503901226 07/05/2016

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2 EPAS ID: PAT3947877

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT

CONVEYING PARTY DATA

Name	Execution Date
WATARU SAKAMOTO	06/10/2016
HIDEKI INOKUMA	06/10/2016
OSAMU MATSUURA	06/10/2016

RECEIVING PARTY DATA

Name:	KABUSHIKI KAISHA TOSHIBA
Street Address:	1-1, SHIBAURA 1-CHOME, MINATO-KU
City:	TOKYO
State/Country:	JAPAN

PROPERTY NUMBERS Total: 1

Property Type	Number
Application Number:	15201935

CORRESPONDENCE DATA

Fax Number: (703)413-2220

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent

using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

Phone: (703) 413-3000

Email: bbotchway@oblon.com

Correspondent Name: OBLON, ET AL.

Address Line 1: 1940 DUKE STREET

Address Line 4: ALEXANDRIA, VIRGINIA 22314

ATTORNEY DOCKET NUMBER:	473591US20
NAME OF SUBMITTER:	BRANDON BOTCHWAY
SIGNATURE:	/Brandon Botchway/
DATE SIGNED:	07/05/2016
	This document serves as an Oath/Declaration (37 CFR 1.63).

Total Attachments: 3

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PATENT 503901226 REEL: 039075 FRAME: 0070

DECLARATION (37 CFR 1.63) FOR UTILITY OR DESIGN APPLICATION USING AN APPLICATION DATA SHEET (37 CFR 1.76) AND ASSIGNMENT FOR SINGLE ASSIGNEE

Title of Invention	SEMICONDUCTOR MEMORY DEVICE AND METHOD FOR MANUFACTURING THE SAME
As the below name	d inventor, I hereby declare that:
This declaration The attached application, or	
is directed to:	United States application or PCT international application numberfiled on
The above-identifie	ed application was made or authorized to be made by me.
I believe that I am	the original inventor or an original joint inventor of a claimed invention in the application.
WHEREAS, Kabushiki Kaisha Toshiba (hereinafter referred to as "ASSIGNEE") having places of business at: 1-1, Shibaura 1-chome, Minato-ku, Tokyo, Japan, is desirous of acquiring the entire right, title and interest in and to said invention and in and to any Letters Patent that may be granted therefore in the United States and its territorial possessions and in any and all foreign countries;	
acknowledged, and said ASSIGNEE, the and in all foreign cother relevant Inter- interest in and to a	FORE, in consideration of the sum of FIVE DOLLARS (\$5.00), the receipt whereof is hereby I for other good and valuable consideration, I, by these presents do sell, assign and transfer unto the full and exclusive right to the said invention in the United States and its territorial possessions ountries (including the right to claim priority under the terms of the International Convention and mational Treaties and Arrangements from the aforesaid application) and the entire right, title and any and all Letters Patent which may be granted therefor in the United States and its territorial any and all foreign countries and in and to any and all divisions, reissues, continuations, mewals thereof.
I hereby authorize and request the Patent Office Officials in the United States and its territorial possessions and any and all foreign countries to issue any and all of said Letters Patent, when granted, to said ASSIGNEE as the assignee of my entire right, title and interest in and to the same, for the sole use and behoof of said ASSIGNEE, its (his) successors and assigns, to the full end of the term for which said Letters Patent may be granted, as fully and entirely as the same would have been held by me had this Assignment and sale not been made.	
Further, I agree that I will communicate to said ASSIGNEE or its (his) representatives any facts known to me respecting said invention, and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuation, substitute, renewal and reissue applications, execute all necessary assignment papers to cause any and all of said Letters Patent to be issued to said ASSIGNEE, make all rightful oaths, and, generally do everything possible to aid said ASSIGNEE, its (his) successors and assigns, to obtain and enforce proper protection for said invention in the United States and its territorial possessions and in any and all foreign countries.	
I hereby acknowledge that any willful false statement made in this declaration is punishable under 18 U.S.C. 1001 by fine or imprisonment of not more than five (5) years, or both.	
LEGAL NAME OF	FINVENTOR
Inventor: Wataru	SAKAMOTO Date: Jun 10, 2016
Signature: Vot	an I have to

 ${\bf OBLON, McCLELLAND, MAIER \& NEUSTADT, L.L.P.}$

ATTORNEYS AT LAW 1940 DUKE STREET ALEXANDRIA, VIRGINIA 22314

> PATENT REEL: 039075 FRAME: 0071

DECLARATION (37 CFR 1.63) FOR UTILITY OR DESIGN APPLICATION USING AN APPLICATION DATA SHEET (37 CFR 1.76) AND ASSIGNMENT FOR SINGLE ASSIGNEE

