503926388 07/21/2016

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 EPAS ID: PAT3973041 Stylesheet Version v1.2

SUBMISSION TYPE: NEW ASSIGNMENT NATURE OF CONVEYANCE: ASSIGNMENT

CONVEYING PARTY DATA

Name	Execution Date
F. HOFFMANN-LA ROCHE AG	04/07/2014

RECEIVING PARTY DATA

Name:	HOFFMANN-LA ROCHE INC.	
Street Address:	340 KINGSLAND STREET	
City:	NUTLEY	
State/Country:	NEW JERSEY	
Postal Code:	07110	

PROPERTY NUMBERS Total: 1

Property Type	Number
Application Number:	15092847

CORRESPONDENCE DATA

Fax Number: (650)952-9881

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent

using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

Phone: 650-467-5096

garciay5@gene.com, changy6@gene.com, docketing-d@gene.com Email:

Correspondent Name: Y. ELAINE CHANG

Address Line 1: 1 DNA WAY

Address Line 4: SOUTH SAN FRANCISCO, CALIFORNIA 94080

ATTORNEY DOCKET NUMBER: P31793-US NAME OF SUBMITTER: Y. ELAINE CHANG SIGNATURE: /Y. Elaine Chang/ **DATE SIGNED:** 07/21/2016

Total Attachments: 3

source=P31793-US FHLR to HLRInc#page1.tif source=P31793-US_FHLR_to_HLRInc#page2.tif source=P31793-US FHLR to HLRInc#page3.tif

> **PATENT** REEL: 039216 FRAME: 0218 503926388

Case No. 31793

ASSIGNMENT AGREEMENT

F. Hoffmann-La Roche AG ("ASSIGNOR") a corporation duly organized under the laws of Switzerland and having its principal place of business at Grenzacherstrasse 124, CH-4070 Basel, Switzerland

acknowledges the previous transfer to

Hoffmann-La Roche Inc. ("ASSIGNEE") a corporation of the State of New Jersey, United Sates of America, having its principal place of business at 340 Kingsland Street, 07110 Nutley, New Jersey, United States of America

for good and valuable consideration paid to ASSIGNOR by ASSIGNEE, the receipt and sufficiency of which is hereby acknowledged, of all right, title, and interest in, to, and deriving from the invention(s) disclosed in the patent application(s) set forth below for the territory of the United States of America and its possessions by operation of law, contract, or otherwise, and any Letters Patent which may hereafter be granted on the same in the United States including any application claiming priority under 35 U.S.C. §119(e), divisions, renewals, continuations in whole or in part, substitutions, conversions, reissues, reexaminations, prolongations or extensions thereof.

As far as the right, title, and interest of ASSIGNOR in, to, and deriving from the invention(s) disclosed in the patent application(s) set forth below may not have been transferred, for good and valuable consideration paid to ASSIGNOR by ASIGNEE, the receipt and sufficiency of which is hereby acknowledged, ASSIGNOR hereby assigns, sells and transfers to ASSIGNEE, and to ASSIGNEE'S successors and assigns, for the territory of the United States of America and its possessions all of ASSIGNOR'S right, title and interest in, to, and deriving from the invention(s) described in the patent application(s) listed below, including any divisions, renewals, continuations in whole or in part, substitutions, conversions, reissues, reexaminations, prolongations or extensions thereof, or other U.S. patent applications claiming the benefit of the filling date of the following patent application(s):

Case No. 31793

Country

Filling Date

Serial No. 13188283.9

Europe

11. October 2013

entitled

MULTISPECIFIC DOMAIN EXCHANGED COMMON VARIABLE LIGHT CHAIN ANTIBODIES

ASSIGNEE, by virtue of this Assignment, shall have the right to file and own patent applications that claim priority to and are based in whole or in part on the patent application(s) cited above with the United States Patent and Trademark Office and to own Letters Patents that issue thereon. Accordingly, ASSIGNOR hereby authorizes and requests the Commissioner of Patents and Trademarks to issue any United States Letters Patent which may issue for such patent applications, including any divisions, renewals, continuations in whole or in part, substitutions, conversions, relessues, reexaminations, prolongations or extensions thereof, to ASSIGNEE, its successors and assigns.

ASSIGNOR does hereby covenant and agree with ASSIGNEE, its successors and assigns, that ASSIGNOR will not execute any writing or do any act conflicting with this assignment, and ASSIGNOR turther agrees that he will, without charge to said ASSIGNEE, but at ASSIGNEE'S expense, cooperate with ASSIGNEE in the prosecution of said Letters Patent and/or applications, execute, verify, acknowledge and deliver all such further papers, including applications for Letters Patent and for the reissue thereof, and instruments of assignment and transfer thereof, and will perform such other acts as ASSIGNEE lawfully may request, to obtain or maintain Letters Patent for said invention and improvement in any and all countries, and to vest title thereto in said ASSIGNEE, or ASSIGNEE'S successors and assigns.

PATENT REEL: 039216 FRAME: 0219 Case No. 31793

TO BE NO

This agreement shall be governed and construed in accordance with substantive laws of Switzerland with the exclusion of the Vienna Convention on the International Sale of Goods dated April 11, 1980 The competent courts of Basel City, Switzerland shall have exclusive jurisdiction.

ASSIGNOR

F. Hoffmann - La Roche AG

Place, Date

Holder of procuration

Susanne Amann Mandatory

ASSIGNEE

Hoffmann-La Roche Inc.

Newark, NJ (USA) April 14, 2014

Place, Date

George M. Gould, Counsel Authorized Signer

Sworn and Subscribed to before ne this 19th day of april, 2014.
Charlese B. O'Cornor

CHARLENE B. O'CONNOR NOTARY PUBLIC OF NEW JERSEY My Commission Expires May 1, 2014 10, 10,

*galization

te undersigned Notary Public of the Canton of Basel-Stadt (Switzerland), Dr. Christoph Nertz, rewith legalizes the foregoing signature of

Hoffmann-La Roche AG, a stock company with legal domicile in Basel (Switzerland), tendered by

Mister Antonio Natoli, citizen of and residing in Basel (Switzerland), and

Miss Susanne Amann, German citizen, residing in Heitersheim (Germany).

both duly entitled to sign documents wherein property of the company is alienated.

Signers and signatures personally known.

Basel (Switzerland), this 8th (eighth) day of April 2014 (two thousand and fourteen).



1.4-4-

BV 520/2014

PATENT REEL: 039216 FRAME: 0221

RECORDED: 07/21/2016