503883585 06/22/2016

# PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2 EPAS ID: PAT3930234

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT

### **CONVEYING PARTY DATA**

Name	Execution Date
ELEVANCE RENEWABLE SCIENCES, INC.	04/15/2016

### **RECEIVING PARTY DATA**

Name:	CARGILL, INCORPORATED
Street Address:	15407 MCGINTY ROAD WEST
Internal Address:	MAIL STOP 24
City:	WAYZATA
State/Country:	MINNESOTA
Postal Code:	55391

### **PROPERTY NUMBERS Total: 1**

Property Type	Number				
Patent Number:	8500826				

## CORRESPONDENCE DATA

Fax Number: (952)742-6349

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent

using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

Email: PATENT DOCKETING@CARGILL.COM

CARGILL, INCORPORATED **Correspondent Name:** Address Line 1: 15407 MCGINTY ROAD WEST

Address Line 2: MAIL STOP 24

Address Line 4: WAYZATA, MINNESOTA 55391

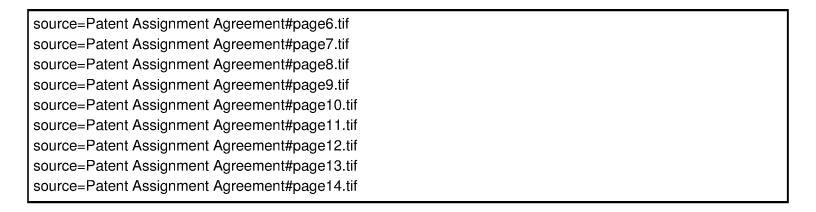
ATTORNEY DOCKET NUMBER:	N00470-US-NP
NAME OF SUBMITTER:	JANAN ABED
SIGNATURE:	/janan abed/
DATE SIGNED:	06/22/2016

### **Total Attachments: 14**

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> **PATENT REEL: 038985 FRAME: 0240**

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### PATENT ASSIGNMENT AGREEMENT

This Patent Assignment Agreement (this "<u>Assignment</u>") is made and entered into as of April 15, 2016 (the "<u>Effective Date</u>"), by and between Elevance Renewable Sciences, Inc., a Delaware corporation ("<u>Assignor</u>") and Cargill, Incorporated, a Delaware limited liability company ("<u>Assignee</u>"). Each of Assignor and Assignee are sometimes individually referred to in this Assignment as a "<u>Party</u>" and collectively as the "<u>Parties</u>." Capitalized terms used herein but otherwise not defined shall have the respective meanings given to them in the APA (as defined below).

WHEREAS, pursuant to that certain Asset Purchase Agreement dated as the Effective Date by and between Assignor and Assignee (the "APA"), Assignor has agreed to sell to the Assignee all of the Purchased Assets;

WHEREAS, Assignor desires to sell, assign, transfer, convey and deliver to the Assignee all of the Assignor's respective rights, titles, and interests in, to the patents and patent applications set forth on <u>Schedule I</u>, together with any and all re-issues, divisions, continuations, renewals, extensions, and continuations-in-part thereof (collectively, the "Assigned <u>Patents</u>").

NOW, THEREFORE, in consideration of the foregoing and the respective representations, mutual covenants, agreements, and understandings contained herein and intending to be legally bound, the Parties hereto hereby agree as follows:

- 1. <u>Assignment.</u> Assignor hereby irrevocably sells, assigns, transfers, conveys and delivers, free and clear of all Liens (other than Permitted Liens), to Assignee, all of the Assignor's respective worldwide rights, titles, and interests in, to the Patents set forth on <u>Schedule 1</u> attached hereto, together with any and all re-issues, divisions, continuations, renewals, extensions, and continuations-in-part thereof (the "<u>Assigned Patents</u>"), together with the Assignor's interest in income, royalties, damages and payments accrued, due or payable as of the date hereof or thereafter (including damages and payments for past, present or future infringements or misappropriations thereof), the right to sue and recover for past, present or future infringements or misappropriations thereof and any and all corresponding rights that, now or hereafter, may be secured throughout the world.
- 2. <u>Further Assurances</u>. From and after the Effective Date, in the event any further action is necessary to carry out the purposes of this Assignment, Assignor and its respective directors, officers, managers and members shall take all such necessary action as may be reasonably requested by Assignee to achieve such intent, including the execution of any documentation reasonably requested by any Assignee to effectuate the assignment, transfer and conveyance of the Assigned Patents (including any documentation for filing with the United States Patent and Trademark Office, United States Copyright Office or any equivalent foreign agency or any domain name registrar). Assignor hereby authorizes and requests the Commissioner of Patents and Trademarks, Register of Copyrights, and any equivalent authority, entity or agency or registrar to record Assignee as the assignee and owner of the applicable Assigned Patents.

