

<b>PATENT ASSIGNMENT COVER SHEET</b>
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Electronic Version v1.1  
 Stylesheet Version v1.2

EPAS ID: PAT3942346

<b>SUBMISSION TYPE:</b>	NEW ASSIGNMENT
<b>NATURE OF CONVEYANCE:</b>	ASSIGNMENT
<b>CONVEYING PARTY DATA</b>	
<b>Name</b>	<b>Execution Date</b>
JUAN F. GRANADA	07/15/2015
GARY ERZBERGER	07/14/2015
MICHAEL P. CORCORAN	07/10/2015
DAN WALLACE	07/10/2015
MATTEO MONTORFANO	07/11/2015
ALAIDE CHIEFFO	07/15/2015
THIERRY THAURE	07/10/2015
AARON GROGAN	04/02/2015
PETER GREGG	07/11/2015
<b>RECEIVING PARTY DATA</b>	
<b>Name:</b>	CEPHEA VALVE TECHNOLOGIES, INC.
<b>Street Address:</b>	3050 THREE SPRINGS COURT
<b>City:</b>	SAN JOSE
<b>State/Country:</b>	CALIFORNIA
<b>Postal Code:</b>	95140
<b>PROPERTY NUMBERS Total: 1</b>	
<b>Property Type</b>	<b>Number</b>
<b>Application Number:</b>	14677320
<b>CORRESPONDENCE DATA</b>	
<b>Fax Number:</b>	(650)212-7562
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
<b>Phone:</b>	650-212-1700
<b>Email:</b>	info@shayglenn.com, jennifer@shayglenn.com
<b>Correspondent Name:</b>	SHAY GLENN LLP
<b>Address Line 1:</b>	2755 CAMPUS DRIVE, SUITE 210
<b>Address Line 4:</b>	SAN MATEO, CALIFORNIA 94403
<b>ATTORNEY DOCKET NUMBER:</b>	12792-702.200
<b>NAME OF SUBMITTER:</b>	JENNIFER CAPIZZI

<b>SIGNATURE:</b>	/Kathleen R. Kelleher, Reg. No. 62,230/
<b>DATE SIGNED:</b>	06/29/2016
<b>Total Attachments: 11</b> source=12792-702-200_Assignment#page1.tif source=12792-702-200_Assignment#page2.tif source=12792-702-200_Assignment#page3.tif source=12792-702-200_Assignment#page4.tif source=12792-702-200_Assignment#page5.tif source=12792-702-200_Assignment#page6.tif source=12792-702-200_Assignment#page7.tif source=12792-702-200_Assignment#page8.tif source=12792-702-200_Assignment#page9.tif source=12792-702-200_Assignment#page10.tif source=12792-702-200_Assignment#page11.tif	

## RECORDATION FORM COVER SHEET

PATENT AND TRADEMARK OFFICE

## PATENTS ONLY

TO THE HONORABLE DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE. PLEASE RECORD THE ATTACHED ORIGINAL DOCUMENTS OR COPY THEREOF.

1. Name of conveying party(ies):

- (1) **Juan F. GRANADA**  
 (2) **Gary ERZBERGER**  
 (3) **Michael P. CORCORAN**  
 (4) **Dan WALLACE**  
 (5) **Matteo MONTORFANO**  
 (6) **Alaide CHIEFFO**  
 (7) **Thierry THAURE**  
 (8) **Aaron GROGAN**  
 (9) **Peter GREGG**

Additional name(s) of conveying party(ies) attached?

 Yes  No

2. Name and address of receiving party(ies):

Name: **CEPHEA VALVE TECHNOLOGIES, INC.**  
**3050 Three Springs Court**  
**San Jose, CA 95140**

3. Nature of Conveyance:

- Assignment  Merger  
 Security Agreement  Change of Name  
 Other

**EXECUTION DATE(S): (1) 07/15/2015**  
**(2) 07/14/2015 (3) 07/10/2015 (4) 07/10/2015**  
**(5) 07/11/2015 (6) 07/15/2015 (7) 07/10/2015**  
**(8) 04/02/2015 (9) 07/11/2015**

Name and address of receiving party(ies):

Name:

Street Address:

City: State:

Zip:

Country:

Additional name(s) &amp; address(es) attached?

