### 503938458 07/29/2016

### PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2 EPAS ID: PAT3985112

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT

### **CONVEYING PARTY DATA**

Name	Execution Date
BRIAN J. CARROLL	01/28/2015
CLINTON DAHLBERG	02/09/2015
ANURADHA DESAI	01/28/2015
JAN URBAN	01/28/2015
VEERA KONDA	01/28/2015

### RECEIVING PARTY DATA

Name:	KINDEX PHARMACEUTICALS, INC.
Street Address:	800 FIFTH AVENUE
Internal Address:	SUITE 4100
City:	SEATTLE
State/Country:	WASHINGTON
Postal Code:	98104

### **PROPERTY NUMBERS Total: 1**

Property Type	Number
Application Number:	14593164

### **CORRESPONDENCE DATA**

Fax Number: (206)332-7198

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent

using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

Phone: (310) 788-9900

ckirchner@perkinscoie.com Email:

PERKINS COIE LLP - LOS GENERAL **Correspondent Name:** 

POST OFFICE BOX 1247 Address Line 1:

Address Line 4: SEATTLE, WASHINGTON 98111-1247

ATTORNEY DOCKET NUMBER:	073912-8003.US01
NAME OF SUBMITTER:	COLLEEN KIRCHNER
SIGNATURE:	/Colleen Kirchner/
DATE SIGNED:	07/29/2016
	This document serves as an Oath/Declaration (37 CFR 1.63).

**PATENT** REEL: 039293 FRAME: 0503

503938458

# Total Attachments: 9 source=SignedDeclarationAssignment#page1.tif source=SignedDeclarationAssignment#page2.tif source=SignedDeclarationAssignment#page3.tif source=SignedDeclarationAssignment#page4.tif source=SignedDeclarationAssignment#page5.tif source=SignedDeclarationAssignment#page6.tif source=SignedDeclarationAssignment#page7.tif source=SignedDeclarationAssignment#page8.tif source=SignedDeclarationAssignment#page8.tif source=SignedDeclarationAssignment#page9.tif

PATENT REEL: 039293 FRAME: 0504

Title of	TETRAHYDRO-ISOHUMULONE DERIVATIVES, METHODS OF MAKING AND	
Invention	USING	
As the below nam	ed inventor, I hereby declare that:	
This declaration is	☐ The attached application, or	
directed to:	United States application or PCT international application number 14/593,164 filed on January 9, 2015.	
<b>{</b>	ed application was made or authorized to be made by me.	
I believe that I am	the original inventor or an original joint inventor of a claimed invention in the application.	
I hereby state that claims.	I have reviewed and understand the contents of the above-identified specification, including the	
I acknowledge the	duty to disclose information which is material to patentability as defined in 37 CFR 1.56.	
place of business a and interest in and	GNDEX PHARMACEUTICALS, INC. (hereinafter referred to as "ASSIGNEE") having a act: 800 Fifth Avenue, Suite 4100, Seattle, WA 98104, desires to acquire the entire right, title to said invention and in and to any Letters Patent that may be granted therefore in the United torial possessions and in any and all foreign countries;	
NOW, THEREFORE, for valuable consideration, the receipt and sufficiency of which I hereby acknowledge, I, by these presents, do sell, assign and transfer unto said ASSIGNEE, the full and exclusive right to the said invention in the United States and its territorial possessions and in all foreign countries (including the right to claim priority under the terms of the International Convention and other relevant International Treaties and Arrangements from the aforesaid application) and the entire right, title and interest in and to any and all Letters Patent which may be granted therefore in the United States and its territorial possessions and in any and all foreign countries and in and to any and all divisions, reissues, continuations, substitutions and renewals thereof.		
I hereby authorize and request the Patent Office Officials in the United States and its territorial possessions and any and all foreign countries to issue any and all of said Letters Patent, when granted, to said ASSIGNEE as the assignee of my entire right, title and interest in and to the same, for the sole use and behoof of said ASSIGNEE, its (his) successors and assigns, to the full end of the term for which said Letters Patent may be granted, as fully and entirely as the same would have been held by me had this Assignment and sale not been made.		
Further, I agree that I will communicate to said ASSIGNEE or its (his) representatives any facts known to me respecting said invention, and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuation, substitute, renewal and reissue applications, execute all necessary assignment papers to cause any and all of said Letters Patent to be issued to said ASSIGNEE, make all rightful oaths, and, generally do everything possible to aid said ASSIGNEE, its (his) successors and assigns, to obtain and enforce proper protection for said invention in the United States and its territorial possessions and in any and all foreign countries.		
I hereby acknowledge that any willful false statement made in this declaration is punishable under 18 U.S.C. 1001 by fine or imprisonment of not more than five (5) years, or both.		
LEGAL NAME	9 DENYOR: Brian J. CARROLL	
Signature:	Date: 28 January, 2015	
ON BEHALF OF	ASSIGNEE: <u>KINDEX PHARMACEUTICALS, INC.</u>	
Signature: <u>\</u>	1 Gração - Date: 25 May, 2016	