Title of Invention	SEMICONDUCTOR MEMORY DEVICE AND METHOD FOR MANUFACTURING THE SAME
As the below name	d inventor, I hereby declare that:
This declaration 🗵	The attached application, or
is directed to:	United States application or PCT international application number filed on
The above-identific	ed application was made or authorized to be made by me.
I believe that I am t	the original inventor or an original joint inventor of a claimed invention in the application.
(hereinafter referre <u>Japan</u> , is desirous	d to as "ASSIGNEE") having places of business at: 1-1, Shibaura 1-chome, Minato-ku, Tokyo, of acquiring the entire right, title and interest in and to said invention and in and to any Letters granted therefore in the United States and its territorial possessions and in any and all foreign
acknowledged, and said ASSIGNEE, the and in all foreign co other relevant Inter- interest in and to a	FORE, in consideration of the sum of FIVE DOLLARS (\$5.00), the receipt whereof is hereby I for other good and valuable consideration, I, by these presents do sell, assign and transfer unto the full and exclusive right to the said invention in the United States and its territorial possessions ountries (including the right to claim priority under the terms of the International Convention and mational Treaties and Arrangements from the aforesaid application) and the entire right, title and any and all Letters Patent which may be granted therefor in the United States and its territorial any and all foreign countries and in and to any and all divisions, reissues, continuations, mewals thereof.
I hereby authorize and request the Patent Office Officials in the United States and its territorial possessions and any and all foreign countries to issue any and all of said Letters Patent, when granted, to said ASSIGNEE as the assignee of my entire right, title and interest in and to the same, for the sole use and behoof of said ASSIGNEE, its (his) successors and assigns, to the full end of the term for which said Letters Patent may be granted, as fully and entirely as the same would have been held by me had this Assignment and sale not been made.	
Further, I agree that I will communicate to said ASSIGNEE or its (his) representatives any facts known to me respecting said invention, and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuation, substitute, renewal and reissue applications, execute all necessary assignment papers to cause any and all of said Letters Patent to be issued to said ASSIGNEE, make all rightful oaths, and, generally do everything possible to aid said ASSIGNEE, its (his) successors and assigns, to obtain and enforce proper protection for said invention in the United States and its territorial possessions and in any and all foreign countries.	
I hereby acknowledge that any willful false statement made in this declaration is punishable under 18 U.S.C. 1001 by fine or imprisonment of not more than five (5) years, or both.	
LEGAL NAME OF	FINVENTOR
Inventor: Hideki	INOKUMA Date: Jun 10, 2016
Signature:) dig	lelsi bnokuma

OBLON, McCLELLAND, MAIER & NEUSTADT, L.L.P.

ATTORNEYS AT LAW 1940 DUKE STREET ALEXANDRIA, VIRGINIA 22314

> PATENT REEL: 039075 FRAME: 0072

DECLARATION (37 CFR 1.63) FOR UTILITY OR DESIGN APPLICATION USING AN APPLICATION DATA SHEET (37 CFR 1.76) AND ASSIGNMENT FOR SINGLE ASSIGNEE

Title of Invention	SEMICONDUCTOR MEMORY DEVICE AND METHOD FOR MANUFACTURING THE SAME
As the below name	d inventor, I hereby declare that:
This declaration 🗵	The attached application, or
is directed to:	United States application or PCT international application number
The above-identifie	ed application was made or authorized to be made by me.
I believe that I am t	the original inventor or an original joint inventor of a claimed invention in the application.
(hereinafter referred Japan, is desirous	d to as "ASSIGNEE") having places of business at: 1-1, Shibaura 1-chome, Minato-ku, Tokyo, of acquiring the entire right, title and interest in and to said invention and in and to any Letters granted therefore in the United States and its territorial possessions and in any and all foreign
acknowledged, and said ASSIGNEE, the and in all foreign co- other relevant Inter- interest in and to a	FORE, in consideration of the sum of FIVE DOLLARS (\$5.00), the receipt whereof is hereby I for other good and valuable consideration, I, by these presents do sell, assign and transfer unto the full and exclusive right to the said invention in the United States and its territorial possessions ountries (including the right to claim priority under the terms of the International Convention and mational Treaties and Arrangements from the aforesaid application) and the entire right, title and any and all Letters Patent which may be granted therefor in the United States and its territorial any and all foreign countries and in and to any and all divisions, reissues, continuations, mewals thereof.
any and all foreign assignee of my enti (his) successors and	ze and request the Patent Office Officials in the United States and its territorial possessions and a countries to issue any and all of said Letters Patent, when granted, to said ASSIGNEE as the ire right, title and interest in and to the same, for the sole use and behoof of said ASSIGNEE, its d assigns, to the full end of the term for which said Letters Patent may be granted, as fully and a would have been held by me had this Assignment and sale not been made.
Further, I agree that I will communicate to said ASSIGNEE or its (his) representatives any facts known to me respecting said invention, and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuation, substitute, renewal and reissue applications, execute all necessary assignment papers to cause any and all of said Letters Patent to be issued to said ASSIGNEE, make all rightful oaths, and, generally do everything possible to aid said ASSIGNEE, its (his) successors and assigns, to obtain and enforce proper protection for said invention in the United States and its territorial possessions and in any and all foreign countries.	
by fine or imprisonr	rledge that any willful false statement made in this declaration is punishable under 18 U.S.C. 1001 ment of not more than five (5) years, or both.
LEGAL NAME OF	INVENTOR
Inventor: Osamu	MATSUURA Date: Jun. 10. 2016.
Signature: Bann	na Matriorn

OBLON, McCLELLAND, MAIER & NEUSTADT, L.L.P.

ATTORNEYS AT LAW 1940 DUKE STREET ALEXANDRIA, VIRGINIA 22314

> PATENT REEL: 039075 FRAME: 0073

RECORDED: 07/05/2016