

PATENT ASSIGNMENT COVER SHEET

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EPAS ID: PAT3981034

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT

CONVEYING PARTY DATA

Name	Execution Date
XUYUAN GU	07/26/2016
YUAN SONG	07/26/2016
MICHAEL LIXIN SHEN	07/26/2016
JENNIFER RIGGS-SAUTHIER	07/25/2016
NEEL K. ANAND	07/26/2016
ANTONI KOZLOWSKI	06/30/2016
ALEKSANDRS ODINECS	07/26/2016
TIMOTHY A. RILEY	07/11/2016
ZHONGXU REN	07/26/2016
YONGQI MU	07/06/2016
XIAOMING SHEN	07/26/2016
XUEJUN YUAN	07/27/2016
NATALIA AURRECOECHEA	07/26/2016
DONOGH JOHN ROGER O'MAHONY	07/26/2016

RECEIVING PARTY DATA

Name:	NEKTAR THERAPEUTICS
Street Address:	455 MISSION BAY BOULEVARD SOUTH
Internal Address:	SUITE 100
City:	SAN FRANCISCO
State/Country:	CALIFORNIA
Postal Code:	94158

PROPERTY NUMBERS Total: 1

Property Type	Number
PCT Number:	IN2014000789

CORRESPONDENCE DATA

Fax Number: (415)339-5323

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

Email: atran@nektar.com

Correspondent Name: NEKTAR THERAPEUTICS
Address Line 1: 455 MISSION BAY BOULEVARD SOUTH
Address Line 2: SUITE 100
Address Line 4: SAN FRANCISCO, CALIFORNIA 94158

ATTORNEY DOCKET NUMBER: SHE0502.PCT

NAME OF SUBMITTER: MARK A. WILSON

SIGNATURE: /Mark A. Wilson/

DATE SIGNED: 07/27/2016

Total Attachments: 19

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A S S I G N M E N T

WHEREAS, We, XUYUAN GU, YUAN SONG, MICHAEL LIXIN SHEN, JENNIFER RIGGS-SAUTHIER, NEEL K. ANAND, ANTONI KOZLOWSKI, ALEKSANDRS ODINECS, TIMOTHY A. RILEY, ZHONGXU REN, YONGQI MU, XIAOMING SHEN, XUEJUN YUAN, NATALIA AURRECOECHEA and DONOGH JOHN ROGER O'MAHONY, hereinafter referred to as ASSIGNORS, have invented a new and unobvious invention entitled:

DERIVATIVES OF 6-(2,3-DICHLOROPHENYL)-1,2,4-TRIAZIN-5-AMINE

the subject matter of which is identified by and contained in PCT Application No. PCT/IN2014/000789, having a filing date of 12 December 2014; and

WHEREAS, NEKTAR THERAPEUTICS, a Delaware corporation, having a place of business at 455 Mission Bay Boulevard South, Suite 100, San Francisco, California 94158, hereinafter referred to as ASSIGNEE, is desirous of acquiring the entire right, title and interest in and to said application and said invention, in and to any and all improvements relating to said invention, and in and to Letters Patent thereon, when granted in the United States and all foreign countries; and

WHEREAS, ASSIGNORS acknowledge that pursuant to their employment agreements and the terms of this instrument, they are under a continuing obligation to assign all right, title and interest in, to and under the invention which is the subject of the referred-to application for Letters Patent and any improvements thereof and to all divisions, continuations and continuations-in-part of any of the foregoing, to NEKTAR THERAPEUTICS, its successors, legal representatives and assigns in accordance with this instrument;

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00) and for other good and valuable consideration received by ASSIGNORS from ASSIGNEE, the receipt of which is hereby acknowledged by ASSIGNORS:

Xuyuan Gu
974 Gull Avenue
Foster City, California 94404

Yuan Song
467 Tiller Lane
Redwood City, California 94065

Michael Lixin Shen
260 Del Norte Drive
San Bruno, California 94066

Jennifer Riggs-Sauthier
301 King Street
Unit 1700
San Francisco, California 94158

