

PATENT ASSIGNMENT COVER SHEET

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EPAS ID: PAT3977929

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
DENIS SERGEYEVICH FILIMONOV	06/30/2016
YUAN SHANGGUAN	07/04/2016
RECEIVING PARTY DATA	
Name:	AMAZON TECHNOLOGIES, INC.
Street Address:	PO BOX 81226
City:	SEATTLE
State/Country:	WASHINGTON
Postal Code:	98108-1226
PROPERTY NUMBERS Total: 1	
Property Type	Number
Application Number:	15196184
CORRESPONDENCE DATA	
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<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
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Correspondent Name:	ILAN N. BARZILAY
Address Line 1:	TWO SEAPORT LANE
Address Line 2:	SUITE 300
Address Line 4:	BOSTON, MASSACHUSETTS 02210
ATTORNEY DOCKET NUMBER:	P31598-US
NAME OF SUBMITTER:	ILAN N. BARZILAY
SIGNATURE:	/Ilan N. Barzilay/
DATE SIGNED:	07/26/2016
This document serves as an Oath/Declaration (37 CFR 1.63).	
Total Attachments: 5	
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PATENT ASSIGNMENT AND DECLARATION (37 CFR 1.63)

U.S. Patent Appln. No. 15/196,184

Attorney Docket No. 076753.401

Filing Date June 29, 2016

Client Docket No. P31598-US

PARTIES TO THE ASSIGNMENT

Assignor(s):

Denis Sergeevich Filimonov
410 Terry Avenue North
Seattle, WA 98109-5210

Yuan Shangguan
410 Terry Avenue North
Seattle, WA 98109-5210

Assignee:

Amazon Technologies, Inc.
PO Box 81226
Seattle, WA 98108-1226

AGREEMENT

WHEREAS, ASSIGNOR(S) (listed above) are inventor(s) of an invention entitled "ADAPTIVE BEAM PRUNING FOR AUTOMATIC SPEECH RECOGNITION" (Invention) for which a non-provisional application for United States Letters Patent (Application):

- was filed on June 29, 2016 and accorded U.S. Application No. 15/196,184; or
- will be filed concurrently with the submission of this executed PATENT ASSIGNMENT for recordation.

ASSIGNOR(S) hereby authorizes and requests ASSIGNEE'S legal representatives, of Seyfarth Shaw LLP, associated with Customer No. 136714, to insert in the header above and here in parentheses (U.S. Patent Application No. 15/196,184, filed June 29, 2016) this application's U.S. application number and filing date, when known.

WHEREAS, ASSIGNEE, a corporation of the State of Nevada, is desirous of acquiring the entire right, title and interest in and to the Invention and in and to any letters patent that may be granted therefor in the United States and in any and all foreign countries.

NOW, THEREFORE, in exchange for good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNOR(S) hereby sell, assign and transfer unto ASSIGNEE its

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Title of Invention: ADAPTIVE BEAM PRUNING FOR AUTOMATIC SPEECH RECOGNITION

Inventors: **Denis Sergeevich Filimonov, Yuan Shangguan**

successors and assigns, the entire right, title and interest in and to said Invention and any improvements thereto, said Application and any and all letters patent which may be granted for said Invention in the United States of America and its territorial possessions and in any and all foreign countries, and in any and all provisional, divisions, reissues, re-examinations and continuations thereof, including the right to file foreign applications directly in the name of ASSIGNEE and to claim priority rights deriving from said Application to which said foreign applications are entitled by virtue of international convention, treaty or otherwise, said Invention, Application and all letters patent on said Invention to be held and enjoyed by ASSIGNEE and its successors and assigns for their use and benefit and of their successors and assigns as fully and entirely as the same would have been held and enjoyed by ASSIGNOR(S) had this assignment, transfer and sale not been made. ASSIGNOR(S) hereby authorize and request the Commissioner of Patents and Trademarks, as well as all other applicable patent offices throughout the world, to issue all letters patent on said Invention to ASSIGNEE. ASSIGNOR(S) agree to execute all instruments and documents required for the making and prosecution of applications for United States and foreign letters patent on said Invention, for litigation regarding said letters patent, or for the purpose of protecting title to said Invention or letters patent therefor.

AND ASSIGNOR(S) DOES HEREBY sell, assign, transfer, and convey to ASSIGNEE, its successors, legal representatives, and assigns all claims for damages and all remedies arising out of any violation of the rights assigned hereby that may have accrued prior to the date of assignment to ASSIGNEE, or may accrue hereafter, including, but not limited to, the right to sue

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for, collect, and retain damages for past infringements of said letters patent before or after issuance.

AND ASSIGNOR(S) DOES HEREBY covenant and agree that ASSIGNOR(S) will communicate to ASSIGNEE, its successors, legal representatives and assigns, any facts known to ASSIGNOR respecting the Invention or said Application, and testify in any legal proceeding, assist in the preparation of any other patent property relating to the Application and the Invention or any improvements made thereto, sign/execute all lawful papers, provide all requested documents, execute and make all rightful oaths and/or declarations in connection with the Application and the Invention including any improvements made thereto, any patent applications filed therefrom, and any continuing application filed from any of the aforementioned applications, and generally do everything possible to aid ASSIGNEE, its successors, legal representatives and assigns, to obtain and enforce proper patent protection for the Invention in all countries. These provisions are binding upon ASSIGNOR's heirs, legal representatives, administrators, and assigns.

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Title of Invention: ADAPTIVE BEAM PRUNING FOR AUTOMATIC SPEECH RECOGNITION

Inventors: **Denis Sergeevich Filimonov, Yuan Shangguan**

DECLARATION

As a below named inventor, I hereby declare that:

This declaration is directed to:

- the attached application; or
- United States Patent Application number 15/196,184 filed on June 29, 2016; or
- PCT International Application number _____ filed on _____.

The above-identified application was made or authorized to be made by me.

I believe that I am the original inventor or an original joint inventor of a claimed Invention in the application.

I have reviewed and understand the contents of the above-identified application, and acknowledge the duty to disclose to the US Patent & Trademark Office all information known to me to be material to the patentability as defined in 37 CFR 1.56.

I hereby acknowledge that any willful false statement made in this declaration is punishable under 18 USC 1001 by fine or imprisonment of not more than five (5) years, or both.

DocuSigned by:


 Denis Sergeevich Filimonov

June 30, 2016

 Date

PATENT ASSIGNMENT AND DECLARATION (37 CFR 1.63)

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Attorney Docket No. 076753.401

Filing Date June 29, 2016

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I hereby acknowledge that any willful false statement made in this declaration is punishable under 18 USC 1001 by fine or imprisonment of not more than five (5) years, or both.

DocuSigned by:

 5005089C42404F4...
 Yuan Shangguan

July 4, 2016

 Date