

Form PTO-1595 (Rev. 07/05)
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MR	RECORDATION FORM COVER SHEET PATENTS ONLY	S-142,010
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1. Name of conveying party(ies)

GENERAL ELECTRIC COMPANY

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

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Execution Date(s) 04/29/2016

- ☐ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Joint Research Agreement
☐ Government Interest Assignment
☒ Executive Order 9424, Confirmatory License
☐ Other _____

2. Name and address of receiving party(ies)

Name: United States Department of Energy

Internal Address: _____

Street Address: 1000 Independence Ave., S.W.

City: Washington

State: D.C.

Country: USA Zip: 20585

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application or patent number(s):

A. Patent Application No.(s)

15/080,201

☐ This document is being filed together with a new application.

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address to whom correspondence concerning document should be mailed:

Name: Michael J. Dobbs

Internal Address: U. S. Dept. of Energy, IPLD

Street Address: 9800 S. Cass Ave

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Phone Number: 630-252-2164

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6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 1.21(h) & 3.41) \$ _____

- ☐ Authorized to be charged by credit card
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☒ None required (government interest not affecting title)

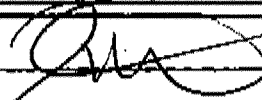
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 Signature

Timothy Harney #38,174
Name of Person Signing

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2

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CONFIRMATORY LICENSE

Title of Invention: Apparatus, Turbine Nozzle and Turbine Shroud

Inventor(s): Gary Itzel, John Delvaux, Matthew Hafner, Sandip Dutta

Patent Application Serial No: 15/080201

Filing Date : 3/24/16

Patent Number: TBD

Issue Date: TBD

Name of Contractor: General Electric Company

DOE Contract No.: DE-FE0024006

DOE Case No.: S-142,010

Contractor Docket No.: 284672

The Contractor has reported the above-identified invention as a Subject Invention to DOE and has elected to retain title thereto.

Accordingly, this document is confirmatory of the nonexclusive, nontransferable, irrevocable, paid-up, worldwide license in this subject invention, patent application and any resulting patent, including any continuation, divisional, reissue, or supplemental application thereof, to practice or to have practiced for or on behalf of the United States throughout the world; and of all other rights acquired by the Government by the patent rights clause in the above-identified contract.

It is understood and agreed that this license does not preclude the Government from asserting rights under the provisions of said contract or of any other agreement between the Government and the Contractor, or from asserting any other rights of the Government with respect to the above-identified subject invention.

The Government is hereby granted an irrevocable power to inspect and make copies of the above-identified patent application.

Signed this 29 day of April, 2016

By: 

Dale J. Davis/IP Counsel
Official's Name and Title (typed)

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