# 3. Miscellaneous.

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- (a) The law of the State of Delaware shall govern all questions concerning the construction, validity, interpretation and enforceability of this Assignment and the Schedules attached hereto, and the performance of the obligations imposed by this Assignment, without giving effect to any choice of law or conflict of law rules or provisions (whether of the State of Delaware or any other jurisdiction) that would cause the application of the Laws of any jurisdiction other than the State of Delaware.
- (b) This Assignment and any signed agreement or instrument entered into in connection with this Assignment, and any amendments hereto or thereto, may be executed in one or more counterparts, all of which shall constitute one and the same instrument. Any such counterpart, to the extent delivered by means of a facsimile machine or by .pdf, .tif, .gif, .peg or similar attachment to electronic mail (any such delivery, an "Electronic Delivery") shall be treated in all manner and respects as an original executed counterpart and shall be considered to have the same binding legal effect as if it were the original signed version thereof delivered in person. At the request of any Party hereto, each other Party hereto or thereto shall re execute the original form of this Assignment and deliver such form to all other Parties. No Party hereto shall raise the use of Electronic Delivery to deliver a signature or the fact that any signature or agreement or instrument was transmitted or communicated through the use of Electronic Delivery as a defense to the formation of a contract, and each such Party forever waives any such defense, except to the extent such defense relates to lack of authenticity.
- (c) The Parties acknowledge and agree that this Assignment is entered into pursuant to the APA, to which reference is made for a further statement of the rights and obligations of the Parties with respect to the Assigned Patents. The representations, warranties, covenants, agreements, and indemnities contained in the APA shall not be superseded hereby, but shall remain in full force and effect to the full extent provided therein.

[Signature Page Follows]

2

IN WITNESS WHEREOF, this Assignment has been duly executed and delivered by a duly authorized representative of each of the Parties as of the Effective Date.

ASSIGNOR
ELEVANCE RENEWABLE SCIENCES, INC.
By: - * * * * * * * * * * * * * * * * * *
Name: Kara E Lawrens
Title: <u>CFO</u>
ASSIGNEE
CARGILL, INCORPORATED
Ву:
Name:
Tielo

Signature Page to Form Patent Assignment

IN WITNESS WHEREOF, this Assignment has been duly executed and delivered by a duly authorized representative of each of the Parties as of the Effective Date.

