# 503961588 08/16/2016

# PATENT ASSIGNMENT COVER SHEET

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SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT

#### **CONVEYING PARTY DATA**

Name	Execution Date
ERICA J. ROGERS	07/19/2016
MICHAEL P. WALLACE	07/19/2016
SUMAIRA MACDONALD	07/25/2016
MICHI E. GARRISON	07/19/2016

# **RECEIVING PARTY DATA**

Name:	SILK ROAD MEDICAL, INC.
Street Address:	735 N. PASTORIA AVE
City:	SUNNYVALE
State/Country:	CALIFORNIA
Postal Code:	94085

#### **PROPERTY NUMBERS Total: 1**

Property Type	Number
Application Number:	15141060

## **CORRESPONDENCE DATA**

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ATTORNEY DOCKET NUMBER:	38287-53001US
NAME OF SUBMITTER:	FRED C HERNANDEZ
SIGNATURE:	/fch/
DATE SIGNED:	08/16/2016

## **Total Attachments: 4**

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PATENT 503961588 REEL: 039452 FRAME: 0089

#### ASSIGNMENT

We, Erica J. Rogers, Michael P. Wallace, Sumaira Macdonald, and Michi E. Garrison, the undersigned, hereinafter referred to as the ASSIGNORS, for good and valuable consideration, the receipt of which is hereby acknowledged, confirm that we have sold and assigned, and do hereby assign, sell and transfer to SILK ROAD MEDICAL, INC., with offices at 735 N. Pastoria Ave., Sunnyvale, California 94085, and to its successors, assigns and legal representatives, collectively hereinafter referred to as the ASSIGNEE: (1) our entire right, title and interest for the United States and in all countries, in and to any and all inventions, discoveries and applications which are disclosed in the application for United States Letters Patent entitled:

# SYSTEMS AND METHODS FOR TRANSCATHETER AORTIC VALVE TREATMENT

filed with the U.S. Patent and Trademark Office on April 28, 2016 and assigned Application No. 15/141,060, including any subsequently filed utility applications, which claim priority to Application No. 62/210,919 filed August 27, 2015 and 62/155,384 filed April 30, 2015, and including any renewals, revivals, reissues, reexaminations, extensions, continuations and divisions thereof and any substitute applications therefor; (2) the full and complete right to file patent applications in the name of the ASSIGNEE, its designee, or in my/our names as the ASSIGNEE, or its designee's election, on the aforesaid inventions, discoveries and applications in all countries of the world; (3) the entire right, title and interest in and to any Letters Patent which may issue thereon in the United States or in any country, and any renewals, revivals, reissues, reexaminations and extensions thereof, and any patents of confirmation, registration and importation of the same; and (4) the entire right, title and interest in all Convention and Treaty Rights of all kinds thereon, including without limitation all rights of priority in any country of the world, in and to the above inventions, discoveries and applications.

We hereby authorize and request the competent authorities to grant and to issue any and all such Letters Patent in the United States and throughout the world to the ASSIGNEE of the entire right, title and interest therein, as fully and entirely as the same would have been held and enjoyed by us had this assignment, sale and transfer not been made.

We agree, at any time, upon the request of the ASSIGNEE, to execute and to deliver to the ASSIGNEE any additional applications for patents for said inventions and discoveries, or any part or parts thereof, and any applications for patents of confirmation, registration and importation based on any Letters Patent issuing on said inventions, discoveries, or applications and divisions, continuations, renewals, revivals, reissues, reexaminations and extensions thereof.

We further agree at any time to execute and to deliver upon request of the ASSIGNEE such additional documents, if any, as are necessary or desirable to secure patent protection on said inventions, discoveries and applications throughout all countries of the world, and otherwise to do the necessary to give full effect to and to perfect the rights of the ASSIGNEE under this Assignment, including the execution, delivery and procurement of any and all further documents evidencing this assignment, transfer and sale as may be necessary or desirable.

PATENT REEL: 039452 FRAME: 0090

First Inventor : Erica J. Rogers et al.

Application No. : 15/141,060 Filed

: April 28, 2016

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We hereby covenant that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment.

We further covenant that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNORS and will testify as to the same in any interference, litigation or proceeding related thereto and will promptly execute and deliver to ASSIGNEE or its legal representatives any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof.

Date: 7/19/16	Signature of: Erica J. Rogers
	Signature of. Effica of Rogers
in witness whereof, i, <u>Bayba</u>	(Printed Name of Witness)
that I was personally present and did see th	e above named person duly sign and execute the
assignment.	
Saban Beuken	4
(Signature of Witness)	
Date: 7/19/16	

**PATENT** REEL: 039452 FRAME: 0091

First Inventor : Erica J. Rogers et al.
Application No. : 15/141,060
Filed : April 28, 2016

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Date: 7/19/16	mand	
, ,	Signature of: Michael P. Wallac	2 <b>e</b>
IN WITNESS WHEREOF, I,	(Printed Name of Witness)	_, hereby declare
that I was personally present and did see the assignment.		
SA		
(Signature of Witness)		
Date: 1/19/16		
· •		
Date: 25 TH JULY 2016		edon 1d
Date: (x 3 30/4 2016	Signature of: Sumaira Macdon	ald
A A .		
IN WITNESS WHEREOF, I, $\mathcal{M}^{\cdot}$	(Printed Name of Witness)	_, hereby declare
that I was personally present and did see the assignment.	above named person duly sign and	execute the
MR (2)		
(Signature of Witness)		
Date: 7/25/Hb.		

First Inventor : Erica J. Rogers et al. Application No. : 15/141,060

Filed : April 28, 2016

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Date: 7-19-16	Min	
	Signature of: Michi E. Gardiso m	
IN WITNESS WHEREOF, I, KenT	(Printed Name of Witness)	, hereby declare
that I was personally present and did see the assignment.	above named person duly	sign and execute the
(Signature of Witness)		
Date: 07/19/2016		

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**PATENT** REEL: 039452 FRAME: 0093