Neel K. Anand
542 Barneson Avenue
San Mateo, California 94402

Antoni Kozlowski
2103 Greenslope Trail
Huntsville, Alabama 35811

Aleksandrs Odinecs
2700 Melendy Drive, Apt. No. 7
San Carlos, California 94070

Timothy A. Riley
7 Woodcliffe Avenue
Worcester, Massachusetts 01604

Zhongxu Ren
1301 Ribbon Street
Foster City, California 94404

YongQi Mu
44 North El Monte Avenue
Los Altos, California 94022

Xiaoming Shen
5 Madrid Court
Millbrae, California 94030

Xuejun Yuan
~~127 Morning Vista Drive XY~~
~~Madison, Alabama 35758~~

1325 Promontory Terrace
San Ramon, CA 94583

Natalia Aurrecoechea
3040 Brookfield Avenue
Oakland, California 94605

Donogh John Roger O'Mahony
1612 Toyon Court
San Mateo, California 94402



1. ASSIGNORS hereby sell, assign, transfer and convey unto ASSIGNEE, the entire right, title and interest in and to said application and said invention and improvements; including all priority rights under the International Convention associated with the filing of said application; and in and to any and all Letters Patent on said invention and improvements that may be granted by the United States or any foreign countries, including each and every Letters Patent granted on any application which is a division, substitution, continuation or continuation-in-part of any application relating to said invention or improvements, and in and to each and every reissue or extension of said Letters Patent.

2. ASSIGNORS hereby warrant, covenant and represent the fact to be that they have not theretofore granted any license, right or privilege in respect to the said application or said invention or improvements, or in any other way encumbered the same, and that they have the full right to convey, free of all licenses and encumbrances, the entire interest hereby assigned.

3. ASSIGNORS covenant and agree that at the request and expense of ASSIGNEE they will promptly execute all papers necessary or desirable to perfect ownership of said invention, improvements, applications or said Letters Patent in ASSIGNEE, and execute all oaths and other papers necessary or desirable for prosecuting said application, for use in interference proceedings involving said invention or improvements, for refiling said applications, for filing of said divisional, substitution, continuation or continuation-in-part applications covering said invention or improvements which are deemed necessary or desirable by ASSIGNEE, for reissuance of said Letters Patent, or for the filing of foreign countries of applications for Letters Patent conferring said invention or improvements.

ASSIGNORS further covenant and agree that at the expense and request of ASSIGNEE they will promptly assist ASSIGNEE in proceedings involving said invention or improvements (including but not limited to post-grant proceedings, interference proceedings, and opposition or cancellation proceedings), and in litigation involving said Letters Patent, and will assist in the ascertainment of facts and the production of evidence relating to said invention or improvements.

4. The terms, covenants and provisions of this assignment shall inure to the benefit of ASSIGNEE, its successors, assigns and other, legal representatives, and shall be binding upon ASSIGNORS, their heirs, legal representatives and assigns.

5. ASSIGNORS hereby authorize and request the appropriate administrative or

judicial authority of the relevant jurisdiction to issue the Letters Patent on the invention, when granted, unto

NEKTAR THERAPEUTICS

its successors, assigns and other legal representatives in accordance with this agreement.

[This Space Intentionally Left Blank.]

IN TESTIMONY WHEREOF, I, XUYUAN GU, HAVE EXECUTED AND DELIVERED THIS INSTRUMENT THIS 26th DAY OF July, 2016.

Xuyuan Gu
Xuyuan Gu

A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document.

STATE OF California

County of San Francisco

On 7/26/2016 before me, Kathy E Honnert Notary Public
Date Name and Title of the Officer

personally appeared Xuyuan Gu
Name(s) of Signer(s)

who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

Signature Kathy E Honnert
Signature of Notary Public



IN TESTIMONY WHEREOF, I, **YUAN SONG**, HAVE EXECUTED AND DELIVERED THIS INSTRUMENT THIS 26 DAY OF JULY, 2016.

