503895800 06/29/2016

## PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2 EPAS ID: PAT3942450

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT

#### **CONVEYING PARTY DATA**

Name	Execution Date
WEI LIEN STEPHEN DANG	03/29/2016
CYNTHIA ZHANG TAYLOR	06/02/2014
ARUN PONNIAH SETHURAMALINGAM	06/02/2014
CATHERINE EMILY HARRELL	03/27/2016
SHARAD KALA	06/02/2014
LIANGLIANG WANG	06/02/2014
KEVIN GILLETT	06/02/2014
NANDHINI NANDIWADA SANTHANAM	06/02/2014
NAGESH PRADHAN CADABAM	06/02/2014
NOAH ANTHONY EISNER	06/03/2014
STEPHEN JOSEPH OAKLEY	06/04/2014
HIMANSHU KHURANA	04/13/2016

### **RECEIVING PARTY DATA**

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Street Address:	PO BOX 81226
City:	SEATTLE
State/Country:	WASHINGTON
Postal Code:	98108-1226

### **PROPERTY NUMBERS Total: 1**

Property Type	Number
Application Number:	15197632

### **CORRESPONDENCE DATA**

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Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

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NickiPepple@dwt.com

Correspondent Name: DAVIS WRIGHT TREMAINE LLP - ATI Address Line 1: 1201 THIRD AVENUE, SUITE 2200

PATENT REEL: 039047 FRAME: 0544

503895800

Address Line 4: SEATTLE, WASHINGTON 98101-3045	
ATTORNEY DOCKET NUMBER:	0097749-124US1
NAME OF SUBMITTER:	SCOTT S. ADAMS
SIGNATURE:	/SCOTT S. ADAMS/
DATE SIGNED:	06/29/2016
This document serves as an Oath/Declaration (37 CFR 1.63).	

### **Total Attachments: 16**

source=2016-06-29 PM6940-US-CON1 (0097749-124US1) - Signed Formal Documents#page1.tif source=2016-06-29 PM6940-US-CON1 (0097749-124US1) - Signed Formal Documents#page2.tif source=2016-06-29 PM6940-US-CON1 (0097749-124US1) - Signed Formal Documents#page3.tif source=2016-06-29 PM6940-US-CON1 (0097749-124US1) - Signed Formal Documents#page4.tif source=2016-06-29 PM6940-US-CON1 (0097749-124US1) - Signed Formal Documents#page5.tif source=2016-06-29 PM6940-US-CON1 (0097749-124US1) - Signed Formal Documents#page6.tif source=2016-06-29 PM6940-US-CON1 (0097749-124US1) - Signed Formal Documents#page7.tif source=2016-06-29 PM6940-US-CON1 (0097749-124US1) - Signed Formal Documents#page8.tif source=2016-06-29 PM6940-US-CON1 (0097749-124US1) - Signed Formal Documents#page9.tif source=2016-06-29 PM6940-US-CON1 (0097749-124US1) - Signed Formal Documents#page10.tif source=2016-06-29 PM6940-US-CON1 (0097749-124US1) - Signed Formal Documents#page11.tif source=2016-06-29 PM6940-US-CON1 (0097749-124US1) - Signed Formal Documents#page12.tif source=2016-06-29 PM6940-US-CON1 (0097749-124US1) - Signed Formal Documents#page13.tif source=2016-06-29 PM6940-US-CON1 (0097749-124US1) - Signed Formal Documents#page14.tif source=2016-06-29 PM6940-US-CON1 (0097749-124US1) - Signed Formal Documents#page15.tif source=2016-06-29 PM6940-US-CON1 (0097749-124US1) - Signed Formal Documents#page16.tif

U.S. Patent Appln. No. 14/175,884 Filing Date February 7, 2014 Attorney Docket No. <u>0097749-124US0</u> Client Docket No. <u>PM6940-US</u>

### PARTIES TO THE ASSIGNMENT

### Assignor(s):

### WEI LIEN STEPHEN DANG

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MPC 06/02/2014.

