## PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2 EPAS ID: PAT3982100

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT

### **CONVEYING PARTY DATA**

Name	Execution Date
TERUMI MATSUBARA	01/06/2016
MASATO HONDA	01/06/2016
YUTAKA SEKIGUCHI	01/06/2016
KEISUKE WAKABAYASHI	01/06/2016
KAZUO MATSUBARA	02/24/2015

### RECEIVING PARTY DATA

Name:	ATOM MEDICAL CORPORATION
Street Address:	18-15 , HONGO 3- CHOME , BUNKYO-KU
City:	TOKYO
State/Country:	JAPAN
Postal Code:	113- 0033

### **PROPERTY NUMBERS Total: 1**

Property Type	Number
Application Number:	14955160

### **CORRESPONDENCE DATA**

**Fax Number:** (202)778-2201

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

**Phone:** 202-419-2055

**Email:** patdcdocket@hunton.com

Correspondent Name: HUNTON & WILLIAMS LLP INTELLECTUAL PROPE

Address Line 1: 2200 PENNSYLVANIA AVENUE, N.W.

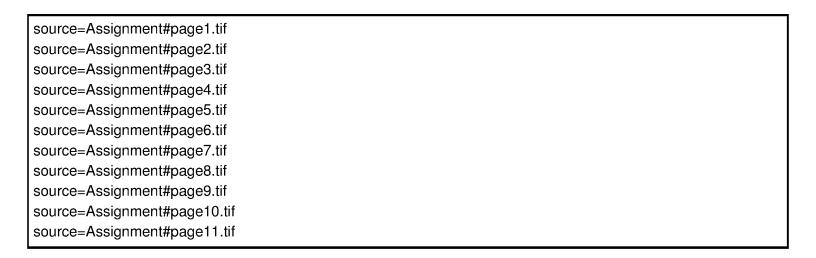
Address Line 4: WASHINGTON, D.C. 20037

ATTORNEY DOCKET NUMBER:	75575.000022
NAME OF SUBMITTER:	GENE YAO
SIGNATURE:	/Gene Yao/
DATE SIGNED:	07/28/2016
	This document serves as an Oath/Declaration (37 CFR 1.63).
	•

Total Attachments: 11

PATENT REEL: 039279 FRAME: 0085

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PATENT REEL: 039279 FRAME: 0086

## ASSIGNMENT AND DECLARATION

WHEREAS, we, MATSUBARA, Terumi, at c/o Atom Medical Corporation, 18-15, Hongo 3-chome, Bunkyo-ku, Tokyo, Japan 113-0033; HONDA, Masato, at c/o Atom Medical Corp. Research and Development, 2-1, Dojo 2-chome, Sakura-ku, Saitama-shi, Saitama, Japan 338-0835; SEKIGUCHI, Yutaka, at c/o Atom Medical Corp. Research and Development, 2-1, Dojo 2-chome, Sakura-ku, Saitama-shi, Saitama, Japan 338-0835; and WAKABAYASHI, Keisuke, at c/o Atom Medical Corp. Research and Development, 2-1, Dojo 2-chome, Sakura-ku, Saitama-shi, Saitama, Japan 338-0835; have invented certain new and useful improvements in and to the subject matter of:

#### **INCUBATOR**

described in an application for United States Letters Patent filed on December 1, 2015, and accorded Application No. 14/955,160, which is based on International Application No. PCT/2014/244840 filed on December 3, 2014;

AND, WHEREAS, ATOM MEDICAL CORPORATION, a corporation organized under the laws of Tokyo, Japan, having a place of business located at 18-15, Hongo 3-chome, Bunkyo-ku, Tokyo, Japan 113-0033, (hereinafter "ASSIGNEE"), is desirous of acquiring certain rights to the improvements and under the application;

NOW, THEREFORE, in exchange for good and valuable consideration, receipt of which is hereby acknowledged, we do hereby sell, assign and transfer unto said ASSIGNEE, its successors, assigns and legal representatives, our entire right, title and interest in and throughout the United States of America (including its territories and dependencies) in and to said improvements, said United States application, any other United States applications, including provisional, non-provisional, divisional, renewal, substitute, continuation, continuation-in-part, reexamination and reissue applications, based in whole or in part on said United States application or in whole or in part on said improvements, and in and to any and all letters patent, including extensions thereof, which have been or may be granted on any of the aforesaid applications or on said improvements or any parts thereof;

AND we hereby authorize and request our agents, Hunton & Williams LLP, 2200 Pennsylvania Avenue, N.W., Washington, DC 20037-1701, to insert hereon any identification necessary or desirable for recordation of this document, including the filing date and application number of said application when known.