73912-8003-US01/LEGAE124818178-1 1/21/15

> PATENT REEL: 039293 FRAME: 0505

Title of	TETRAHYDRO-ISOHUMULONE DERIVATIVES, METHODS OF MAKING AND		
Invention			
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and any and all fo the assignce of m ASSIGNEE, its ()	orize and request the Patent Office Officials in the United States and its territorial possessions reign countries to issue any and all of said Letters Patent, when granted, to said ASSIGNEE as y entire right, title and interest in and to the same, for the sole use and behoof of said his) successors and assigns, to the full end of the term for which said Letters Patent may be not entirely as the same would have been held by me had this Assignment and sale not been		
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	owledge that any willful false statement made in this declaration is punishable under 18 U.S.C. aprisonment of not more than five (5) years, or both.		
LEGAL NAME	OF INVENTOR: Clinton J. DAHLBERG		
Signature:	Date: 2/9/15		
ON BEHALF O	F ASSIGNEE: <u>KINDEX PHARMACEUTICALS, INC.</u>		
Signature: By:	Date:		

Title of Invention	TETRAHYDRO-ISOHUMULONE DERIVATIVES, METHODS OF MAKING AND USING		
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place of business a and interest in and	WHEREAS, KINDEX PHARMACEUTICALS, INC. (hereinafter referred to as "ASSIGNEE") having a place of business at: 800 Fifth Avenue, Suite 4100, Seattle, WA 98104, desires to acquire the entire right, title and interest in and to said invention and in and to any Letters Patent that may be granted therefore in the United States and its territorial possessions and in any and all foreign countries;		
NOW, THEREFORE, for valuable consideration, the receipt and sufficiency of which I hereby acknowledge, I, by these presents, do sell, assign and transfer unto said ASSIGNEE, the full and exclusive right to the said invention in the United States and its territorial possessions and in all foreign countries (including the right to claim priority under the terms of the International Convention and other relevant International Treaties and Arrangements from the aforesaid application) and the entire right, title and interest in and to any and all Letters Patent which may be granted therefore in the United States and its territorial possessions and in any and all foreign countries and in and to any and all divisions, reissues, continuations, substitutions and renewals thereof.			
I hereby authorize and request the Patent Office Officials in the United States and its territorial possessions and any and all foreign countries to issue any and all of said Letters Patent, when granted, to said ASSIGNEE as the assignee of my entire right, title and interest in and to the same, for the sole use and behoof of said ASSIGNEE, its (his) successors and assigns, to the full end of the term for which said Letters Patent may be granted, as fully and entirely as the same would have been held by me had this Assignment and sale not been made.			
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I hereby acknowledge that any willful false statement made in this declaration is punishable under 18 U.S.C. 1001 by fine or imprisonment of not more than five (5) years, or both.			
LEGAL NAME C	F INVENTOR: <u>Clinton J. DAHLBERG</u>		
Signature:	Date:		
ON BEHALF OF	ASSIGNEE: <u>KINDEX PHARMACEUTICALS, INC.</u>		
Signature: 1/4 By: 1/2/5/2			