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### NOAH ANTHONY EISNER

410 Terry Avenue North Seattle, WA 98109-5210

Page 1 of 16

U.S. Patent Appln. No. 14/175,884 Filing Date February 7, 2014 Attorney Docket No. <u>0097749-124US0</u> Client Docket No. <u>PM6940-US</u>

### STEPHEN JOSEPH OAKLEY

410 Terry Avenue North Seattle, WA 98109-5210

#### HIMANSHU KHURANA

410 Terry Avenue North Seattle, WA 98109-5210

Assignee:

Amazon Technologies, Inc. PO Box 8102 Reno, NV 89507

### **AGREEMENT**

WHEREAS, ASSIGNOR(S) (listed above) are inventor(s) of an invention entitled

"ACCESS CONTROL FOR A DOCUMENT MANAGEMENT AND COLLABORATION SYSTEM"

(Invention) for which a non-provisional application for United States Letters Patent

| was filed on February 7, 2014 and accorded U.S. application no. 14/175,884; or

| will be filed concurrently with the submission of this executed PATENT ASSIGNMENT for recordation.

ASSIGNOR(S) hereby authorizes and requests ASSIGNEE'S legal representatives, of <u>Davis Wright Tremaine LLP</u>, associated with Customer No. <u>113507</u>, to insert in the header above and here in parentheses (U.S. application no. <u>14/175,884</u>, filed <u>February 7, 2014</u>) this application's U.S. application number and filing date, when known.

WHEREAS, ASSIGNEE, a corporation of the State of Nevada, is desirous of acquiring the entire right, title and interest in and to the Invention and in and to any letters patent that may be granted therefor in the United States and in any and all foreign countries;

Page 2 of 16

U.S. Patent Appln. No. 14/175,884 Filing Date February 7, 2014

Attorney Docket No. 0097749-124US0

Client Docket No. PM6940-US

NOW, THEREFORE, in exchange for good and valuable consideration, the receipt of which is

hereby acknowledged, ASSIGNOR(S) hereby sell, assign and transfer unto ASSIGNEE its successors

and assigns, the entire right, title and interest in and to said Invention and any improvements thereto, said

Application and any and all letters patent which may be granted for said Invention in the United States of

America and its territorial possessions and in any and all foreign countries, and in any and all provisional,

divisions, reissues, re-examinations and continuations thereof, including the right to file foreign

applications directly in the name of ASSIGNEE and to claim priority rights deriving from said application.

to which said foreign applications are entitled by virtue of international convention, treaty or otherwise,

said Invention, application and all letters patent on said Invention to be held and enjoyed by ASSIGNEE

and its successors and assigns for their use and benefit and of their successors and assigns as fully and

entirely as the same would have been held and enjoyed by ASSIGNOR(S) had this assignment, transfer

and sale not been made. ASSIGNOR(S) hereby authorize and request the Commissioner of Patents and

Trademarks to issue all letters patent on said Invention to ASSIGNEE. ASSIGNOR(S) agree to execute

all instruments and documents required for the making and prosecution of applications for United States

and foreign letters patent on said Invention, for litigation regarding said letters patent, or for the purpose

of protecting title to said Invention or letters patent therefor.

AND ASSIGNOR(S) DOES HEREBY sell, assign, transfer, and convey to ASSIGNEE, its

successors, legal representatives, and assigns all claims for damages and all remedies arising out of any

violation of the rights assigned hereby that may have accrued prior to the date of assignment to

ASSIGNEE, or may accrue hereafter, including, but not limited to, the right to sue for, collect, and retain

damages for past infringements of said letters patent before or after issuance.

