

## PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1  
 Stylesheet Version v1.2

EPAS ID: PAT3936154

<b>SUBMISSION TYPE:</b>	NEW ASSIGNMENT
<b>NATURE OF CONVEYANCE:</b>	MERGER
<b>EFFECTIVE DATE:</b>	11/03/1999
<b>CONVEYING PARTY DATA</b>	
<b>Name</b>	<b>Execution Date</b>
PLUG POWER, LLC	11/01/1999
<b>RECEIVING PARTY DATA</b>	
<b>Name:</b>	PLUG POWER INC.
<b>Street Address:</b>	968 ALBANY-SHAKER ROAD
<b>City:</b>	LATHAM
<b>State/Country:</b>	NEW YORK
<b>Postal Code:</b>	12110
<b>PROPERTY NUMBERS Total: 7</b>	
<b>Property Type</b>	<b>Number</b>
Patent Number:	6455181
Patent Number:	6322917
Patent Number:	6562506
Patent Number:	6329090
Patent Number:	6284399
Patent Number:	6261711
Patent Number:	5858569
<b>CORRESPONDENCE DATA</b>	
<b>Fax Number:</b>	(518)452-5579
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
<b>Phone:</b>	5184525600
<b>Email:</b>	ccw@hrfmlaw.com
<b>Correspondent Name:</b>	VICTOR A. CARDONA
<b>Address Line 1:</b>	5 COLUMBIA CIRCLE
<b>Address Line 2:</b>	HESLIN ROTHENBERG FARLEY & MESITI P.C.
<b>Address Line 4:</b>	ALBANY, NEW YORK 12203
<b>ATTORNEY DOCKET NUMBER:</b>	1404.GEN
<b>NAME OF SUBMITTER:</b>	VICTOR A. CARDONA
<b>SIGNATURE:</b>	/Victor A. Cardona/

PATENT

<b>DATE SIGNED:</b>	06/27/2016
<b>Total Attachments: 5</b> source=H0625146#page1.tif source=H0625146#page2.tif source=H0625146#page3.tif source=H0625146#page4.tif source=H0625146#page5.tif	

# Delaware

The First State

Page 1

I, JEFFREY W. BULLOCK, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY THE ATTACHED ARE TRUE AND CORRECT COPIES OF ALL DOCUMENTS ON FILE OF "PLUG POWER INC." AS RECEIVED AND FILED IN THIS OFFICE.

THE FOLLOWING DOCUMENTS HAVE BEEN CERTIFIED:

CERTIFICATE OF INCORPORATION, FILED THE THIRTEENTH DAY OF AUGUST, A.D. 1999, AT 4:30 O'CLOCK P.M.

RESTATED CERTIFICATE, FILED THE TWENTY-EIGHTH DAY OF OCTOBER, A.D. 1999, AT 9:30 O'CLOCK A.M.

CERTIFICATE OF MERGER, FILED THE FIRST DAY OF NOVEMBER, A.D. 1999, AT 4 O'CLOCK P.M.

AND I DO HEREBY FURTHER CERTIFY THAT THE EFFECTIVE DATE OF THE AFORESAID CERTIFICATE OF MERGER IS THE THIRD DAY OF NOVEMBER, A.D. 1999.

CERTIFICATE OF AMENDMENT, FILED THE TWENTY-FIRST DAY OF JUNE, A.D. 2000, AT 1 O'CLOCK P.M.



3083208 8100H  
SR# 20164421926

You may verify this certificate online at [corp.delaware.gov/authver.shtml](http://corp.delaware.gov/authver.shtml)

A handwritten signature in black ink, appearing to read "JBULLOCK", is written over a horizontal line. Below the line, the text "Jeffrey W. Bullock, Secretary of State" is printed in a small font.

Authentication: 202477563  
Date: 06-13-16

PATENT  
REEL: 039012 FRAME: 0984

# Delaware

The First State

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CERTIFICATE OF DESIGNATION, FILED THE TWENTY-EIGHTH DAY OF  
JUNE, A.D. 2006, AT 11:05 O'CLOCK A.M.

CERTIFICATE OF MERGER, FILED THE THIRTY-FIRST DAY OF  
DECEMBER, A.D. 2007, AT 1:58 O'CLOCK P.M.

AND I DO HEREBY FURTHER CERTIFY THAT THE EFFECTIVE DATE OF  
THE AFORESAID CERTIFICATE OF MERGER IS THE THIRTY-FIRST DAY OF  
DECEMBER, A.D. 2007 AT 11:56 O'CLOCK P.M.

CERTIFICATE OF MERGER, FILED THE THIRTY-FIRST DAY OF  
DECEMBER, A.D. 2007, AT 1:58 O'CLOCK P.M.

AND I DO HEREBY FURTHER CERTIFY THAT THE EFFECTIVE DATE OF  
THE AFORESAID CERTIFICATE OF MERGER IS THE THIRTY-FIRST DAY OF  
DECEMBER, A.D. 2007 AT 11:59 O'CLOCK P.M.

CERTIFICATE OF MERGER, FILED THE THIRTY-FIRST DAY OF  
DECEMBER, A.D. 2007, AT 1:59 O'CLOCK P.M.

AND I DO HEREBY FURTHER CERTIFY THAT THE EFFECTIVE DATE OF  
THE AFORESAID CERTIFICATE OF MERGER IS THE THIRTY-FIRST DAY OF  
DECEMBER, A.D. 2007 AT 11:57 O'CLOCK P.M.



3083208 8100H  
SR# 20164421926

You may verify this certificate online at [corp.delaware.gov/authver.shtml](http://corp.delaware.gov/authver.shtml)

A handwritten signature in black ink, appearing to read "JBullock", is written over a horizontal line. Below the line, the text "Jeffrey M. Bullock, Secretary of State" is printed in a small font.