# ASSIGNOR ELEVANCE RENEWABLE SCIENCES, INC. By: Name: Title: ASSIGNEE CARGILL, INCORPORATED By: Signe Signe

# SCHEDULE I - ASSIGNED PATENTS

Country	Status	Applicatio n Number	Applicati on Date	Patent Number	Issue Date	Publi. Date	Publ. Number	Title
		20.010.000.00	233.23.60	3 1 2 ULS 13		N W Y Y		
United States	Issued	09/854,138	5/11/2001	6,503,285	1/7/2003			TRIACYLGLYCE ROL BASED CANDLE WAX
Canada	Issued	2447441	5/10/2002	2,447,441	4/20/2010			TRIACYLGLYCE ROL BASED CANDLE WAX
Switzerland	Issued	2725998.3	5/10/2002	1,390,460	\$/18/2010	2/25/2004	1390460	TRIACYLGLYCE ROL BASED CANDLE WAX
Germany	Issued	60237358.1	5/10/2002	1,390,460	8/18/2010	2/25/2004	1390460	TRIACYLGLYCE ROL BASED CANDLE WAX
European Patent Convention	Issued	2725998.5	5/10/2002	1.390,460	8/18/2010	2/25/2004	1390460	TRIACYLGLYCE ROL BASED CANDLE WAX
France	Issued	2725998.5	5/10/2002	1,390,460	8/18/2010	2/25/2004	1390460	TRIACYLGLYCE ROL BASED CANDLE WAX
Great Britain	fisued	2725998.5	5/10/2002	1,390,460	8/18/2010	2/25/2004	1390460	TRIACYLGLYCE ROL BASED CANDLE WAX
Ireland	Issued	2725998.5	5/10/2002	1,390,460	8/18/2010	2/25/2004	1390460	TRIACYLOLYCE ROL BASED CANDLE WAX
Monaco	Issued	2725998.5	5/10/2002	1,390,460	8/18/2010	2/25/2004	1390460	TRIACYLGLYCE ROL BASED CANDLE WAX
United States	Issued	12/269,638	11/12/200 8	8,070,833	12/6/2011	5/14/2009	2009- 0119977A1	TRIACYGLYCER OL BASED CANDLE WAX
United States	Issued	10/863,562	6/8/2004	7,462,205	12/9/2008	11/11/200 4	2004- 0221504A1	TRIACYLGLYCE ROL BASED CANDLE WAX
United States	Issued	10/284,272	10/30/200	6,770,104	8/3/2004	6/19/2003	2003-110683A1	TRIACYLGLYCE ROL BASED CANDLE WAX
United States	Issued	09/877,716	6/8/2001	6,645,261	11/11/2003			TRIACYLGLYCE ROL-BASED ALTERNATIVE TO PARAFFIN WAX
United States	Issued	09/963,104	9/25/2001	7,128,766	10/31/2006	<b>*</b>		TRIACYLGLYCE ROL BASED WAX COMPOSITION

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Country	Status	Applicatio n Number	Applicati on Date	Patent Number	Issue Date	Publi. Date	Publ. Number	Title
United States	Issued	11/588,734	10/27/200 6	8,021,443	9/20/2011	2/22/2007	2007- 0039237A1	TRIACYLGLYCE ROL BASED WAX COMPOSITION
United States	Issued	10/092,341	3/5/2002	6,824,572	11/30/2004	1/23/2003	2003-001743A1	VEGETABLE OIL BASED WAX COMPOSITIONS
United States	Issued	10/292,403	11/12/200 2	6,773,469	8/10/2004			TRIACYLGLYCE ROL BASED WAX FOR USE IN CANDLES
United States	Issued	10/950,127	9/24/2004	7,637,968	12/29/2009	3/24/2005	2005-60927-A1	TRIACYLOLYCE ROL BASED WAX FOR USE IN CONTAINER CANDLES
United States	Issued	10/292,378	11/12/200 2	6,797,020	9/28/2004	5/13/2004	2004-88907ai	TRIACYLGLYCE ROL BASED WAX FOR USE IN CONTAINER CANDLES
United States	Issued	10/434,447	5/8/2003	7,192,457	3/20/2007			WAX AND WAX BASED PRODUCTS
Canada	issued	2525088	5/6/2004	2,525,088	\$/28/2012			WAX AND WAX BASED PRODUCTS
Switzerland	Issued	4760892.2	5/6/2004	1,620,533	12/\$/2010	2/1/2006	1620533	WAX AND WAX BASED PRODUCTS
Gennany	Issued	602004030 436	5/6/2004	602004030 436	12/8/2010	2/1/2006	1620533	WAX AND WAX BASED PRODUCTS
European Patent Convention	Issued	4760892.2	5/6/2004	1,620,533	12/8/2010	2/1/2006	1620533	WAX AND WAX BASED PRODUCTS
France	Issued	4760892.2	5/6/2004	1,620,533	12/8/2010	2/1/2006	1620533	WAX AND WAX BASED PRODUCTS
Great Britain	Issued	4760892.2	5/6/2004	1,620,533	12/8/2010	2/1/2006	1620533	WAX AND WAX BASED PRODUCTS
Ireland	Issued	4760892.2	5/6/2004	1,620,533	12/8/2010	2/1/2006	1620533	WAX AND WAX BASED PRODUCTS
Liechtenstein	Issued	4760892.2	5/6/2004	1,620,533	12/8/2010	2/1/2006	1620533	WAX AND WAX BASED PRODUCTS