Yuan Song
Yuan Song

A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document.

STATE OF California

County of San Francisco

On 7/26/2016 before me, Kathy E Honnert Notary Public
Date Name and Title of the Officer

personally appeared Yuan Song
Name(s) of Signer(s)

who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

Signature *Kathy E Honnert*
Signature of Notary Public



IN TESTIMONY WHEREOF, I, MICHAEL LIXIN SHEN, HAVE EXECUTED AND DELIVERED THIS INSTRUMENT THIS 26 DAY OF JULY, 2016.

[Signature]
Michael Lixin Shen

A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document.

STATE OF California

County of San Francisco

On 7/26/2016 before me, Kathy E Honnert Notary Public
Date Name and Title of the Officer

personally appeared Michael Lixin Shen
Name(s) of Signer(s)

who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

Signature Kathy E Honnert
Signature of Notary Public



IN TESTIMONY WHEREOF, I, **JENNIFER RIGGS-SAUTHIER**, HAVE EXECUTED AND DELIVERED THIS INSTRUMENT THIS 25th DAY OF July, 2016.

Jennifer Riggs-Sauthier
Jennifer Riggs-Sauthier

A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document.

STATE OF California

County of San Francisco

On 25 July 2016 before me, Kathy E Honnert, Notary Public
Date Name and Title of the Officer

personally appeared Jennifer Riggs-Sauthier
Name(s) of Signer(s)

who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

Signature Kathy E Honnert
Signature of Notary Public



IN TESTIMONY WHEREOF, NEEL K. ANAND, HAVE EXECUTED AND DELIVERED THIS INSTRUMENT THIS 26th DAY OF July, 2016.

Neel Anand
Neel K. Anand

A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document.

STATE OF California

County of San Francisco

On 7/26/2016 before me, Kathy E Honnert Notary Public
Date Name and Title of the Officer

personally appeared Neel K Anand
Name(s) of Signer(s)

who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

Signature Kathy E Honnert
Signature of Notary Public



IN TESTIMONY WHEREOF, I, ANTONI KOZLOWSKI, HAVE EXECUTED AND DELIVERED THIS INSTRUMENT THIS 30th DAY OF JUNE, 2016.

Antoni Kozlowski
Antoni Kozlowski

A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document.

STATE OF Alabama

County of Madison

On June 30, 2016 before me, Jacqueline McCumber Notary Public
Date Name and Title of the Officer

personally appeared Antoni Kozlowski
Name(s) of Signer(s)

who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

Signature Jacqueline R. McCumber
Signature of Notary Public

Jacqueline R. McCumber
Notary Public, Alabama State At Large
My Commission Expires February 8, 2017

IN TESTIMONY WHEREOF, I, ALEKSANDRS ODINECS, HAVE EXECUTED AND DELIVERED THIS INSTRUMENT THIS 26 DAY OF JULY, 2016.

[Handwritten Signature]

Aleksandrs Odinecs

A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document.

STATE OF California

County of San Francisco

On 7/26/2016 before me, Kathy E Honnert, Notary Public
Date Name and Title of the Officer

personally appeared Aleksandrs Odinecs
Name(s) of Signer(s)

who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

Signature Kathy E Honnert
Signature of Notary Public



IN TESTIMONY WHEREOF, I, **TIMOTHY A. RILEY**, HAVE EXECUTED AND DELIVERED THIS INSTRUMENT THIS 11th DAY OF July, 2016.

Timothy A. Riley
Timothy A. Riley

A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document.

STATE OF Massachusetts

County of Worcester

On July 11, 2016 before me, Laura J. Harris,
Date Name and Title of the Officer

personally appeared Timothy A. Riley
Name(s) of Signer(s)

who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of Massachusetts ~~California~~ that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

Signature Laura J. Harris
Signature of Notary Public



IN TESTIMONY WHEREOF, I, **ZHONGXU REN**, HAVE EXECUTED AND DELIVERED THIS INSTRUMENT THIS 26th DAY OF July, 2016.