AND we hereby agree for ourselves and our heirs, executors and administrators to execute without further consideration any further documents and instruments which may be necessary, lawful and proper in the prosecution of said above-referenced application or in the preparation or prosecution of any continuing, substitute, divisional, renewal, reexamination or reissue application or in any amendments, extensions, post-grant review, *inter partes* review or derivation proceedings, supplemental examination or interference proceedings, or other applications for patents of any region or country, that may be necessary to secure to ASSIGNEE its interest and title in and to said improvements or any parts thereof, and in and to said several patents or any of them;

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ATTORNEY DOCKET No.: 75575.000022 U.S. APPLICATION No. 14/955,160

AND we hereby covenant for ourselves and our legal representatives, and agree with said ASSIGNEE, its successors and assigns, that we have granted no right or license to make, use, sell or offer to sell said improvements, to anyone except said ASSIGNEE, that prior to the execution of this deed, our right, title and interest in said improvements had not been otherwise encumbered, and that we have not and will not execute any instrument in conflict therewith:

AND we do hereby authorize and request the Director of the United States Patent and Trademark Office to issue any and all letters patent which may be granted upon said United States applications, or upon said improvements or any parts thereof when granted, to said ASSIGNEE.

HUNTON & WILLIAMS LLP
INTELLECTUAL PROPERTY DEPARTMENT
2200 PENNSYLVARIA AVENUE, N.W.
WASHINGTON, DC 20037
(202) 955-1500 (TELEPHONE)
(202) 778-2201 (FACSIMILE)

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ATTORNEY DOCKET No.: 75575.000022 U.S. APPLICATION NO. 14/955,160

## IN WITNESS WHEREOF, I have hereunto set my hand and seal.

- In the above-identified application was made or authorized to be made by me.
- I believe I am the original inventor or an original joint inventor of a claimed invention in the application.
- I hereby acknowledge that any willful false statement made in this statement is punishable under 18 U.S.C. 1001 by fine or imprisonment of not more than five (5) years, or both.

January 6, 2016 Date Thumi Matruhan\_ Terumi MATSUBARA

WITNESSES:

Shigeki Takano

HUNTON & WILLIAMS LLP
INTELLECTUAL PROPERTY DEPARTMENT
2200 PENNSYLYANIA AYENUE, N.W.
WASHINGTON, DC 20037
(202) 955-1500 (TELEPHONE)
(202) 778-2201 (FACSIMILE)

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January 6, 2016

Date

Masato . Ofenda Masato HONDA

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Shigeki Takano

HUNTON & WILLIAMS LLP
INTELLECTUAL PROPERTY DEPARTMENT
2200 PENNSYLVANIA AVENUE, N.W.
WASHINGTON, DC 20037
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January 6, 2016

Date

Yutaka SEKIGUCHI Yutaka SEKIGUCHI

WITNESSES:

Shigeki Takano

HUNTON & WILLIAMS LLP
INTELLECTUAL PROPERTY DEPARTMENT
2200 PENNSYLVANIA AVENUE, N.W.
WASHINGTON, DC 20037
(202) 955-1500 (TELEPBONE)
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January 6, 2016
Date

Koisuke Wakabayashi Keisuke WAKABAYASHI

WITNESSES:

Shigeki Takano

HUNTON & WILLIAMS LLP
INTELLECTUAL PROPERTY DEPARTMENT
2200 PENNSYLVANIA AVENUE, N.W.
WASHINGTON, DC 20037
(202) 955-1500 (TELEPHONE)
(202) 778-2201 (FACSIMILE)

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Document Description: Oath or declaration filed

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# SUBSTITUTE STATEMENT IN LIEU OF AN OATH OR DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATION (35 U.S.C. 115(d) AND 37 CFR 1.64)

Title of Invention	INCUBATOR					
This statement is directed to:  The attached application, OR United States application or PCT international application number  LEGAL NAME of inventor to whom this substitute statement applies:  (E.g., Given Name (first and middle (if any)) and Family Name or Surname)						
	Matsubara		<del>-,,</del>			· · · · · · · · · · · · · · · · · · ·
Residence (except for a deceased or legally incapacitated inventor):  City State Country  Mailing Address (except for a deceased or legally incapacitated inventor):						
City		State		Zip		Country
I believe the above-named inventor or joint inventor to be the original inventor or an original joint inventor of a claimed invention in the application.  The above-identified application was made or authorized to be made by me.  I hereby acknowledge that any willful false statement made in this statement is punishable under 18 U.S.C. 1001 by fine or imprisonment of not more than five (5) years, or both.						
Relationship to the inventor to whom this substitute statement applies:  Legal Representative (for deceased or legally incapacitated inventor only),  Assignee,  Person to whom the inventor is under an obligation to assign,  Person who otherwise shows a sufficient proprietary interest in the matter (petition under 37 CFR 1.46 is required), or  Joint Inventor.						