 Yes  No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s) – **14/677,320**

Title: **REPLACEMENT CARDIAC VALVES AND**  
**METHODS OF USE AND MANUFACTURE**

B. Patent No.(s)

Additional numbers attached?

 Yes  No

5. Name and address of party to whom correspondence concerning document should be mailed:

**Kathleen R. Kelleher**  
**Shay Glenn LLP**  
**2755 Campus Drive, Suite 210**  
**San Mateo, CA 94403**

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 1.21(h)(1)): \$0.00

 None required. Please charge fees to **Deposit Account No. 50-4050.**

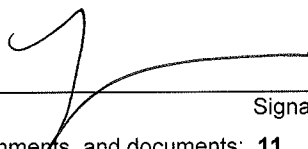
DO NOT USE THIS SPACE

8. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*

**Kathleen R. Kelleher, Reg. No. 62,230**

Name of Person Signing

Total number of pages including cover sheet, attachments, and documents: **11**


Signature

June 29, 2016

Date

PATENT

REEL: 039047 FRAME: 0084

This Assignment of Patent Application is between:

**Juan F. GRANADA** of Upper Saddle River, NJ;  
**Gary ERZBERGER** of Minneapolis, MN;  
**Michael P. CORCORAN** of Woodbury, MN;  
**Dan WALLACE** of Santa Cruz, CA;  
**Matteo MONTORFANO** of Milan, Italy;  
**Alaide CHIEFFO** of Milan, Italy;  
**Thierry THAURE** of San Jose, CA;  
**Aaron GROGAN** of Scotts Valley, CA; and  
**Peter GREGG** of Santa Cruz, CA,

(hereinafter referred to as "Inventor") and

**CEPHEA VALVE TECHNOLOGIES, INC.**, a corporation of the State of Delaware, having a place of business at 3050 Three Springs Court, San Jose, CA 95140 (hereinafter referred to as "Assignee").

WHEREAS, Inventor has invented certain new and useful improvements in:

**"REPLACEMENT CARDIAC VALVES AND  
METHODS OF USE AND MANUFACTURE"**

for which an application for a United States Patent was filed on **April 2, 2015** and assigned Application No. **14/677,320**.

WHEREAS, Assignee is desirous of acquiring the entire right, title and interest of each inventor in and to said application and the invention disclosed therein, and in and to all embodiments of the invention, heretofore conceived, made or discovered by said Inventor (all collectively hereinafter termed "said invention"), and in and to any and all patents, inventor's certificates and other forms of protection (hereinafter termed "patents") thereon granted in the United States and foreign countries.

NOW, THEREFORE, in consideration of good and valuable consideration acknowledged by said Inventor to have been received in full from said Assignee:

1. Said Inventor does hereby sell, assign, transfer and convey unto said Assignee the entire right, title and interest (a) in and to said application and said invention; (b) in and to all rights to apply for foreign patents on said invention pursuant to the International Convention for the Protection of Industrial Property or otherwise; (c) in and to any and all applications filed and any and all patents granted on said invention in the United States or any foreign country, including each and every application filed and each and every patent granted on any application which is a divisional, substitution, continuation, or continuation-in-part of any of said applications; and (d) in and to each and every reissue or extensions of any of said patents.

2. Said Inventor hereby covenants and agrees to cooperate with said Assignee to enable said Assignee to enjoy to the fullest extent the right, title and interest herein conveyed in the United States and foreign countries. Such cooperation by said Inventor shall include prompt production of pertinent facts and documents, giving of testimony, execution of petitions, oaths, specifications, declarations or other papers, and other assistance all to the extent deemed necessary or desirable by said Assignee (a) for perfecting in said Assignee the right, title and interest herein conveyed; (b) for prosecuting any of the said applications; (c) for filing and prosecuting substitute, divisional, continuing or additional applications covering said invention; (d) for filing and prosecuting applications for reissuance of any said patents; (e) for interference or other priority proceedings involving said invention; and (f) for legal proceedings involving said invention and any applications therefor and any patents granted thereon, including without limitation reissues and reexaminations, opposition proceedings, cancellation proceedings, priority contest, public use proceedings, infringement actions and court actions; provided, however, that the expense incurred by said Inventor in providing such cooperation shall be paid for by said Assignee.

