

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
Stylesheet Version v1.2

EPAS ID: PAT3958286

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
HUI-FANG KAO	12/03/2014
TZU-CHIEH HSU	12/03/2014
YAO-NING CHAN	12/03/2014
YI-MING CHEN	12/03/2014
RECEIVING PARTY DATA	
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Street Address:	5, LI-HSIN 5TH RD., SCIENCE-BASED INDUSTRIAL PARK
City:	HSINCHU
State/Country:	TAIWAN
PROPERTY NUMBERS Total: 1	
Property Type	Number
Application Number:	29570832
CORRESPONDENCE DATA	
Fax Number:	(703)519-9958
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
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ATTORNEY DOCKET NUMBER:	D1093US00
NAME OF SUBMITTER:	BRIAN K. SEIDLECK
SIGNATURE:	/Brian K. Seidleck/
DATE SIGNED:	07/12/2016
Total Attachments: 8	
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source=A2014-070-11-US_D1093US00_DeclarationandAssignment_filed#page7.tif
source=A2014-070-11-US_D1093US00_DeclarationandAssignment_filed#page8.tif

COMBINED DECLARATION and ASSIGNMENT (37 CFR 1.63) FOR UTILITY OR DESIGN APPLICATION USING AN APPLICATION DATA SHEET (37 CFR 1.76)

Title of Invention	PORTIONS OF LIGHT-EMITTING DIODE UNIT
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As the below named inventor, I hereby declare that:

This declaration is directed to: The attached application, or United States application or PCT international application number _____ filed on _____

The above-identified application was made or authorized to be made by me.

I believe that I am the original inventor or an original joint inventor of a claimed invention in the application.

I hereby acknowledge that any willful false statement made in this declaration is punishable under 18 U.S.C. 1001 by fine or imprisonment of not more than five (5) years, or both.

I have reviewed and understand the contents of the application and am aware of the duty to disclose to the US Patent and Trademark Office all information known to me to be material to patentability as defined in 37 CFR § 1.56.

ASSIGNMENT

For good and valuable consideration, the receipt, sufficiency and adequacy of which are hereby acknowledged, I hereby sell, assign, and transfer to Epistar Corporation, having a principle place of business at 5, Li-hsin 5th Rd., Science-based Industrial Park, Hsinchu, Taiwan, R.O.C. 300, and its successors, assigns, and legal representatives, my entire right, title and interest in and to the invention listed above, together with the Application, any and all Patents that may issue for the United States and all foreign countries (including the right to claim priority under the terms of the International Convention and any other relevant International Treaties and Arrangements from the aforesaid application), in and to any and all improvements that are disclosed in the above-noted application, and in and to any reissues, renewals, continuations, continuation-in-parts, divisionals or extensions thereof that have been or shall be filed in the United States and all foreign countries on any of said improvements.

I agree that I will communicate to the assignee or its representatives any facts known to me respecting said invention, and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuation, substitute, renewal, and reissue applications, execute all necessary assignment papers to cause any and all Patents to be issued to the assignee, make all rightful oaths, and, generally do everything possible to aid said assignee, its successors and assigns, to obtain and enforce proper protection for said invention in the United States and in any and all foreign countries.

LEGAL NAME OF INVENTOR (Given name FAMILY NAME)

Inventor: Hui-Fang Kao

Date: Dec. 03, 2014

Signature: Hui-Fang Kao

Note: An application data sheet (PTO/SB/14 or equivalent), including naming the entire inventive entity, must accompany this form. Use an additional form for each additional inventor.

Title 37, Code of Federal Regulations, Section 1.56

I. Duty to Disclose Information Material to Patentability

(a) A patent by its very nature is affected with a public interest. The public interest is best served, and the most effective patent examination occurs when, at the time an application is being examined, the Office is aware of and evaluates the teachings of all information material to patentability. Each individual associated with the filing and prosecution of a patent application has a duty of candor and good faith in dealing with the Office, which includes a duty to disclose to the Office all information known to that individual to be material to patentability as defined in this section. The duty to disclose information exists with respect to each pending claim until the claim is canceled or withdrawn from consideration, or the application becomes abandoned. Information material to the patentability of a claim that is canceled or withdrawn from consideration need not be submitted if the information is not material to the patentability of any claim remaining under consideration in the application. There is no duty to submit information which is not material to the patentability of any existing claim. The duty to disclose all information known to be material to patentability is deemed to be satisfied if all information known to be material to patentability of any claim issued in a patent was cited by the Office or submitted to the Office in the manner prescribed by §§ 1.97(b)-(d) and 1.98. However, no patent will be granted on an application in connection with which fraud on the Office was practiced or attempted or the duty of disclosure was violated through bad faith or intentional misconduct. The Office encourages applicants to carefully examine:

- (1) Prior art cited in search reports of a foreign patent office in a counterpart application, and
- (2) The closest information over which individuals associated with the filing or prosecution of a patent application believe any pending claim patentably defines, to make sure that any material information contained therein is disclosed to the Office.

(b) Under this section, information is material to patentability when it is not cumulative to information already of record or being made of record in the application, and

- (1) It establishes, by itself or in combination with other information, a prima facie case of unpatentability of a claim; or
- (2) It refutes, or is inconsistent with, a position the applicant takes in:
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 - (ii) Asserting an argument of patentability.

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(c) Individuals associated with the filing or prosecution of a patent application within the meaning of this section are:

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- (2) Each attorney or agent who prepares or prosecutes the application; and
- (3) Every other person who is substantively involved in the preparation or prosecution of the application and who is associated with the inventor, with the assignee or with anyone to whom there is an obligation to assign the application.

(d) Individuals other than the attorney, agent or inventor may comply with this section by disclosing information to the attorney, agent, or inventor.

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I agree that I will communicate to the assignee or its representatives any facts known to me respecting said invention, and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuation, substitute, renewal, and reissue applications, execute all necessary assignment papers to cause any and all Patents to be issued to the assignee, make all rightful oaths, and, generally do everything possible to aid said assignee, its successors and assigns, to obtain and enforce proper protection for said invention in the United States and in any and all foreign countries.

LEGAL NAME OF INVENTOR (Given name FAMILY NAME)

Inventor: Tzu-Chieh Hsu

Date: 2014/12/3

Signature: Tzu-Chieh Hsu

Note: An application data sheet (PTO/SB/14 or equivalent), including naming the entire inventive entity, must accompany this form. Use an additional form for each additional inventor.

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LEGAL NAME OF INVENTOR (Given name FAMILY NAME)

Inventor: Yao-Ning Chan

Date: 2014.12.03

Signature: *Yao-Ning Chan*

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