Country	Status	Applicatio n Number	Applicati on Date	Patent Number	Issue Date	Publi. Date	Publ. Number	Title
Monaco	Isqued	4760892.2	5/6/2004	1,620,533	12/8/2010	2/1/2006	1620533	WAX AND WAX BASED PRODUCTS
United States	Issued	11/502,977	8/11/2006	7,833,294	11/16/2010	12/7/2006	2006- 9272200A1	WAX AND WAX BASED PRODUCTS
United States	Issued	12/903,570	10/13/201 0	8,157,873	4/17/2012	7/7/2011	2011- 0165529A1	WAX AND WAX- BASED PRODUCTS
United States	Issued	16/655,945	9/5/2003	7,217,301	5/15/2007	3/11/2004	2004- 0047886A1	TRIACYLGLYCE ROL-BASED ALTERNATIVE TO PARAFINN WAX
Switzerland	Issued	8725649.1	3/15/2008	2,121,846	10/26/2011	11/25/200 9	2121846	WAX COMPOSITIONS AND METHODS OF PREPARING WAX COMPOSITIONS
Germany	Issued	602008010 853.8	2/15/2008	2,121,846	10/26/2011	11/25/200 9	2121846	WAX COMPOSITIONS AND METHODS OF PREPARING WAX COMPOSITIONS
European Patent Convention	Issued	8725649.1	2/15/2008	2,121,846	10/26/2011	11/25/200 9	2121846	WAX COMPOSITIONS AND METHODS OF PREPARING WAX COMPOSITIONS
Pance	Issued	8725649.1	2/15/2008	2,121,846	19/26/2011	11/25/200 9	2121846	WAX COMPOSITIONS AND METHODS OF PREPARING WAX COMPOSITIONS
Great Britain	Issued	¥725649.1	2/15/2008	2,121,846	10/26/2011	11/25/200 9	2121846	WAX COMPOSITIONS AND METHODS OF PREPARING WAX COMPOSITIONS
freland	Issued	8725649.1	2/15/2008	2,121,846	10/26/2011	11/25/200 9	2121846	WAX COMPOSITIONS AND METHODS OF PREPARING WAX COMPOSITIONS

Country	Status	Applicatio n Number	Applicati on Date	Patent Number	Issue Date	Publi. Date	Publ. Number	Title
Netherland	Issued	8725649.1	2/15/2/008	2,121,846	10/26/2011	11/25/200 9	2121846	WAX COMPOSITIONS AND METHODS OF PREPARING WAX COMPOSITIONS
United States	Issued	12/539,877	8/12/2009	8,603,197	12/10/2013	2/4/2019	2010- 0024281A1	WAX COMPOSITIONS AND METHODS OF PREPARING WAX COMPOSITIONS
United States	Issued	14/069,076	10/31/201 3	8,940,096	1/27/2015	3/6/2014	2014- 0060384A1	WAX COMPOSITIONS AND METHODS OF PREPARING WAX COMPOSITIONS
Canada	Issued	2689194	5/30/2008	2,689,194	10/27/2015		2689194	PRILLED WAXES COMPRISING SMALL PARTICLES AND SMOOTH-SIDED COMPRESSION CANDLES MADE THEREFROM
China P.R.	Issued	200880101 342.5	3/30/2008	CN101772 564A	7/15/2015	7/7/2010	CN101772564A	PRILLED WAXES COMPRISING SMALL PARTICLES AND SMOOTH-SIDED COMPRESSION CANDLES MADE THEREFROM
European Patent Convention	Pending	8769920.3	5/30/2008			3/17/2016	2162516	PRILLED WAXES COMPRISING SMALL PARTICLES AND SMOOTH-SIDED COMPRESSION CANDLES MADE THEREFROM
India	Pending	2203/MUM NP/2009	5/30/2008			6/18/2010		PRILLED WAXES COMPRISING SMALL PARTICLES AND SMOOTH-SIDED COMPRESSION CANDLES MADE THEREFROM
Mexico	Issued	MX/A/2009 /013053	5/30/2008	325,704	11/26/2014	3/24/2010	325704	PRILLED WAXES COMPRISING SMALL PARTICLES AND SMOOTH-SIDED COMPRESSION CANDLES MADE