Zhongxu Ren
Zhongxu Ren

A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document.

STATE OF California

County of San Francisco

On 7/26/2016 before me, Kathy E Honnert Notary Public
Date Name and Title of the Officer

personally appeared Zhongxu Ren
Name(s) of Signer(s)

who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

Signature Kathy E Honnert
Signature of Notary Public



IN TESTIMONY WHEREOF, I, **YONGQI MU**, HAVE EXECUTED AND DELIVERED THIS INSTRUMENT THIS 6th DAY OF July, 2016.

YongQi Mu
YongQi Mu

A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document.

STATE OF _____

County of _____

On _____ before me, _____,
Date Name and Title of the Officer

personally appeared _____
Name(s) of Signer(s)

who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

Signature _____
Signature of Notary Public

CALIFORNIA ALL-PURPOSE ACKNOWLEDGMENT

A Notary Public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that Document.

State of California
County of San Mateo

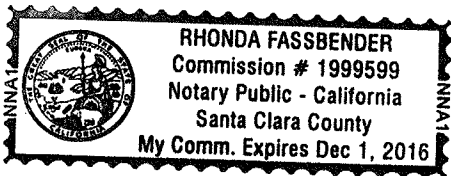


On July 6, 2016 before me, Rhonda Fassbender, Notary Public, personally appeared
Date

Yongqi Mu

Name(s) of Signer(s)

Who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed this instrument.



I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

Signature:

Signature of Notary

Place Notary Seal Above

IN TESTIMONY WHEREOF, I, XIAOMING SHEN, HAVE EXECUTED AND DELIVERED THIS INSTRUMENT THIS 26 DAY OF July, 2016.

Xiaoming Shen
Xiaoming Shen

A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document.

STATE OF California

County of San Francisco

On 7/26/2016 before me, Kathy E Honnert, Notary Public
Date Name and Title of the Officer

personally appeared Xiaoming Shen
Name(s) of Signer(s)

who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

Signature Kathy E Honnert
Signature of Notary Public



IN TESTIMONY WHEREOF, I, XUEJUN YUAN, HAVE EXECUTED AND DELIVERED THIS INSTRUMENT THIS 27 DAY OF July, 2016.

Xuejun Yuan
Xuejun Yuan

A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document.

STATE OF California

County of San Francisco

On 7/27/2016 before me, Kathy E. Honnert Notary Public
Date Name and Title of the Officer

personally appeared Xuejun Yuan
Name(s) of Signer(s)

who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

Signature Kathy E Honnert
Signature of Notary Public



IN TESTIMONY WHEREOF, I, NATALIA AURRECOECHEA, HAVE EXECUTED AND DELIVERED THIS INSTRUMENT THIS 26 DAY OF JULY, 2016.

Natalia Aurrecoechea
Natalia Aurrecoechea

A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document.

STATE OF California

County of San Francisco

On 7/26/2016 before me, Kathy E Honnert Notary Public
Date Name and Title of the Officer

personally appeared Natalia Aurrecoechea
Name(s) of Signer(s)

who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

Signature Kathy E Honnert
Signature of Notary Public



IN TESTIMONY WHEREOF, I, DONOGH JOHN ROGER O'MAHONY, HAVE EXECUTED AND DELIVERED THIS INSTRUMENT THIS 26th DAY OF July, 2016.

Donogh John Roger O'Mahony
Donogh John Roger O'Mahony

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STATE OF California

County of San Francisco

On 7/26/2016 before me, Kathy E. Honnert Notary Public
Date Name and Title of the Officer

personally appeared Donogh John Roger O'Mahony
Name(s) of Signer(s)

who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

Signature Kathy E Honnert
Signature of Notary Public