75912-8663 US014.EGAL324818178.1 1/21/15

Title of Invention	TETRAHYDRO-ISOHUMULONE DERIVATIVES, METHODS OF MAKING AND USING	
As the below nam	ed inventor, I hereby declare	ihat:
This declaration is directed to:	1—	oplication or PCT international application number 14/593,164
The above-identif	ed application was made or a	uthorized to be made by me.
I believe that I am	the original inventor or an or	iginal joint inventor of a claimed invention in the application.
I hereby state that claims.	I have reviewed and understa	nd the contents of the above-identified specification, including the
I acknowledge the	duty to disclose information	which is material to patentability as defined in 37 CFR 1.56.
place of business and interest in and	at: 800 Fifth Avenue, Suite 41	ALS, INC. (hereinafter referred to as "ASSIGNEE") having a .00, Seattle, WA 98104, desires to acquire the entire right, title to any Letters Patent that may be granted therefore in the United and all foreign countries;
I, by these present invention in the U claim priority und Arrangements fro Patent which may	is, do sell, assign and transfer inited States and its territorial er the terms of the Internation in the aforesaid application) a be granted therefore in the U	ration, the receipt and sufficiency of which I hereby acknowledge, unto said ASSIGNEE, the full and exclusive right to the said possessions and in all foreign countries (including the right to hal Convention and other relevant International Treaties and and the entire right, title and interest in and to any and all Letters nited States and its territorial possessions and in any and all sions, reissues, continuations, substitutions and renewals thereof.
and any and all for the assignee of m ASSIGNEE, its (I	reign countries to issue any a y entire right, title and interes his) successors and assigns, to	ffice Officials in the United States and its territorial possessions and all of said Letters Patent, when granted, to said ASSIGNEE as it in and to the same, for the sole use and behoof of said the full end of the term for which said Letters Patent may be I have been held by me had this Assignment and sale not been
respecting said in continuation, sub- and all of said Le everything possib	vention, and testify in any leg stitute, renewal and reissue ap tters Patent to be issued to sai le to aid said ASSIGNEE, its	said ASSIGNEE or its (his) representatives any facts known to me all proceeding, sign all lawful papers, execute all divisional, uplications, execute all necessary assignment papers to cause any d ASSIGNEE, make all rightful oaths, and, generally do (his) successors and assigns, to obtain and enforce proper es and its territorial possessions and in any and all foreign
	nowledge that any willful false apprisonment of not more than	e statement made in this declaration is punishable under 18 U.S.C. five (5) years, or both.
LEGAL NAME	OF INVENTOR:	Anuradha DESAI
Signature:	9.Anvadka	Date: 1/28/2015
ON BEHALF O	F ASSIGNEE:	KINDEX PHARMACEUTICALS, INC.
Signature: By:		Date:

73912-8003.US01/LEGAL124818178.1 1/21/15

Title of Invention	TETRAHYDRO-ISOHUMULONE DERIVATIVES, METHODS OF MAKING AND USING	
As the below named inventor, I hereby declare that:		
This declaration is directed to:	☐ The attached application, or ☐ United States application or PCT international application number 14/593,164 filed on January 9, 2015.	
The above-identif	ed application was made or authorized to be made by me.	
I believe that I am	the original inventor or an original joint inventor of a claimed invention in the application.	
I hereby state that claims.	I have reviewed and understand the contents of the above-identified specification, including the	
I acknowledge the	duty to disclose information which is material to patentability as defined in 37 CFR 1.56.	
place of business and interest in and	CINDEX PHARMACEUTICALS, INC. (hereinafter referred to as "ASSIGNEE") having a at: 800 Fifth Avenue, Suite 4100, Seattle, WA 98104, desires to acquire the entire right, title to said invention and in and to any Letters Patent that may be granted therefore in the United torial possessions and in any and all foreign countries;	
NOW, THEREFORE, for valuable consideration, the receipt and sufficiency of which I hereby acknowledge, I, by these presents, do sell, assign and transfer unto said ASSIGNEE, the full and exclusive right to the said invention in the United States and its territorial possessions and in all foreign countries (including the right to claim priority under the terms of the International Convention and other relevant International Treaties and Arrangements from the aforesaid application) and the entire right, title and interest in and to any and all Letters Patent which may be granted therefore in the United States and its territorial possessions and in any and all foreign countries and in and to any and all divisions, reissues, continuations, substitutions and renewals thereof.		
I hereby authorize and request the Patent Office Officials in the United States and its territorial possessions and any and all foreign countries to issue any and all of said Letters Patent, when granted, to said ASSIGNEE as the assignee of my entire right, title and interest in and to the same, for the sole use and behoof of said ASSIGNEE, its (his) successors and assigns, to the full end of the term for which said Letters Patent may be granted, as fully and entirely as the same would have been held by me had this Assignment and sale not been made.		
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I hereby acknowledge that any willful false statement made in this declaration is punishable under 18 U.S.C. 1001 by fine or imprisonment of not more than five (5) years, or both.		
LEGAL NAME (	OF INVENTOR: <u>Anuradha DESAI</u>	
Signature:	Date:	
ON BEHALF OF		
Signature: <u>\</u>	<u>ile Gragien</u> Date: <u>25 May, 2016</u>	