Country	Status	Applicatio n Number	Applicati on Date	Patent Number	Issue Date	Publi. Date	Publ. Number	Title
								THEREFROM
United States	Issued	12/627,732	11/30/200 9	8,551,194	10/8/2013	6/3/2010	2010- 0132250AJ	PRILLED WAXES COMPRISING SMALL PARTICLES AND SMOOTH-SIDED COMPRESSION CANDLES MADE THEREFROM
Canada	Pending	2690811	6/13/2008					HYBRID WAX COMPOSITIONS FOR USE IN COMPRESSION MOLDED WAX ARTICLES SUCH AS CANDLES
European Parent Convention	Pending	8771113.1	6/13/2008			3/31/2010	2167874	HYBRID WAX COMPOSITIONS FOR USE IN COMPRESSION MOLDED WAX ARTICLES SUCH AS CANDLES
Mexico	Issued	MX/A/2009 /013820	6/13/2008	317,761	81/31/2014	5/17/2010	317761	HYBRID WAX COMPOSITONS FOR USE IN COMPRESSION MOLDED WAX ARTICLES SUCH AS CANDLES
United States	issued	12/637,123	12/14/200	8,652,221	2/18/2014	8/19/2010	2010- 0205851A1	HYBRID WAX COMPOSITIONS FOR USE IN COMPRESSION MOLDED WAX ARTICLES SUCH AS CANDLES

Country	Status	Applicatio n Number	Applicati on Date	Patent Number	Issue Date	Publi. Date	Publ. Number	Title
Australia	Pending	201133209 7	11/21/201 1					LIPID-BASED WAX COMPOSITIONS SUBSTANTIALLY FREE OF FAT BLOOM AND METHODS OF MAKING
Canada	Pending	2818752	11/21/201					LIPID-BASED WAX COMPOSITIONS SUBSTANTIALLY FREE OF FAT BLOOM AND METHODS OF MAKING
China P.R.	Issued	201180012 630.5	3/7/2011	ZL2011800 12630.5	2/25/2015	1/30/2013	CN102906236A or ZL2011800126 30.5 (Murgatroyd Reference)	LIPID-BASED WAX COMPOSITIONS SUBSTANTIALLY FREE OF FAT BLOOM AND METHODS OF MAKING
China P.R.	Pending	201180056 481.2	11/21/201			9/4/2013	CN103282476A	LIPID-BASED WAX COMPOSITIONS SUBSTANTIALLY FREE OF FAT BLOOM AND METHODS OF MAKING
Germany	Issued	602911004 165.7	3/7/2011	2,545,151	12/11/2013		2545151	LIPID-BASED WAX COMPOSITIONS SUBSTANTIALLY FREE OF FAT BLOOM AND METHODS OF MAKING
Denmark	Issued	11707987.1	3/7/2011	2,545,151	1/16/2013		2545151	LIPID-BASED WAX COMPOSITIONS SUBSTANTIALLY FREE OF FAT BLOOM AND METHODS OF MAKING

Country	Status	Applicatio n Number	Applicati on Date	Patent Number	Issue Date	Publi. Date	Publ. Number	Title
European Patent Convention	Issued	11707987.1	3/7/2011	2,545,151	12/11/2013	1/16/2013	2545151	LIPID-BASED WAX COMPOSITIONS SUBSTANTIALLY FREE OF FAT BLOOM AND METHODS OF MAKING
European Patent Convention	Pending	11791395.4	11/21/201 1			10/2/2013	2643445	LIPID-BASED WAX COMPOSITIONS SUBSTANTIALLY FREE OF FAT BLOOM AND METHODS OF MAKING
France	Issued	11707987.1	3/7/2011	2,545,151	12/11/2013	1/16/2013	2545151	LIPID-BASED WAX COMPOSITIONS SUBSTANTIALLY FREE OF FAT BLOOM AND METHODS OF MAKING
Great Britain	Issued	11767987.1	3/7/2011	2,545,151	12/11/2013	1/16/2013	2545151	LIPID-BASED WAX COMPOSITIONS SUBSTANTIALLY FREE OF FAT BLOOM AND METHODS OF MAKING
India	Pending	8767/DEL NP/2012	3/7/2011			3/28/2014		LIPID-BASED WAX COMPOSITIONS SUBSTANTIALLY FREE OF PAT BLOOM AND METHODS OF MAKING
India	Pending	4680/DEL NP/2013	11/21/201 1			3/28/2014		LIPID-BASED WAX COMPOSITIONS SUBSTANTIALLY FREE OF FAT BLOOM AND METHODS OF MAKING

