# PATENT ASSIGNMENT COVER SHEET

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| SUBMISSION TYPE:      | NEW ASSIGNMENT |
|-----------------------|----------------|
| NATURE OF CONVEYANCE: | ASSIGNMENT     |

### **CONVEYING PARTY DATA**

| Name              | Execution Date |
|-------------------|----------------|
| CHRISTIAN HAMEL   | 06/30/2016     |
| VASILIKI KALATZIS | 06/28/2016     |
| MARIE PEQUIGNOT   | 06/28/2016     |
| NICOLAS CERESO    | 06/30/2016     |

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## **PROPERTY NUMBERS Total: 1**

| Property Type       | Number   |
|---------------------|----------|
| Application Number: | 15101591 |

#### **CORRESPONDENCE DATA**

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using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

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PATENT REEL: 039153 FRAME: 0537

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| ATTORNEY DOCKET NUMBER:        | 13520013US   |
|--------------------------------|--|
| NAME OF SUBMITTER:             | MICHAEL E. WHITHAM   |
| SIGNATURE:                     | /Michael E. Whitham/                                       |
| <b>DATE SIGNED:</b> 07/14/2016 |  |
|                                | This document serves as an Oath/Declaration (37 CFR 1.63). |

### **Total Attachments: 3**

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PATENT REEL: 039153 FRAME: 0538

# DECLARATION (37 C.F.R. 1.63) FOR UTILITY PATENT APPLICATION USING AN APPLICATION DATA SHEET (37 C.F.R. 1.76) AND ASSIGNMENT

Title of Invention: Methods and Pharmaceutical Compositions for Expressing a Polynucleotide of Interest in the Retinal Pigment Epithelium of a Subject

| As a below named inventor, I hereby declare that:  |  |  |  |
|--|--|--|--|
| This declaration and assignment document is directed to:   |  |  |  |
| [ ] the attached application;  |  |  |  |
| [ ] United States application number filed on  |  |  |  |
| [X] PCT international application number <u>PCT/EP2014/076740</u> filed on <u>05 December 2014</u> .   |  |  |  |
| The above-identified application was made or authorized to be made by me.  |  |  |  |
| I believe that I am the original inventor or an original joint inventor of a claimed invention in the application.   |  |  |  |
| I have reviewed and understand the contents of the application, including the claims.  |  |  |  |
| I am aware of the duty to disclose to the United States Patent and Trademark Office all information known to me to be material to patentability as defined in 37 CFR Section 1.56.                 |  |  |  |
| Whereas, the undersigned inventor(s) has/have made certain inventions, improvements, and discoveries (herein referred to as the "Invention") disclosed in the above-identified patent application; |  |  |  |
| Whereas, INSERM (Institut National de la Sante et de la Recherche Medicale), having a place of business at 101 rue de Tolbiac, F-75013, Paris, France; Centre Hospitalier Universitaire de         |  |  |  |

Whereas, INSERM (Institut National de la Sante et de la Recherche Medicale), having a place of business at 101 rue de Tolbiac, F-75013, Paris, France; Centre Hospitalier Universitaire de Montpellier, having a place of business at 19, Avenue Doyen Gaston Giraud, F-34005 Montpellier, France; Universite de Montpellier, having a place of business at 163, rue Auguste Broussonnet, F-34090 Montpellier, France, (herein referred to as "ASSIGNEE"), desires to acquire, and each undersigned inventor desires to grant to ASSIGNEE, the entire worldwide right, title, and interest in and to the Invention and in and to any and all patent applications and patents directed thereto;