[Page 1 of 2]

This collection of Information is required by 35 U.S.C. 115 and 37 CFR 1.63. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 1 minute to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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# **SUBSTITUTE STATEMENT**

Circumstances permitting execution of this substi	tute statement:				
Inventor is deceased,					
Inventor is under legal incapacity,					
Inventor cannot be found or reached after diligent effort, or					
Inventor has refused to execute the oath	or declaration under 37 CF	R 1,63.			
If there are joint inventors, please check the appropriate box below:					
or is currently submitted.	An application data sheet under 37 CFR 1.76 (PTO/AIA/14 or equivalent) naming the entire inventive entity has been or is currently submitted.				
OR  An application data sheet under 37 CFR 1.76 (PTO/AIA/14 or equivalent) has not been submitted. Thus, a Substitute Statement Supplemental Sheet (PTO/AIA/11 or equivalent) naming the entire inventive entity and providing inventor information is attached. See 37 CFR 1.64(b).					
	WARNING:				
Petitioner/applicant is cautioned to avoid submitting personal information in documents filed in a patent application that may contribute to identity theft. Personal information such as social security numbers, bank account numbers, or credit card numbers (other than a check or credit card authorization form PTO-2038 submitted for payment purposes) is never required by the USPTO to support a petition or an application. If this type of personal information is included in documents submitted to the USPTO, petitioners/applicants should consider redacting such personal information from the documents before submitting them to the USPTO. Petitioner/applicant is advised that the record of a patent application is available to the public after publication of the application (unless a non-publication request in compliance with 37 CFR 1.213(a) is made in the application) or issuance of a patent. Furthermore, the record from an abandoned application may also be available to the public if the application is referenced in a published application or an issued patent (see 37 CFR 1.14). Checks and credit card authorization forms PTO-2038 submitted for payment purposes are not retained in the application file and therefore are not publicly available.					
PERSON EXECUTING THIS SUBSTITUTE STATE	MENT:				
Name: Ichiro Matsubara Date (Optional):					
Signature: Office Malon	×4				
APPLICANT NAME AND TITLE OF PERSON EXE					
If the applicant is a juristic entity, list the applicant name and the title of the signer:  Applicant Name:					
Title of Person Executing This Substitute Statement:					
The signer, whose title is supplied above, is authorized to act on behalf of the applicant.  Residence of the signer (unless provided in an application data sheet, PTO/AIA/14 or equivalent):					
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Note: Use an additional PTO/AIA/02 form for each after diligent effort, or has refused to execute the or			annot be found or reached		

[Page 2 of 2]

# CERTIFICATE OF TRANSLITATION

I, Masaru Tsuchiya, am competent to translate from Japanese into English, and certify that the translation of Assignment is true and accurate to the best of my abilities.

(signature of translator)	Masaru Tsuchiya		
(Signature of italisiator)	(typed/printed name of translator)		
5-16, Sakuragaoka 4-chome, Setaga	aya-ku, Tokyo 156-0054. Janan		
(address of translator)			
+81(3)3426-7765			
(telephone number of translator)			

# (TRANSLATION)

## <u>ASSIGNMENT</u>

February 24, 2015

Assignee: 18-15, Hongo 3-chome, Bunkyo-ku, Tokyo

Atom Medical Corporation

Title: INCUBATOR (Curve of bed fence)

(Japanese Patent Application No. 2014-244840)

We, the undersigned, do hereby declare that we assigned to the above-mentioned assignee the right and interest to obtain patent, utility model registration and design registration in respect of the said Japanese Patent Application and other rights and interests relating to said Japanese Patent Application in Japan and all other countries of the world.

Assignor: Address: c/o Atom Medical Corporation, 18-15, Hongo

3-chome, Bunkyo-ku, Tokyo

Name: Kazuo Matsubara (seal)

Address: c/o Atom Medical Corporation, 18-15, Hongo

3-chome, Bunkyo-ku, Tokyo

Name: Terumi Matsubara (seal)

Address: c/o Atom Medical Corporation Research and

Development Dep., 2-1, Dojo 2-chome,

Sakura-ku, Saitama-shi, Saitama

Name: Masato Honda (seal)

Address: c/o Atom Medical Corporation Research and

Development Dep., 2-1, Dojo 2-chome,

Sakura-ku, Saitama-shi, Saitama

Name: Yutaka Sekiguchi (seal)

Address: c/o Atom Medical Corporation Research and

Development Dep., 2-1, Dojo 2-chome,

Sakura-ku, Saitama-shi, Saitama

Name: Keisuke Wakabayashi (seal)

# 護 渡 証

平成 77 年 2-月 24日

譲受人 東京都文京区本郷三丁目18番15号 アトムメディカル株式会社

名称 (保育器 (八小洲栖港山) (特颐2014-249840)

上記の発明、考案、創作について日本をはじめ世界各国における特許、 実用新案登録、意匠登録を受ける権利の全部を費社に譲渡致しました。

譲渡人	住所 東京都文京区本郷3丁目18番15号				
	アトムメディカル株式会社				
	氏名	松原一雄	0		
	住所	東京都文京区本郷3丁目18番15号			
			ディカル株式会社		
	氏名	松原、照巴	(O,		
	住所	埼玉県さいたま市桜区道場2丁目27	至1号		
		アトムメディカル株式	会社 技術開発本部		
	氏名	本田 将斗	<b>(a</b> )		
	住所	埼玉県さいたま市桜区道場2丁目2者	<b>备</b> 1号		
		アトムメディカル株式	会社 技術開発本部		
	氏名	関口 聖	<b>(E)</b>		
	住所	埼玉県さいたま市桜区道場2丁目27			
		アトムメディカル株式会			
	氏名	若林 啓介	<b>(0</b> )		
	住所				
	氏名		A		
	八石		<b>(ii)</b>		