# PATENT ASSIGNMENT COVER SHEET

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SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT

#### **CONVEYING PARTY DATA**

Name	Execution Date
OTSUKA PHARMACEUTICAL DEVELOPMENT & COMMERCIALIZATION, INC.	06/09/2016

## **RECEIVING PARTY DATA**

Name:	OTSUKA PHARMACEUTICAL CO., LTD.
Street Address:	2-9 KANDA-TSUKASAMACHI
City:	CHIYODA-KU, TOKYO
State/Country:	JAPAN
Postal Code:	101-8535

## **PROPERTY NUMBERS Total: 2**

Property Type	Number
Application Number:	15219866
PCT Number:	US1513491

#### **CORRESPONDENCE DATA**

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ATTORNEY DOCKET NUMBER:	OTSU-005/01US 310697-2117
NAME OF SUBMITTER:	DAVID W. HOPKINS
SIGNATURE:	/David W. Hopkins/
DATE SIGNED:	08/16/2016

## **Total Attachments: 4**

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PATENT 503962358 REEL: 039455 FRAME: 0968

# ASSIGNMENT OF PATENT RIGHTS (Company to Company)

Otsuka Pharmaceutical Development & Commercialization, Inc., a corporation duly organized under and pursuant to the laws of Delaware, and having its principal place of business at 2440 Research Blvd, Rockville, MD 20850 (herein referred to as "Assignor") is a lawful owner of an undivided interest in the entire right, title and interest in any Letters Patent(s) ("said patent(s)") and any Patent application(s) ("said application(s)") set forth below, as well as any invention(s) ("said invention(s)") disclosed in said application(s) and said patent(s).

Application No.	Filing Date	Country	Title
PCT/US2015/013491	January 29, 2015	PCT	DEVICE-BASED RISK MANAGEMENT OF A THERAPEUTIC
2015-019226	February 3, 2015	Japan	DEVICE-BASED RISK MANAGEMENT OF A THERAPEUTIC

WHEREAS, Otsuka Pharmaceutical Co., Ltd., a corporation duly organized under and pursuant to the laws of Japan, and having its principal place of business at 2-9 Kanda-Tsukasamachi, Chiyoda-ku, Tokyo 101-8535, Japan (herein referred to as "Assignee"), is desirous of acquiring the entire right, title, and interest in and to said invention(s), said application(s), and said patent(s), the right to file applications on said invention(s), the entire right, title and interest in and to any applications for Letters Patent of the United States or other countries claiming priority to said application(s), the right to recover damages, including provisional or other royalties, for prior infringements of said application(s) and said patent(s), and the entire right, title, and interest in and to any Letters Patent or Patents, United States or foreign, to be obtained for said invention(s) and said application(s);

NOW, THEREFORE, in consideration of good and sufficient consideration, the receipt of which is hereby acknowledged, the Assignor has sold, assigned, transferred, and set over, and by these presents does sell, assign, transfer, and set over, unto the Assignee, its successors, legal representatives, and assigns the entirety of its undivided interest in the entire right, title, and interest in and to said invention(s), said application(s), and said patent(s), the right to file applications on said invention(s), the entirety of its undivided interest in the entire right, title and interest in and to any applications for Letters Patent of the United States or other countries claiming priority to at least one of said application(s), including divisions, continuations, and continuations-in-part of said application(s), the right to recover damages, including provisional or other royalties, for prior infringements of said application(s) and said patent(s), the entirety of its undivided interest in the entire right, title and interest in and to any and all Letters Patent or Patents, United States or foreign, to be obtained for said invention(s) and said application(s), the entirety of its undivided interest in the entire right, title and interest in and to any and all reissues and extensions of said patent(s), and all rights under the Hague Convention, the Paris Convention for the Protection of Industrial Property, and under the Patent Cooperation Treaty, the same to be held and enjoyed by the Assignee, for its own use and behalf and the use and behalf of its successors, legal representatives, and assigns, to the full end of the term or terms for which

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Letters Patent or Patents may be granted as fully and entirely as the same would have been held and enjoyed by the Assignor had this sale and assignment not been made;

AND for the same consideration, the Assignor hereby covenants and agrees to and with the Assignee, its successors, legal representatives, and assigns, that, at the time of execution and delivery of these presents, the Assignor is a lawful owner of an undivided interest in the entire right, title, and interest in and to said invention(s), said application(s), and said patent(s), and that the same are unencumbered, and that the Assignor has good and full right and lawful authority to sell and convey the same in the manner herein set forth;

AND for the same consideration, the Assignor hereby covenants and agrees to and with the Assignee, its successors, legal representatives, and assigns that the Assignor will, whenever counsel of the Assignee, or the counsel of its successors, legal representatives, and assigns, shall advise that any proceeding in connection with said invention(s), said application(s), said patent(s), any application claiming priority to said application(s), any reissue or extension of said patent(s), any post grant proceedings associated with said patent(s) (e.g., opposition proceedings, post grant reviews, *Inter partes* reviews, supplemental examinations, etc.) and any United States or foreign Letters Patent or Patents for said invention(s) or said application(s), including interference and derivation proceedings, is lawful and desirable, sign all papers and documents, take all lawful oaths, and do all acts necessary or required to be done for the procurement, maintenance, enforcement and defense of Letters Patent or Patents for said invention(s), without charge to the Assignee, its successors, legal representatives, and assigns, but at the cost and expense of the Assignee, its successors, legal representatives, and assigns:

AND the Assignor hereby requests the Commissioner of Patents to issue any and all aforementioned patent(s) of the United States to the Assignee, as the Assignee of said invention(s) and the Letters Patent to be issued thereon for the sole use and behalf of the Assignee, its successors, legal representatives, and assigns.

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Nan	me: Steven J. Weisel
	re: Corporate Secretary  mpany: Otsuka Pharmaceutical Development &  Commercialization, Inc.
instrument and acknowledged to me the	, before me, Kwionna Townsend, who proved to me on the basis on(s) whose name(s) is/are subscribed to the within that he/she/they executed the same in his/her/their er/their signature(s) on the instrument the person(s), or (s) acted, executed the instrument.
WITNESS my hand,  HUWMA JOURSAL	·

For Assignor:

Signature of Witness

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For Assignee:	
Date: June 16, 2016	By:  Name: Seiji Ejima  Title: Director  Company: Otsuka Pharmaceutical Co., Ltd.
instrument and acknowledged to n	fore me, Shinya Hivata, personally was, who proved to me on the basis of rson(s) whose name(s) is/are subscribed to the within the that he/she/they executed the same in his/her/their is/her/their signature(s) on the instrument the person(s), or rson(s) acted, executed the instrument.
WITNESS my hand.  Suigo Hiata	
Signature of Witness	

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PATENT REEL: 039455 FRAME: 0972

**RECORDED: 08/16/2016**