Page 3 of 16

U.S. Patent Appln. No. 14/175,884 Filing Date February 7, 2014 Attorney Docket No. <u>0097749-124US0</u> Client Docket No. <u>PM6940-US</u>

AND ASSIGNOR(S) DOES HEREBY covenant and agree that ASSIGNOR(S) will

communicate to said ASSIGNEE, its successors, legal representatives and assigns, any facts known to

ASSIGNOR respecting the Invention or said application, and testify in any legal proceeding, assist in the

preparation of any other patent property relating to the application and the Invention or any improvements

made thereto, sign/execute all lawful papers, provide all requested documents, execute and make all

rightful oaths and/or declarations in connection with the application and the Invention including any

improvements made thereto, any patent applications filed therefrom, and any continuing application filed

from any of the aforementioned applications, and generally do everything possible to aid the ASSIGNEE,

its successors, legal representatives and assigns, to obtain and enforce proper patent protection for the

Invention in all countries. These provisions are binding upon our heirs, legal representatives.

administrators, and assigns.

CORRESPONDENCE ADDRESS

I hereby direct all correspondence and telephone calls in connection with this application be

addressed to the number associated with the customer number listed below, which is:

Customer No.

113507

Page 4 of 16

U.S. Patent Appln. No. 14/175,884 Filing Date February 7, 2014 Attorney Docket No. 0097749-124US0 Client Docket No. PM6940-US

DECLARATION
As a below named inventor, I hereby declare that:
This declaration is directed to:
the attached application; or
☐ United States application number 14/175,884 filed on February 7, 2014; or
PCT international application number filed on
The above-identified application was made or authorized to be made by me.
I believe that I am the original inventor or an original joint inventor of a claimed Invention in the application.
I have reviewed and understand the contents of the above-identified application, and acknowledge the duty to disclose to the US Patent & Trademark Office all information known to me to be material to the patentability as defined in 37 CFR 1.56.
I hereby acknowledge that any willful false statement made in this declaration is punishable under 18 USC 1001 by fine or imprisonment of not more than five (5) years, or both.
Dobusioned by:  / Wei Lien Stephen Dung/
WEI LIEN STEPHEN DANG
March 29, 2016
Date

Page 5 of 16

U.S. Patent Appln. No. 14/175,884 Filing Date February 7, 2014 Attorney Docket No. <u>0097749-124US0</u> Client Docket No. <u>PM6940-US</u>

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I hereby acknowledge that any willful false statemen USC 1001 by fine or imprisonment of not more than	·
Legal name of inventor: CYNTHIA ZHANG TAY	LOR
06/02/2014 Date	Inventor Signature
WITNESSED BY:	Witness (Signature)
	NANDHINI NANDHADA SANTHANAM Witness (Printed Name)

Page 6 of 16

U.S. Patent Appln. No. 14/175,884 Filing Date February 7, 2014 Attorney Docket No. <u>0097749-124US0</u> Client Docket No. <u>PM6940-US</u>

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I hereby acknowledge that any willful false statement USC 1001 by fine or imprisonment of not more than	•
Legal name of inventor: ARUN PONNIAH SETHU	TRAMALINGAM
06/02/2014 Date	Inventor Signature
WITNESSED BY:	
Cala soid	Witness (Signature)
	Quillia Zhang Taglor

Page 7 of 16

U.S. Patent Appln, No. 14/175,884 Filing Date February 7, 2014 Attorney Docket No. <u>0097749-124US0</u> Client Docket No. <u>PM6940-US</u>

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I hereby acknowledge that any willful false statement made in this declaration is punishable under 18 USC 1001 by fine or imprisonment of not more than five (5) years, or both.
CATHERINE EMILY HARRELL
March 27, 2016
Date

Page 8 of 16

U.S. Patent Appln. No. 14/175,884 Filing Date February 7, 2014 Attorney Docket No. <u>0097749-124US0</u> Client Docket No. <u>PM6940-US</u>

