

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
 Stylesheet Version v1.2

EPAS ID: PAT4003788

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
GENENTECH, INC.	08/08/2016
RECEIVING PARTY DATA	
Name:	F. HOFFMANN-LA ROCHE AG
Street Address:	GRENZACHERSTRASSE 124
City:	BASEL
State/Country:	SWITZERLAND
Postal Code:	4070
PROPERTY NUMBERS Total: 2	
Property Type	Number
Application Number:	15200058
PCT Number:	US2016040659
CORRESPONDENCE DATA	
Fax Number:	(650)952-9881
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
Email:	jones.deena@gene.com
Correspondent Name:	GENETECH, INC.
Address Line 1:	1 DNA WAY
Address Line 2:	MS 49
Address Line 4:	SOUTH SAN FRANCISCO, CALIFORNIA 94080-9440
ATTORNEY DOCKET NUMBER:	P32925-US-2/ P32925-WO
NAME OF SUBMITTER:	STEPHANIE A. YONKER
SIGNATURE:	/Stephanie A. Yonker/
DATE SIGNED:	08/11/2016
Total Attachments: 3	
source=Assignment II_add. inventors#page1.tif	
source=Assignment II_add. inventors#page2.tif	
source=Assignment II_add. inventors#page3.tif	

ASSIGNMENT II (Small Molecule)

GENENTECH, INC. (Assignor), a corporation organized and existing under and by virtue of the laws of the State of Delaware, United States of America, having its principal place of business at South San Francisco, California 94080, United States of America, pursuant to the 19 March 2010 Ex-US Patent Administration Agreement hereby assigns to

F. HOFFMANN-LA ROCHE AG (Assignee)
Grenzacherstrasse 124
4070 Basel
Switzerland

all of its right, title and interest for all countries of the world except the United States of America and its territories and possessions, in and to the invention described and claimed in an application entitled

BICYCLIC LACTAMS AND METHODS OF USE THEREOF

for which International Application Serial No. PCT/US2016/040659 filed on 1 July 2016 (Docket No. P32925-WO) and U.S. Application Serial No. 15/200,058 filed on 1 July 2016 (Docket No. P32925-US-2) have been filed by:

Huifen CHEN
Craig STIVALA
Gulling ZHAO

as well as in any subsequent application(s) in all countries of the world, except the United States of America and its territories and possessions, which subsequent application(s) claim these application Serial Nos. 62/188,153 and 62/387,295 as priority.

In respect of the invention and all such patent applications, domestic and foreign, on said invention, an assignment of all right, title and interest therein, for the whole world in favor of Assignor was executed on August 3, 2016 by

Craig STIVALA
Gulling ZHAO

and was executed on August 5, 2016 by

Huifen CHEN

Assignor expressly assigns to Assignee the right to file corresponding patent application(s) in all countries of the world except the United States of America and to claim the priority date under any international treaty or convention of any of the above U.S. patent application(s) assigned by Assignor in this present Assignment. Assignor agrees to execute any additional assignments and writings as well as to perform any additional acts necessary to enable Assignee to enjoy the full rights of the present Assignment.

South San Francisco, California 94080,
United States of America

Date: August 8, 2016

Basel, Switzerland

Date: 09 AUG 2016

GENENTECH, INC.

By: Cara M. Coburn

Cara M. Coburn
Assistant General Counsel
Genentech, Inc.
Authorized Corporate Signatory

F. HOFFMANN-LA ROCHE AG

By: [Signature]

Susanne Amann

TO BE NOTARIZED

Antonio Natoli
Holder of procuration

Susanne Amann
Mandatory

Legalization

The undersigned Notary Public of the Canton of Basel-Stadt (Switzerland), Dr. Christoph Nertz, herewith legalizes the overleaf signature of

F. Hoffmann-La Roche AG, a stock company with legal domicile in Basel (Switzerland), rendered by

Mister Antonio Natoli, citizen of and residing in Basel (Switzerland), and

Miss Susanne Amann, German citizen, residing in Heitersheim (Germany),

both duly entitled to sign documents wherein property of the company is alienated.

Signers and signatures personally known.

B a s e l (Switzerland), this 10th (tenth) day of August 2016 (two thousand and sixteen).



BR 988/2016

CALIFORNIA ALL-PURPOSE ACKNOWLEDGMENT

CIVIL CODE § 1189

A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document.

State of California)
County of SAN MATEO)

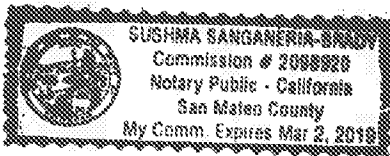
On AUGUST 8, 2016 before me, SUSHMA SANGANERIA-BRADY, NOTARY PUBLIC
Date Here Insert Name and Title of the Officer

personally appeared CARA M. COBURN
Name(s) of Signer(s)

who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.



Signature Sushma Sanganeria-Brady
Signature of Notary Public

Place Notary Seal Above

OPTIONAL

Though this section is optional, completing this information can deter alteration of the document or fraudulent reattachment of this form to an unintended document.

Description of Attached Document

Title or Type of Document: _____ Document Date: _____

Number of Pages: _____ Signer(s) Other Than Named Above: _____

Capacity(ies) Claimed by Signer(s)

Signer's Name: _____

☐ Corporate Officer — Title(s): _____

☐ Partner — ☐ Limited ☐ General

☐ Individual ☐ Attorney in Fact

☐ Trustee ☐ Guardian or Conservator

☐ Other: _____

Signer is Representing: _____

Signer's Name: _____

☐ Corporate Officer — Title(s): _____

☐ Partner — ☐ Limited ☐ General

☐ Individual ☐ Attorney in Fact

☐ Trustee ☐ Guardian or Conservator

☐ Other: _____

Signer is Representing: _____