#### 503899221 07/01/2016

# PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2 EPAS ID: PAT3945872

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT

#### **CONVEYING PARTY DATA**

Name	Execution Date
RYAN PIERCE	06/30/2016
MANSOOR AHMED	06/30/2016
JOHN PLANTE	06/29/2016

#### **RECEIVING PARTY DATA**

Name:	CHICAGO MERCANTILE EXCHANGE INC.	
Street Address:	20 SOUTH WACKER DRIVE	
City:	CHICAGO	
State/Country:	ILLINOIS	
Postal Code:	60606	

#### **PROPERTY NUMBERS Total: 1**

Property Type	Number
Application Number:	15199131

#### CORRESPONDENCE DATA

Fax Number: (312)463-5001

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent

using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

Phone: 3124635000

bwptopat@bannerwitcoff.com, ksimoni@bannerwitcoff.com Email:

BANNER & WITCOFF, LTD. **Correspondent Name:** Address Line 1: 10 SOUTH WACKER DRIVE

Address Line 2: **SUITE 3000** 

Address Line 4: CHICAGO, ILLINOIS 60606

ATTORNEY DOCKET NUMBER: 006119.00528		
NAME OF SUBMITTER:	WILLIAM J. ALLEN	
SIGNATURE:	/William J. Allen/	
DATE SIGNED:	07/01/2016	

#### **Total Attachments: 3**

source=006119\_00528\_ASG#page1.tif source=006119 00528 ASG#page2.tif source=006119 00528 ASG#page3.tif

> **PATENT** REEL: 039065 FRAME: 0024 503899221

### ASSIGNMENT

WHEREAS, we, Ryan Pierce, Mansoor Ahmed, and John Plante (ASSIGNOR) are the inventors of certain inventions and improvements described in a U.S. patent application having the title

## **Derivative Contracts that Settle Based on Transaction Data**

which application was	
filed on June 30, 2016 and assigned Application No. 15/199,131	
and WHEREAS	
Chicago Mercantile Exchange Inc. 20 South Wacker Drive	

ASSIGNEE, desires to acquire the entire right, fitle and interest in and to said application, inventions and improvements and any patents that may be granted on or as a result thereof in the United States of America (U.S.) and in any and all foreign countries;

Chicago: Illínois 60606

NOW, THEREFORE, for good and valuable consideration, receipt of which is hereby acknowledged,

We hereby assign, to the above named ASSIGNEE, its successors, assigns and legal representatives (NOMINEES), the entire right, title and interest in and to said application (including the right to claim priority thereto), inventions and improvements throughout the world, and any and all patents, petty patents and utility model patents which may be granted therefor, and all continuations, continuations-in-part, divisions, reissues, extensions, renewals, and any non-provisional based on a provisional thereof (LETTERS PATENT).

And we covenant that we have full right to convey the entire interest herein assigned, and that we have not executed and will not execute any agreement or do anything in conflict herewith;

And we further covenant and agree that we will without undue delay execute without further consideration all such papers as may be necessary to perfect the title to said application, inventions and improvements, and LETTERS PATENT in the ASSIGNEE or its NOMINEES, and I agree to communicate to said ASSIGNEE or its NOMINEES all known facts respecting said application, inventions, improvements, and LETTERS PATENT, to testify in any legal proceedings, to sign all lawful papers, and

Page 1 of 2

generally to do all things necessary to aid ASSIGNEE or its NOMINEES to obtain and enforce for their own benefit patent protection for said application, inventions and improvements in any and all countries, all at the expense, however, of the ASSIGNEE or its NOMINEES;

And we authorize the ASSIGNEE or its NOMINEES to file in my name or their own, as appropriate, application for LETTERS PATENT in any and all countries of the world, and I authorize and request the Commissioner of Patents and Trademarks of the United States, and any proper official of any country, to issue to said ASSIGNEE or its NOMINEES any and all LETTERS PATENT for said application, inventions and improvements, for their sole use, to the full end of the term for which such LETTERS PATENT may be granted;

And we hereby grant the attorneys at the law firm of Banner & Witcoff the power to insert into this document information sufficient to identify the patent application to which this assignment pertains.

6/30/2014	Two Vien
Date	Ryan Pierce
<u>6/30/2016</u> Date	Mansoor Ahmed
Date	John Plante

Note: No legalization or other witness required.

LAW OFFICES
BANNER & WITCOFF, LTD.
10 South Wacker Drive
Chicago, IL. 60606-7407
312-463-5000

generally to do all things necessary to aid ASSIGNEE or its NOMINEES to obtain and enforce for their own benefit patent protection for said application, inventions and improvements in any and all countries, all at the expense, however, of the ASSIGNEE or its NOMINEES;

And we authorize the ASSIGNEE or its NOMINEES to file in my name or their own, as appropriate, application for LETTERS PATENT in any and all countries of the world, and I authorize and request the Commissioner of Patents and Trademarks of the United States, and any proper official of any country, to issue to said ASSIGNEE or its NOMINEES any and all LETTERS PATENT for said application, inventions and improvements, for their sole use, to the full end of the term for which such LETTERS PATENT may be granted;

And we hereby grant the attorneys at the law firm of Banner & Witcoff the power to insert into this document information sufficient to identify the patent application to which this assignment pertains.

Date	Ryan Pierce
Date	Mansoor Ahmed
6/29/16	
Date	Aohn Plante

Note: No legalization or other witness required.

LAW OFFICES
BANNER & WITCOFF, LTD.
10 South Wacker Drive
Chicago, IL. 60606-7407
312-463-5000

Page 2 of 2

PATENT REEL: 039065 FRAME: 0027