): ROBERT R. ALFANO YICI GUO FENG LIU PING PEI HO Additional name(s) of conveying party(ies) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	2. Name and address of receiving party(ies):  Name: <u>The Research Foundation of City</u> Internal Address: <u>College of New York</u>  Street Address: <u>79 Fifth Avenue</u>  City: <u>New York</u> State: <u>NY</u> ZIP: <u>10003</u>  Additional Name(s) & address(es) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
3. Nature of conveyance:  <input checked="" type="checkbox"/> Assignment <input type="checkbox"/> Merger <input type="checkbox"/> Security Agr. <input type="checkbox"/> Change of Name <input type="checkbox"/> Other Execution Date: <u>October 30, 1998</u>	

4. Application number(s) or patent number(s):  If this document is being filed together with a new application, the execution date of the application is: _____	
A. Patent Application No.(s) <u>09/054,743</u>	B. Patent No.(s)  
Additional numbers attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	

5. Name and address of party to whom correspondence concerning document should be mailed: Name: <u>KRIEGSMAN &amp; KRIEGSMAN</u> Internal Address: _____ <u>SUITE 100</u>  Street Address: _____ <u>883 EDGELL ROAD</u> City: <u>FRAMINGHAM</u> State: <u>MA</u> ZIP: <u>01701</u>	6. Total number of applications and patents involved: <u>ONE</u>  7. Total fee (37 C.F.R. 3.41).... <u>\$40.00</u> <input checked="" type="checkbox"/> Enclosed <input type="checkbox"/> Authorized to be charged to deposit account  8. Deposit Account number: _____ (Attach duplicate copy of this page if paying by deposit account)
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9. Statement and signature.  
To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Edward M. Kriegsman  
Name of Person Signing

Edward M. Kriegsman  
Signature

November 2, 1998  
Date

Total number of pages including cover sheet, attachments and document: 3

PATENT

REEL: 9569 FRAME: 0277

PATENT

REEL: 033544 FRAME: 0586

PATENT

REEL: 038967 FRAME: 0279

ASSIGNMENT

81128A

WHEREAS, ROBERT R. ALFANO, 3777 INDEPENDENCE AVENUE, BRONX, NEW YORK 10463, YICI GUO, 33-47 91ST STREET #1H, JACKSON HEIGHT, NEW YORK 11372, FENG LIU, 3055 BAILEY AVE, #E2, BRONX, NEW YORK 10463, and PING PEI HO, 24 WEST TERRACE ROAD, GREAT NECK, NEW YORK 11021 (hereinafter referred to as ASSIGNORS), have invented and own a certain invention entitled: NON-LINEAR OPTICAL TOMOGRAPHY OF TURBID MEDIA for which an application for Letters Patent of the United States was filed on April 3, 1998 and assigned Serial No. 09/054,743.

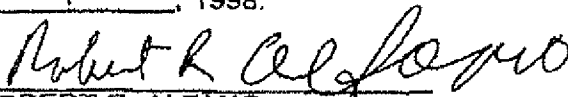
WHEREAS: THE RESEARCH FOUNDATION OF CITY COLLEGE OF NEW YORK, a Corporation existing under the Laws of the State of New York having a principal place of business at 79 Fifth Avenue, New York, New York 10003 (hereinafter referred to as ASSIGNEE), is desirous of acquiring the entire interest in, to and under said invention and application and to and under said Letters Patent or similar legal protection to be obtained therefor in the United States and in any and all foreign countries.

NOW, THEREFORE, TO ALL WHOM IT MAY CONCERN: Be it known that in consideration of the payment by ASSIGNEE to ASSIGNORS of the sum of One Dollar (\$1.00), the receipt of which is hereby acknowledged, and for other good and valuable consideration, ASSIGNORS hereby sell, assign and transfer to ASSIGNEE the full and exclusive right, title and interest to said invention in the United States and its territorial possessions and in all foreign countries and to said application and Letters Patent or similar legal protection in the United States and its territorial possessions and in any and all foreign countries to be obtained for said invention by said application or any continuation, division, renewal, substitute or reissue thereof or any legal equivalent thereof in a foreign country for the full term or terms for which the same may be granted.

ASSIGNORS hereby covenant that no assignment, sale, agreement or encumbrance have been or will be made or entered into which could conflict with this assignment and sale;

ASSIGNORS further covenant that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said application, said invention and said Letters Patent and legal equivalents in foreign countries as may be known and accessible to ASSIGNORS and will testify as to the same in any interference or litigation related thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalents thereof in any foreign country which may be necessary or desirable to carry out the purposes thereof.

IN WITNESS WHEREOF, I have hereunto set hand and seal this 30 day of Oct, 1998.

  
ROBERT R. ALFANO

PATENT

REEL: 9569 FRAME: 0278

PATENT

REEL: 033544 FRAME: 0587

PATENT

REEL: 038967 FRAME: 0280

81128A NONLINEAR OPTICAL TOMOGRAPHY OF TURBID MEDIA

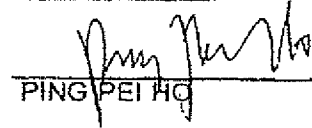
IN WITNESS WHEREOF, I have hereunto set hand and seal this 30 day of  
October, 1998.

  
\_\_\_\_\_  
YICI GUO

IN WITNESS WHEREOF, I have hereunto set hand and seal this 30 day of  
October, 1998.

  
\_\_\_\_\_  
FENG LIU

IN WITNESS WHEREOF, I have hereunto set hand and seal this 30 day of  
October, 1998.

  
\_\_\_\_\_  
PING PEI HO

RECORDED: 11/02/1998

RECORDED: 08/14/2014

RECORDED: 06/21/2016

PATENT

REEL: 9569 FRAME: 0279

PATENT

REEL: 033544 FRAME: 0588

PATENT

REEL: 038967 FRAME: 0281