503920067 07/18/2016

PATENT ASSIGNMENT COVER SHEET

EPAS ID: PAT3966720

Electronic Version v1.1
Stylesheet Version v1.2

 SUBMISSION TYPE:
 NEW ASSIGNMENT

 NATURE OF CONVEYANCE:
 ASSIGNMENT

CONVEYING PARTY DATA

Name	Execution Date
CRAIG E. EVANS	12/30/2014
YANG SONG	12/30/2014
JEFFREY CHEN	12/30/2014

RECEIVING PARTY DATA

Name:	ESS TECH, INC.		
Street Address:	17898 SW UPPER BOONES FERRY ROAD		
City:	PORTLAND		
State/Country:	OREGON		
Postal Code:	97224		

PROPERTY NUMBERS Total: 1

Property Type	Number	
Application Number:	14984416	

CORRESPONDENCE DATA

Fax Number:

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

Email: walker@ahmrt.com

Correspondent Name: ALLEMAN HALL MCCOY RUSSELL & TUTTLE LLP

Address Line 1: 806 SW BROADWAY, SUITE 600
Address Line 4: PORTLAND, OREGON 97205

ATTORNEY DOCKET NUMBER:	EST14302
NAME OF SUBMITTER:	B. ANNA MCCOY
SIGNATURE:	/B. Anna McCoy/
DATE SIGNED:	07/18/2016

Total Attachments: 3

source=Executed assignment received 12-30-14#page1.tif source=Executed assignment received 12-30-14#page2.tif source=Executed assignment received 12-30-14#page3.tif

PATENT 503920067 REEL: 039181 FRAME: 0685

ASSIGNMENT

WHEREAS, we, CRAIG E. EVANS of Portland, Oregon, YANG SONG of Portland, Oregon, and JEFFREY CHEN of Portland, Oregon, have invented subject matter disclosed and/or claimed in a patent application entitled CARBON COATED PLASTIC ELECTRODES FOR HYBRID BATTERIES, the specification of which:

	is attached hereto; or
	was filed on 12/30/14 and has been given application No. 62/098,200
	(I hereby authorize the attorneys/agents at Alleman Hall McCoy Russell & Tuttle LLP
	ner Number 50488, to insert the filing date and application number of said application
when l	known)

WHEREAS, Energy Storage Systems, Inc., an Oregon corporation, having its principal place of business at 2828 SW Corbett Ave., Portland, OR, 97201, is desirous of acquiring an interest therein;

NOW, THEREFORE, for good and valuable consideration, the receipt and sufficiency of which hereby are acknowledged, we, CRAIG E. EVANS, YANG SONG, and JEFFREY CHEN have sold, assigned, and transferred, and do hereby sell, assign, and transfer, to Energy Storage Systems, Inc., its successors and assigns, the full and exclusive right to the invention in the United States and its territorial possessions and in all foreign countries, and the entire right, title, and interest in and to any and all Letters Patent that may be granted therefor in the United States and its territorial possessions and in any and all foreign countries, and in and to any and all divisions, continuations, reissues, substitutions, and extensions thereof.

We hereby authorize and request the Patent Office officials in the United States and any and all foreign countries to issue any and all of said Letters Patent, when granted, to Energy Storage Systems, Inc., as the assignee of our entire right, title, and interest in and to the same, for the sole use of Energy Storage Systems, Inc., and its successors and assigns.

Further, we hereby covenant and agree to and with Energy Storage Systems, Inc., and its successors and assigns, at the time of execution and delivery of these presents, that we are the sole and lawful owner of the entire right, title, and interest in and to the invention and the above-identified patent application, and that the same are unencumbered, and that we have good and full right and lawful authority to sell and convey the same in the manner herein set forth.

ASSIGNMENT TO ENERGY STORAGE SYSTEMS, INC; Docket No. EST14302P

Fag # [1

PATENT —— REEL: 039181 FRAME: 0686 Further, we agree that we will communicate to Energy Storage Systems, Inc., or its representatives, any facts known to us respecting the invention, and testify in any legal proceedings, sign all lawful papers, execute all divisional, continuation, reissue, substitution, and extension applications, execute all necessary assignment papers to cause any and all of said Letters Patent to be issued to Energy Storage Systems, Inc., make all rightful oaths, and generally do everything possible to aid Energy Storage Systems, Inc. and its successors and assigns, to obtain and enforce proper protection for the invention in the United States and in any and all foreign countries.

IN TESTIMONY WHEREOF, I have hereunto set my hand thisd	lay of
December, 2014.	2
CRAIG E. EVANS	And The
Witness:	
Signature	
Name: Jang Song	
Address: 9619 NW Miller Hill Dr.	
City & State: Portland, OR 97229	

5 a g a [2]

IN TESTIM	IONY WHEREOF, I ha	ave hereunto set my har	nd this <u>30th</u>	day of
Delember				
				<u> </u>
		YANG SON	G	
Witness:				
		<u></u>		
Signature:				
Name: Craig	Evanj			
	and the second			
Address: <u>9619</u>	MIND Miller Hill T	7 v.		
ි ව	VI 6 02 67	120		
City & State: 16	utland, OR 97	<u> </u>		
DAMESTIN (ONLY	WHEDEOE I have be	reunto set my hand this	30m	day of
		cumo set my nana amo		
DECEMBER, 20	7 <u>47</u> .	F	7	
		11	nd_	<u>.</u>
		JEFFREN	CHEN	
Witness:		·		
		<u></u>		
Signature:	700	<u>. </u>		
1.7				
Name: Yang	Sony			
	0	<u>2222</u>		
Address: 961	9 NW Mille	x Hill Br.		
~				
City & State: 18	rtland, OR 9.	7229		

ASSIGNMENT TO ENERGY STORAGE SYSTEMS, INC; Docket No. EST14302P

RECORDED: 07/18/2016

PATENT REEL: 039181 FRAME: 0688