Country	Status	Applicatio n Number	Applicati on Date	Patent Number	Issue Bate	Publi. Date	Publ. Number	Title
South Korea	Pending	2013- 7016220	11/21/201 1			2/10/2014	2014-0004107	LIPID-BASED WAX COMPOSITIONS SUBSTANTIALLY PREE OF FAT BLOOM AND METHODS OF MAKING
Netherland	Issued	11707987.1	3/7/2011	2,545,151	12/11/2013	1/16/2013	2545151	LIPID-BASED WAX COMPOSITIONS SUBSTANTIALLY FREE OF FAT BLOOM AND METHODS OF MAKING
Sweden	Issued	11767987.1	3/7/2011	2,545,151	12/11/2013	1/16/2013	2545151	LIPID-BASED WAX COMPOSITIONS SUBSTANTIALLY FREE OF FAT BLOOM AND METHODS OF MAKING
Thziland	Pending	120100458 1	3/7/2011			5/6/2014	133353	LIPID-BASED WAX COMPOSITIONS SUBSTANTIALLY FREE OF FAT BLOOM AND METHODS OF MAKING
United States	Issued	13/042,077	3/7/2011	*,500,826	8/6/2013	9/15/2011	2011- 0219667A1	LIPID-BASED WAX COMPOSITIONS SUBSTANTIALLY FREE OF FAT BLOOM AND METHODS OF MAKING
United States	Issued	13/920,779	6/18/2013	8,876,919	11/4/2014	10/31/201 3	2013- 0285286A1	LIPID-BASED WAX COMPOSITIONS SUBSTANTIALLY FREE OF FAT BLOOM AND METHODS OF MAKING

Country	Status	Applicatio	Applicati	Patent	Issue Date	Publi.	Publ. Number	Title
		n Number	on Date	Number		Date		
United States	Pending	13/301,401	11/21/201 1			5/24/2012	2012- 0124892A1	LIPID-BASED WAX COMPOSITIONS SUBSTANTIALLY FREE OF FAT BLOOM AND METHODS OF MAKING
China P.R.	Pending	201180021 385.4	5/5/2011			1/16/2013	CN102884143A	NATURAL OIL BASED MARKING COMPOSITIONS AND THEIR METHODS OF MAKING
European Patent Convention	Pending	11721170.6	5/5/2011			5/20/2013	2569379	NATURAL OIL BASED MARKING COMPOSITIONS AND THEIR METHODS OF MAKING
India	Pending	10785/DEL NP/2012	5/5/2011			9/5/2014		NATURAL OIL BASED MARKING COMPOSITIONS AND THEIR METHODS OF MAKING
Thailand	Pending	120100528 2	5/5/2011			5/6/2014	133281	NATURAL OIL BASED MARKING COMPOSITIONS AND THEIR METHODS OF MAKING
United States	Issued	13/101,515	5/5/2011	8,641,814	2/4/2014	11/17/201 1	2011- 0277661A1	NATURAL OIL BASED MARKING COMPOSITIONS AND THEIR METHODS OF MAKING
Australia	Pending		2/13/2014					WAX COMPOSITIONS AND THE EFFECT OF METALS ON BURN RATES
Canada	Pending		2/13/2014					WAX COMPOSITIONS AND THE EFFECT OF METALS ON BURN RATES
China P.R.	Pending		2/13/2014			10/28/201 5	105008506A	WAX COMPOSITIONS AND THE EFFECT OF METALS ON BURN RATES

Country	Status	Applicatio a Number	Applicati on Date	Patent Number	Issue Date	Publi. Date	Publ. Number	Title
European Patent Convention	Pending	14707892.7	2/13/2014			12/23/201 5	2956531A	WAX COMPOSITIONS AND THE EFFECT OF METALS ON BUEN RATES
India	Pending		2/[3/20]4					WAX COMPOSITIONS AND THE EFFECT OF METALS ON BURN RATES
South Korea	Pending		2/13/2014			10/27/201	10-2015- 0120379	WAX COMPOSITIONS AND THE EFFECT OF METALS ON BURN RATES
Mexico	Pending		2/13/2014					WAX COMPOSITIONS AND THE EFFECT OF METALS ON BURN RATES
Thailand	Pending		2/13/2014					WAX COMPOSITIONS AND THE EFFECT OF METALS ON BURN RATES
United States	Pending	14/966,863	12/11/201 5					WAX COMPOSITIONS AND THE EFFECT OF METALS ON BURN RATES
Viet Nam	Pending		2/13/2014					WAX COMPOSITIONS AND THE EFFECT OF METALS ON BURN RATES