PATENT ASSIGNMENT COVER SHEET

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| SUBMISSION TYPE: | NEW ASSIGNMENT |
|-----------------------|----------------|
| NATURE OF CONVEYANCE: | ASSIGNMENT |

CONVEYING PARTY DATA

| Name | Execution Date |
|------------------------|----------------|
| KRISTEN NICOLE CICOTTE | 03/06/2014 |

RECEIVING PARTY DATA

| Name: | SANDIA CORPORATION | |
|-----------------|------------------------|--|
| Street Address: | P.O. BOX 5800, MS-0161 | |
| City: | ALBUQUERQUE | |
| State/Country: | NEW MEXICO | |
| Postal Code: | 87185 | |

PROPERTY NUMBERS Total: 1

| Property Type | Number |
|---------------------|----------|
| Application Number: | 14085671 |

CORRESPONDENCE DATA

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Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent

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SANDIA NATIONAL LABORATORIES **Correspondent Name:**

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| ATTORNEY DOCKET NUMBER: | SD12525.2/S132022 | |
|-------------------------|-------------------|--|
| NAME OF SUBMITTER: | DIANA SCHANNING | |
| SIGNATURE: | /Diana Schanning/ | |
| DATE SIGNED: | 08/15/2016 | |

Total Attachments: 3

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PATENT REEL: 039437 FRAME: 0278 503960818

<u>ASSIGNMENT</u>

WHEREAS, Kirsten Nicole Cicotte, of Salem, New York (hereinafter "Inventor(s)") with co-inventors Shawn M. Dirk of Albuquerque, New Mexico, Stephen Buerger, of Albuquerque, New Mexico, Elizabeth L. Dirk of Albuquerque, New Mexico, Greg Reece of Sugar Land, Texas, and Patrick Lin of Bellaire, Texas has(have) made an invention relating to FABRICATION OF NEURAL INTERFACES USING 3D PROJECTION MICRO-STEREOLITHOGRAPHY, in the course of the work authorized by Contract DE-AC04-94AL85000 between SANDIA CORPORATION and the UNITED STATES DEPARTMENT OF ENERGY, said invention being disclosed and claimed in an application for United States patent, identified as Application No.: 14/085,671, filed on November 20, 2013; Application No.: 61/728,492, filed November 20, 2012; and Application No.: 61/753,998, filed January 18, 2013; DOE Docket No: S-132,022 duly executed by said Inventor(s); and

WHEREAS, title to the above-identified invention vests in the Government of the United States, as represented by the U.S. Department of Energy, as governed by 42 U.S.C. 5908; and

WHEREAS, the Government of the United States, as represented by the U.S. Department of Energy has granted a waiver of Government rights in the above-identified invention to Sandia Corporation; and

WHEREAS, Kirsten Nicole Cicotte, being Inventor(s) of said invention and employee of LMATA Government Services, LLC (hereinafter "LMATA Inventor(s)") at the time said invention was made, and in consideration of *pro rata* share to be taken from twenty percent (20%) of royalties obtained by Sandia Corporation in any future licensing of said invention and to be paid annually to LMATA Government Services, LLC, has agreed, among other things, to assign to Sandia Corporation, its successors and assigns, all rights to inventions, discoveries, or improvements conceived or first reduced to practice in the course of their employment; and

THEREFORE, LMATA Inventor(s) hereby sells, assigns, and transfers unto Sandia Corporation their entire right, title, and interest in and to said invention in the United States of America and all foreign countries, including, without limitation, said invention as described in the aforesaid application, and in and to any United States Letters Patent therefor, when issued together with all improvements thereon and betterments thereof, all related applications including, but not limited to, utilities, divisions, continuations, continuations-in-part, reissues and reexaminations thereof and substitutions of or for said application, and all foreign rights including the right to make application for Letters Patent for said invention in any and all foreign countries and the right to claim priority as to the filing date under the International Convention on the basis for the aforesaid application for United States Letters Patent. LMATA Inventor(s) hereby authorizes and requests the Commissioner of Patents to issue all Letters Patent issuing therefrom to Sandia Corporation, for its interest as Sandia Corporation, its successors and assigns.

LMATA Inventor(s) agrees, at the request of Sandia Corporation, to make, execute and deliver to Sandia Corporation, the Government, or other party prosecuting patent applications on

Page 1 of 3

this invention, any and all papers, documents, affidavits, applications, statements or other instruments relating to said invention, take all rightful oaths and do all acts as may reasonably be required of them in or incident to the prosecution of any or all U.S. and foreign applications on said invention or in the adjustment or settlement of any interferences or other actions or proceedings that said applications or patents thereon may encounter or in which they may be involved.

| Signed at Maine valle | . Chuc |
|---|---|
| City | State 3/6/14 |
| Kirsten Nicole Cicotte | Date |
| STATE OF Chic |)) ss: |
| COUNTY OF LEave | |
| Public, Kirsten Nicole Cicotte, to me known | e me, Vincinia Mare Pence, Notary to be the individual(s) described in and who acknowledged to me that she executed the same. |
| Notary Public | (Seal) Virginia Mae Pence Notary Public, State of Ohio My Commission Expires May 1, 2017 |

On the above date, personally appeared before me, Diana Cates, Notary Public, **Kerry Kampschmidt**, to me known to be the individual described in and who executed the foregoing instrument, and who acknowledged to me that he executed the same.

Notary Public