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I hereby acknowledge that any willful false statement n USC 1001 by fine or imprisonment of not more than fir	
Legal name of inventor: SHARAD KALA  OG OL 14- Date	Inventor Signature
WITNESSED BY:	a
y (	CON CO MINING A

Page 9 of 16

Witness (Printed Name)

U.S. Patent Appln. No. <u>14/175,884</u> Filing Date <u>February 7, 2014</u> Attorney Docket No. <u>0097749-124US0</u> Client Docket No. <u>PM6940-US</u>

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I hereby acknowledge that any willful false statem USC 1001 by fine or imprisonment of not more that	ent made in this declaration is punishable under 18 an five (5) years, or both.
Legal name of inventor: LIANGLIANG WANG	
2014-06-02	
Date	Inventor Signature
WITNESSED BY:	
(ely soft	Cople to Taplor
Date	Witness (Signature) Carlos
	anthin thang Taylor

Page 10 of 16

Witness (Printed Name)

U.S. Patent Appln, No. 14/175,884 Filing Date February 7, 2014 Attorney Docket No. <u>0097749-124US0</u> Client Docket No. <u>PM6940-US</u>

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Thereby acknowledge that any willful false statement in USC 1001 by fine or imprisonment of not more than five	
Legal name of inventor: KEVIN GILLETT	2
Date	Inventor Signature
WITNESSED BY:  (Ø∫2-∫2014  Date	Witness (Signature)
	Witness (Printed Name)

Page 11 of 16

U.S. Patent Appln. No. <u>14/175,884</u> Filing Date <u>February 7, 2014</u> Attorney Docket No. <u>0097749-124US0</u> Client Docket No. <u>PM6940-US</u>

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Legal name of inventor: NANDHINI NANDIWA	ADA SANTHANAM
6/2/2014 Date	Inventor Signature
WITNESSED BY:	
<u>(女   2   3の時</u> Date	Witness (Signature) Stand Taylor
	Ognition Than & Taylor Witness (Printed Name)

Page 12 of 16

U.S. Patent Appln. No. <u>14/175,884</u> Filing Date <u>February 7, 2014</u> Attorney Docket No. <u>0097749-124US0</u> Client Docket No. <u>PM6940-US</u>

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Legal name of inventor: <b>PRADHAN CADABAM</b> 0 6 / 0 2 / 20 14  Date	MARABAM NAC OUR POIG
WITNESSED BY:	
06/02/2014 Date	Witness (Signature)  Cypthia Zhang Taylor  Witness (Printed Name)
	Cynthia Zhang Taylia Witness (Printed Name)

Page 13 of 16

U.S. Patent Appln. No. 14/175,884 Filing Date February 7, 2014 Attorney Docket No. <u>0097749-124US0</u> Client Docket No. <u>PM6940-US</u>

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Legal name of inventor: NOAH ANTHONY EI	SNER
<u> </u>	Inventor Signature
WITNESSED BY:	
ध्री देश <sup>क्</sup> Date	Witness (Signature)
	Cynthia Zhang Taylor Witness (Printed Name)

Page 14 of 16

U.S. Patent Appin. No. 14/175,884 Filing Date February 7, 2014 Attorney Docket No. <u>0097749-124US0</u> Client Docket No. <u>PM6940-US</u>

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Legal name of inventor: STEPHEN JOSEPI 6/4/2014 Date	Inventor Signature
WITNESSED BY:	
0/1/2014	CAPPER TOLOW
Date	Witness (Signature)
	Cynolin 2 hours Taylor
	Witness (Printed Name)

Page 15 of 16

U.S. Patent Appln, No. 14/175,884 Filing Date February 7, 2014 Attorney Docket No. <u>0097749-124US0</u> Client Docket No. <u>PM6940-US</u>

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/Himanshu Khurana/		
ARRIVEDE MARKO ESCRUTAÇÃO		
13th Apr 2016		
Date		

Page 16 of 16

PATENT REEL: 039047 FRAME: 0561

RECORDED: 06/29/2016