

## PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1  
Stylesheet Version v1.2

EPAS ID: PAT4008529

<b>SUBMISSION TYPE:</b>	NEW ASSIGNMENT
<b>NATURE OF CONVEYANCE:</b>	ASSIGNMENT
<b>CONVEYING PARTY DATA</b>	
<b>Name</b>	<b>Execution Date</b>
MICHAEL FINN	07/05/2016
CLINT BOYD	06/07/2016
SCOTT JONES	06/01/2016
TODD WALLENSTEIN	06/07/2016
<b>RECEIVING PARTY DATA</b>	
<b>Name:</b>	K2M, INC.
<b>Street Address:</b>	600 HOPE PARKWAY SE
<b>City:</b>	LEESBURG
<b>State/Country:</b>	VIRGINIA
<b>Postal Code:</b>	20175
<b>PROPERTY NUMBERS Total: 1</b>	
<b>Property Type</b>	<b>Number</b>
<b>Application Number:</b>	14936911
<b>CORRESPONDENCE DATA</b>	
<b>Fax Number:</b>	
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
<b>Email:</b>	docket@cdfslaw.com, afagan@cdfslaw.com
<b>Correspondent Name:</b>	CARTER, DELUCA, FARRELL & SCHMIDT, LLP
<b>Address Line 1:</b>	445 BROAD HOLLOW ROAD
<b>Address Line 2:</b>	SUITE 420
<b>Address Line 4:</b>	MELVILLE, NEW YORK 11747
<b>ATTORNEY DOCKET NUMBER:</b>	1449-314CIP
<b>NAME OF SUBMITTER:</b>	DANA A. BRUSSEL
<b>SIGNATURE:</b>	/Dana A. Brussel/
<b>DATE SIGNED:</b>	08/16/2016
<b>Total Attachments: 5</b>	
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**PATENT**

For:  U.S. and/or  Foreign Rights  
For:  U.S. Application or [  ] U.S. Patent  
By :  Inventor(s) or [  ] Present Owner

**ASSIGNMENT OF INVENTION**

In consideration of the payment by ASSIGNEE to ASSIGNORS of the sum of One Dollar (\$1.00), the receipt of which is hereby acknowledged, and for other good and valuable consideration,

**ASSIGNORS:** Chester E. Sutterlin, III  
Michael Finn  
(Inventor(s) or Clint Boyd  
person(s) or entity(es) Scott Jones  
who own the invention) Todd Wallenstein  
Jacob M. Buchowski

(If assignment is by person or entity to whom invention was previously assigned and this was recorded in PTO add the following)

Recorded on  
Reel:  
Frame:

hereby sells, assigns and transfers to

**ASSIGNEE:**

K2M, Inc.  
(Type or print name of ASSIGNEE)  
600 Hope Parkway SE  
Address  
Leesburg, VA 20176  
United States

Nationality

and the successors, assigns and legal representatives of the ASSIGNEE

(complete one of the following)

- the entire right, title and interest  
 an undivided \_\_\_\_\_ percent ( \_\_\_\_\_ %) interest for the United States and its territorial possessions in and to any and all improvements which are disclosed in the invention entitled:

SPINAL FIXATION DEVICE

(Title of invention)

and which is found in

- (a)  U.S. patent application executed on even date herewith.  
(b)  U.S. patent application executed on \_\_\_\_\_.  
(c)  U.S. application Serial No. 14/936,911 filed on November 10, 2015.  
(d)  U.S. provisional application No. 62/078,666 filed on November 12, 2014.  
(e)  U.S. Patent No. \_\_\_\_\_ issued \_\_\_\_\_.  
(f)  PCT application No. \_\_\_\_\_ filed on \_\_\_\_\_.  
 A change of address to which correspondence is to be sent regarding patent maintenance fees is being sent separately.  
(g)  and any legal equivalent thereof in a foreign country, including the right to claim priority and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, continuation-in-part, divisional, renewal, or substitute thereof, and as to letters patent any reissue or re-examination thereof

ASSIGNORS hereby covenant that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment;

ASSIGNORS further covenant that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNORS and will testify as to the same in any interference, litigation or proceeding related thereto and will promptly execute and deliver to ASSIGNEE or its legal representatives any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said

**SPINAL FIXATION DEVICE**

(Title of invention)

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IN WITNESS WHEREOF, I/We have hereunto set hand and seal this \_\_\_\_\_ (Date of signing).

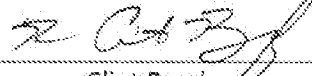
**WARNING:** Date of signing must be the **same** as the date of execution of the application if item (a) was checked above.

\_\_\_\_\_  
Chester E. Sutterlin, III

\_\_\_\_\_  
Dated

\_\_\_\_\_  
Michael Finn

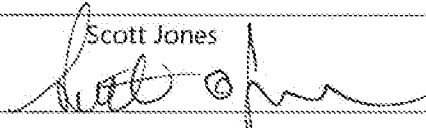
\_\_\_\_\_  
Dated

  
\_\_\_\_\_  
Clint Boyd

6/7/2016  
\_\_\_\_\_  
Dated

\_\_\_\_\_  
Todd Wallenstein

\_\_\_\_\_  
Dated

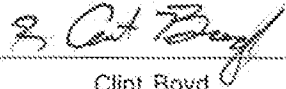
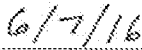
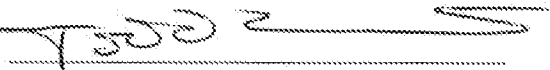
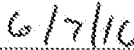
  
\_\_\_\_\_  
Scott Jones

\_\_\_\_\_  
Dated  
6/1/2016

application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof.

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WARNING: Date of signing must be the same as the date of execution of the application if item (a) was checked above.

..... Chester E. Sutterlin, III	..... Dated
..... Michael Finn	..... Dated
 ..... Clint Boyd	 ..... Dated
 ..... Todd Wallenstein	 ..... Dated
..... Scott Jones	..... Dated
..... Jacob M. Buchowski	..... Dated

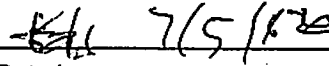
NOTE: No witnessing, notarization or legalization is necessary. If the assignment is notarized or legalized then it will only be prima facie evidence of execution 35 USC 261. Use next page if notarization is desired.

[ ] Notarization or Legalization Page Added.

IN WITNESS WHEREOF, I/We have hereunto set hand and seal this \_\_\_\_\_ (Date of signing).

WARNING: Date of signing must be the same as the date of execution of the application if item (a) was checked above.

  
\_\_\_\_\_  
Michael Finn

  
\_\_\_\_\_  
Dated

\_\_\_\_\_  
Clint Boyd

\_\_\_\_\_  
Dated

\_\_\_\_\_  
Scott Jones

\_\_\_\_\_  
Dated

\_\_\_\_\_  
Todd Wallenstein

\_\_\_\_\_  
Dated

If ASSIGNOR is a legal entity complete the following information

\_\_\_\_\_  
Type or print the name of the above person authorized to sign on behalf of ASSIGNOR

\_\_\_\_\_  
Title

NOTE: No witnessing, notarization or legalization is necessary. If the assignment is notarized or legalized then it will only be prima facie evidence of execution 35 USC 261. Use next page if notarization is desired.

[ ] Notarization or Legalization Page Added.