Now, therefore, for good and valuable consideration, the receipt and sufficiency thereof being hereby acknowledged, each undersigned inventor ("ASSIGNOR") hereby sells or has sold, assigns or has assigned, and otherwise transfers or has transferred to the ASSIGNEE, its successors, legal representatives, and assigns, the entire worldwide right, title, and interest in and to the Invention, the above-identified United States patent application, and any and all other patent applications and patents for the Invention which may be applied for or granted therefor in the United States and in all foreign countries and jurisdictions, including all divisions, continuations, reissues, reexaminations, renewals, extensions, counterparts, substitutes, and extensions thereof, and all rights of priority resulting from the filing of such applications and granting of such patents. In addition, each undersigned inventor hereby authorizes and requests the Director of the United States Patent and Trademark Office to issue any United States Patent, and foreign patent authorities to issue any foreign patent, granted for the Invention, to ASSIGNEE, its successors, legal representatives, and assigns, the entire worldwide right, title, and interest in and to the same to be held and enjoyed by ASSIGNEE, its successors, legal representatives,

and assigns to the full end of the terms for which any and all such patents may be granted, as fully and entirely as would have been held and enjoyed by the undersigned had this Assignment not been made; and each undersigned inventor agrees to execute any and all documents and instruments and perform all lawful acts reasonably related to recording this Assignment or perfecting title to the Invention and all related patents and applications, in ASSIGNEE, its successors, legal representatives, and assigns, whenever requested by ASSIGNEE, its successors, legal representatives, or assigns.

Each undersigned inventor acknowledges their prior and ongoing obligations to sell, assign, and transfer the rights under this Assignment to ASSIGNEE and is unaware of any reason why they may not have the full and unencumbered right to sell, assign, and transfer the rights hereby sold, assigned, and transferred, and has not executed, and will not execute, any document or instrument in conflict herewith. Each undersigned inventor also hereby grants ASSIGNEE, its successors, legal representatives, and assigns, the right to insert in this Assignment any further identification (including, but not limited to, patent Application Number) which may be necessary or desirable for recordation of this Assignment.

I hereby acknowledge that any willful false statement made in this declaration is punishable under 18 U.S.C. 1001 by fine or imprisonment of not more than five (5) years, or both.

I hereby authorize and request any attorney of WHITHAM, CURTIS, CHRISTOFFERSON & COOK, P.C., Customer No. 30743, to insert or correct any information concerning the identity of ASSIGNOR, ASSIGNEE, or the above-mentioned application (including the serial no. and the filing date).

| _Date:_ | 30/06/16. |
|---------|-----------|
| Date:_  | 28/6/16   |
|         |           |
| Date:_  | 28/06/16  |
|         |           |
| Date:_  |           |
|         | _Date:_   |

and assigns to the full end of the terms for which any and all such patents may be granted, as fully and entirely as would have been held and enjoyed by the undersigned had this Assignment not been made; and each undersigned inventor agrees to execute any and all documents and instruments and perform all lawful acts reasonably related to recording this Assignment or perfecting title to the Invention and all related patents and applications, in ASSIGNEE, its successors, legal representatives, and assigns, whenever requested by ASSIGNEE, its successors, legal representatives, or assigns.

Each undersigned inventor acknowledges their prior and ongoing obligations to sell, assign, and transfer the rights under this Assignment to ASSIGNEE and is unaware of any reason why they may not have the full and unencumbered right to sell, assign, and transfer the rights hereby sold, assigned, and transferred, and has not executed, and will not execute, any document or instrument in conflict herewith. Each undersigned inventor also hereby grants ASSIGNEE, its successors, legal representatives, and assigns, the right to insert in this Assignment any further identification (including, but not limited to, patent Application Number) which may be necessary or desirable for recordation of this Assignment.

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| (1) Legal Name of Inventor: Christian Hamel        |               |
|--|---------------|
| Signature:   | Date:         |
| (2) Legal Name of Inventor: Vasiliki Kalatzis      |               |
| Signature:   | Date:         |
| (3) Legal Name of Inventor: Marie Pequignot        |               |
| Signature:   | Date:         |
| (4) Legal Name of Inventor: <b>Nicolas Ceres</b> o |               |
| Signature:   | June the 30th |
|  |               |

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