3. The terms and covenants of this assignment shall inure to the benefit of said Assignee, its successors, assigns and other legal representatives, and shall be binding upon said Inventor, his heirs, legal representatives and assigns.

4. Said Inventor hereby warrants and represents that he has not entered and will not enter into any assignment, contract, or understanding in conflict herewith.

IN WITNESS WHEREOF, said Inventor has executed and delivered this instrument to said Assignee:

7/15/2015.  
 \_\_\_\_\_  
 Date

*JRANADA*  
 \_\_\_\_\_  
 Juan F. GRANADA

\_\_\_\_\_  
 Date

\_\_\_\_\_  
 Gary ERZBERGER

\_\_\_\_\_  
 Date

\_\_\_\_\_  
 Michael P. CORCORAN

\_\_\_\_\_  
 Date

\_\_\_\_\_  
 Dan WALLACE

\_\_\_\_\_  
 Date

\_\_\_\_\_  
 Matteo MONTORFANO

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\_\_\_\_\_  
Date

7/14/2015

\_\_\_\_\_  
Date

\_\_\_\_\_  
**Juan F. GRANADA**

  
\_\_\_\_\_  
**Gary ERZBERGER**

\_\_\_\_\_  
Date

\_\_\_\_\_  
**Michael P. CORCORAN**

\_\_\_\_\_  
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**Dan WALLACE**

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\_\_\_\_\_  
**Juan F. GRANADA**

\_\_\_\_\_  
Date

\_\_\_\_\_  
**Gary ERZBERGER**

7-10-15  
Date

  
\_\_\_\_\_  
**Michael P. CORCORAN**

\_\_\_\_\_  
Date

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**Dan WALLACE**

\_\_\_\_\_  
Date

\_\_\_\_\_  
**Matteo MONTORFANO**

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**Juan F. GRANADA**

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Date

\_\_\_\_\_  
**Gary ERZBERGER**

\_\_\_\_\_  
Date

\_\_\_\_\_  
**Michael P. CORCORAN**

7/10/15



\_\_\_\_\_  
Date

\_\_\_\_\_  
**Dan WALLACE**

\_\_\_\_\_  
Date

\_\_\_\_\_  
**Matteo MONTORFANO**



**ASSIGNMENT OF PATENT APPLICATION**

**SG Docket No. 12792-702.200**

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Date

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**Juan F. GRANADA**

\_\_\_\_\_  
Date

\_\_\_\_\_  
**Gary ERZBERGER**

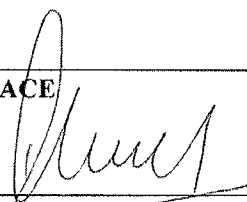
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**Michael P. CORCORAN**

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**Dan WALLACE**


11.07.2015  
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**Matteo MONTORFANO**

ASSIGNMENT OF PATENT APPLICATION

SG Docket No. 12792-702.200

15/7/15  
Date

  
Alaide CHIEFFO

Date

Thierry THAURE

Date

Aaron GROGAN

Date

Peter GREGG

**ASSIGNMENT OF PATENT APPLICATION**

**SG Docket No. 12792-702.200**

Date

7/10/2015

Date

Date

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Alaide CHIEFFO

Thierry THAURE

Aaron GROGAN

Peter GREGG

**ASSIGNMENT OF PATENT APPLICATION**

**SG Docket No. 12792-702.200**

\_\_\_\_\_  
Date

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**Alaide CHIEFFO**

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Date

\_\_\_\_\_  
**Thierry THAURE**

02 April 2015  
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Date

  
\_\_\_\_\_  
**Aaron GROGAN**

\_\_\_\_\_  
Date

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**Peter GREGG**

**ASSIGNMENT OF PATENT APPLICATION**

**SG Docket No. 12792-702.200**

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Date

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**Alaide CHIEFFO**

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Date

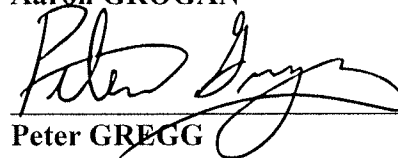
\_\_\_\_\_  
**Thierry THAURE**

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Date

**11 July 2015**

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Date

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**Aaron GROGAN**



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**Peter GREGG**