73912-8003/U801/LEGAL124818178/1 1/21/15

Title of	TETRAHYDRO-ISOHUMULONE DERIVATIVES, METHODS OF MAKING AND	
Invention	USING	
As the below nam	ed inventor, I hereby declare that:	
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I, by these present invention in the U- claim priority undo Arrangements from Patent which may	EFORE, for valuable consideration, the receipt and sufficiency of which I hereby acknowledge, s, do sell, assign and transfer unto said ASSIGNEE, the full and exclusive right to the said nited States and its territorial possessions and in all foreign countries (including the right to er the terms of the International Convention and other relevant International Treaties and in the aforesaid application) and the entire right, title and interest in and to any and all Letters be granted therefore in the United States and its territorial possessions and in any and all and in and to any and all divisions, reissues, continuations, substitutions and renewals thereof.	
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	owledge that any willful false statement made in this declaration is punishable under 18 U.S.C. prisonment of not more than five (5) years, or both.	
LEGAL NAME (		
Signature:	an Juban Date: 01/28/2015	
ON BEHALE OF	ASSIGNEE: <u>KINDEX PHARMACEUTICALS, INC.</u>	
Signature:	Date:	

73912-8003.US01/LEGAL124818178.1 1/21/15

By:

Title of Invention	TETRAHYDRO-ISOHUMULONE DERIVATIVES, METHODS OF MAKING AND USING		
As the below named inventor, I hereby declare that:			
This declaration is directed to:	☐ The attached application, or ☐ United States application or PCT international application number 14/593,164 filed on January 9, 2015.		
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NOW, THEREFORE, for valuable consideration, the receipt and sufficiency of which I hereby acknowledge, I, by these presents, do sell, assign and transfer unto said ASSIGNEE, the full and exclusive right to the said invention in the United States and its territorial possessions and in all foreign countries (including the right to claim priority under the terms of the International Convention and other relevant International Treaties and Arrangements from the aforesaid application) and the entire right, title and interest in and to any and all Letters Patent which may be granted therefore in the United States and its territorial possessions and in any and all foreign countries and in and to any and all divisions, reissues, continuations, substitutions and renewals thereof.			
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LEGAL NAME (	F INVENTOR: Jan URBAN		
Signature:	Date:		
ON BEHALF OF	ASSIGNEE: KINDEX PHARMACEUTICALS, INC.		
Signature: 1/2 By: New Con	<u> L. Grupon</u> - Date: 25 May, 2016		

73912-8003.U801/LEGAL124818178.1 1/21/18

Title of Invention	TETRAHYDRO-ISOHUMULONE DERIVATIVES, METHODS OF MAKING AND USING		
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I hereby acki 1001 by fine or i	nowledge that any willful false statement made in this declaration is punishable under 18 U.S.C. mprisonment of not more than five (5) years, or both.		
LEGAL NAME Signature:	of Inventor: Veera KONDA  Date: Jan 28th, 2015		
ON BEHALF O	<b>{ }</b>		
170	Date:		

73912-8003.US01/LEGAL124818178.1 1/21/15

Title of Invention	TETRAHYDRO-ISOHUMI USING	ETRAHYDRO-ISOHUMULONE DERIVATIVES, METHODS OF MAKING AND SING		
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NOW. THEREFORE, for valuable consideration, the receipt and sufficiency of which I hereby acknowledge, I, by these presents, do sell, assign and transfer unto said ASSIGNEE, the full and exclusive right to the said invention in the United States and its territorial possessions and in all foreign countries (including the right to claim priority under the terms of the International Convention and other relevant International Treaties and Arrangements from the aforesaid application) and the entire right, title and interest in and to any and all Letters Patent which may be granted therefore in the United States and its territorial possessions and in any and all foreign countries and in and to any and all divisions, reissues, continuations, substitutions and renewals thereof.				
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LEGAL NAME (	DF INVENTOR:	Veera KONDA		
Signature:		Date:		
ON BEHALF OF ASSIGNEE:		KINDEX PHARMACEUTICALS, INC.		
Signature: Vel Grayor Date: 25 May, 2014  By: Ne 10 Grayor				

73912-8003-US01/LEG/AL/24818178.1 J/21/15

PATENT REEL: 039293 FRAME: 0513