Authentication: 202477563  
Date: 06-13-16

PATENT  
REEL: 039012 FRAME: 0985

# Delaware

The First State

Page 3

CERTIFICATE OF DESIGNATION, FILED THE TWENTY-THIRD DAY OF  
JUNE, A.D. 2009, AT 3:57 O'CLOCK P.M.

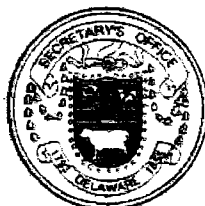
CERTIFICATE OF DESIGNATION, FILED THE THIRTIETH DAY OF  
OCTOBER, A.D. 2009, AT 12:44 O'CLOCK P.M.

CERTIFICATE OF AMENDMENT, FILED THE NINETEENTH DAY OF MAY,  
A.D. 2011, AT 7:55 O'CLOCK A.M.

CERTIFICATE OF DESIGNATION, FILED THE SIXTEENTH DAY OF MAY,  
A.D. 2013, AT 8:12 O'CLOCK A.M.

CERTIFICATE OF AMENDMENT, FILED THE TWENTY-FIFTH DAY OF  
JULY, A.D. 2014, AT 1:28 O'CLOCK P.M.

AND I DO HEREBY FURTHER CERTIFY THAT THE AFORESAID  
CERTIFICATES ARE THE ONLY CERTIFICATES ON RECORD OF THE  
AFORESAID CORPORATION, "PLUG POWER INC.".



3083208 8100H  
SR# 20164421926

You may verify this certificate online at [corp.delaware.gov/authver.shtml](http://corp.delaware.gov/authver.shtml)

A handwritten signature in black ink, appearing to read "JBullock", is written over a horizontal line. Below the line, the text "Jeffrey W. Bullock, Secretary of State" is printed.

Jeffrey W. Bullock, Secretary of State

Authentication: 202477563  
Date: 06-13-16

**PATENT**  
**REEL: 039012 FRAME: 0986**

**CERTIFICATE OF MERGER OF  
PLUG POWER, LLC  
INTO  
PLUG POWER INC.**

The undersigned corporation organized and existing under and by virtue of the General Corporation Law of Delaware,

DOES HEREBY CERTIFY:

FIRST: That the name and state of incorporation of each of the constituent entities of the merger is as follows:

NAME

STATE OF INCORPORATION

Plug Power, LLC  
Plug Power Inc.

Delaware  
Delaware

SECOND: That an Agreement and Plan of Merger between the parties to the merger has been approved, adopted, certified, executed and acknowledged by each of the constituent entities in accordance with the requirements of Section 264 of the General Corporation Law of Delaware.

THIRD: That Plug Power Inc. shall be the surviving corporation.

FOURTH: That the Amended and Restated Certificate of Incorporation of Plug Power Inc. shall constitute the Certificate of Incorporation of the surviving corporation.

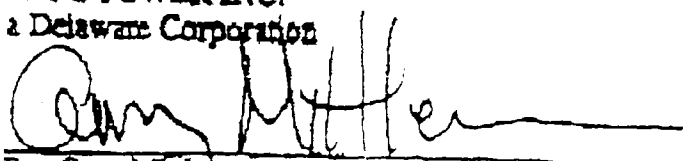
FIFTH: That the executed Agreement and Plan of Merger is on file at the principal place of business of the surviving corporation, the address of which is 968 Albany-Shaker Road, Latham, New York 12110.

SIXTH: That a copy of the Agreement and Plan of Merger will be furnished by the surviving corporation, on request and without cost, to any stockholder of any constituent corporation or any member of any constituent limited liability company.

SEVENTH: That this Certificate of Merger shall be effective as of 10:00 a.m., Eastern time, on November 3, 1999.

Dated: November 1, 1999

PLUG POWER INC.  
a Delaware Corporation



By: Gary Middleman  
Its: President & Chief Executive Officer

STATE OF DELAWARE  
SECRETARY OF STATE  
DIVISION OF CORPORATIONS  
FILED 04:00 PM 11/01/1999  
991464041 - 3083208

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PATENT  
REEL: 039012 FRAME: 0987

**CERTIFICATE OF AMENDMENT  
OF  
THE AMENDED AND RESTATED  
CERTIFICATE OF INCORPORATION  
OF  
PLUG POWER INC.**

Plug Power Inc. (hereinafter called the "Company"), a corporation organized and existing under and by virtue of the Delaware General Corporation Law, does hereby certify as follows:

The Board of Directors of the Company has approved, subject to the approval by the shareholders, an amendment to the Company's Amended and Restated Certificate of Incorporation. Pursuant to Section 242 of the Delaware General Corporation Law, the shareholders of the Company duly approved said proposed amendment at the Annual Meeting of Stockholders held on May 24, 2000. The resolution setting forth the amendment is as follows:

**RESOLVED:** That the Board of Directors deems it advisable and in the best interests of the Company and its stockholders that the first paragraph of Article IV of the Company's Amended and Restated Certificate of Incorporation is hereby deleted and is replaced in its entirety by the following:

"The total number of shares of capital stock which the Corporation shall have authority to issue is Two Hundred and Fifty Million (250,000,000) shares, of which (i) Two Hundred and Forty Five Million (245,000,000) shares shall be Common Stock, par value \$.01 per share, and (ii) Five Million (5,000,000) shares shall be undesignated preferred stock, par value \$.01 per share (the "Undesignated Preferred Stock")."

IN WITNESS HEREOF, the Company has caused its corporate seal to be affixed hereto and this Certificate of Amendment to be signed by its President this 30th day of May, 2000.

PLUG POWER INC.

By: 

AG

Gary Mittleman  
President and Chief Executive Officer