## 503962482 08/16/2016

## PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2 EPAS ID: PAT4009137

SUBMISSION TYPE:		NEW ASSIGNMENT	
NATURE OF CONVEYANCE:		ASSIGNMENT	
CONVEYING PARTY D	ΑΤΑ		
		Name	Execution Date
ALEJANDRA ELENA ARBETMAN			07/06/2004
PETER C. COLOSI			07/08/2004
MICHAEL A LOCHRIE			07/07/2004
RICHARD T SUROSKY			07/06/2004
RECEIVING PARTY DA	TA		
Name:	AVIGEN, INC.		
Street Address:	1301 HARBOR BAY PARKWAY		
City:	ALAMEDA		
State/Country:	CALIFORNIA		
Postal Code:	94502		
PROPERTY NUMBERS	Total: 1	Number	
Property Type			
Application Number:		1825798	
Application Number:			
Application Number: CORRESPONDENCE D Fax Number: <i>Correspondence will b</i>	OATA ( e sent to	1825798	
Application Number: CORRESPONDENCE D Fax Number: <i>Correspondence will be using a fax number, if p</i> Phone:	OATA ( e sent to provided	650)493-3440 <i>the e-mail address first; if that is uns</i> <i>if that is unsuccessful, it will be sen</i> 550 493-3400	
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## ASSIGNMENT

THIS ASSIGNMENT, by Alejandra Elena Arbetman, Peter C. Colosi, Michael A. Lochrie and Richard T. Surosky (hereinafter referred to as the assignors), residing at San Francisco, CA, Alameda, CA, Hayward, CA and Oakland, CA respectively, witnesseth:

WHEREAS, the said assignors have invented certain new and useful improvements in AAV VIRIONS WITH DECREASED IMMUNOREACTIVITY AND USES THEREOF set forth in an application for Letters Patent of the United States, bearing Serial No. 10/873,632 and filed on June 21, 2004; and

WHEREAS, Avigen, Inc., a corporation duly organized under and pursuant to the laws of Delaware, and having its principal place of business at 1301 Harbor Bay Parkway, Alameda, CA 94502-6541 (hereinafter referred to as the assignee) is desirous of acquiring the entire right, title and interest in and to said inventions and said application for Letters Patent of the United States, and in and to any Letters Patent or Patents, United States or foreign, to be obtained therefor and thereon:

NOW THEREFORE, in consideration of One Dollar (\$1.00) and other good and sufficient considerations, the receipt of which is hereby acknowledged, the said assignors have sold, assigned, transferred and set over, and by these presents do sell, assign, transfer and set over, unto the assignee, its successors, legal representatives and assigns, the entire right, title and interest in and to the abovementioned inventions, application for Letters Patent, and any and all Letters Patent or Patents in the United States of America and all foreign countries which may be granted therefor and thereon, and in and to any and all divisions, continuations, and continuations-in-part of said application, or reissues or extensions of said Letters Patent or Patents, and all rights under the International Union for the Protection of Industrial Property, the same to be held and enjoyed by the said assignee, for its own use and behoof and the use and behoof of its successors, legal representatives and assigns, to the full end of the term or terms for which Letters Patent or Patents may be granted, as fully and entirely as the same would have been held and enjoyed by the assignors, had this sale and assignment not been made.

AND for the same consideration, the said assignors hereby covenant and agree to and with the said assignce, its successors, legal representatives and assigns, that, at the time of execution and delivery of these presents, the said assignors are the sole and lawful owners of the entire right, title and interest in and to the said inventions and the application for Letters Patent above-mentioned, and that the same are

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## PATENT REEL: 039456 FRAME: 0513

unencumbered and that the said assignors have good and full right and lawful authority to sell and convey the same in the manner herein set forth.

AND for the same consideration, the said assignors hereby covenant and agree to and with the said assignce, its successors, legal representatives and assigns, that the said assignors will, whenever counsel of the said assignee, or the counsel of its successors, legal representatives and assigns, shall advise that any proceeding in connection with said inventions, or said application for Letters Patent, or any proceedings, is lawful and desirable, or that any division, continuation or continuation-in-part of any application for Letters Patent or any reissue or extension of any Letters Patent, to be obtained thereon, is lawful and desirable, sign all papers and documents, take all lawful oaths, and do all acts necessary or required to be done for the procurement, maintenance, enforcement and defense of Letters Patent for said inventions, without charge to said assignee, its successors, legal representatives and assigns, but at the cost and expense of the said assignee, its successors, legal representatives and assigns.

AND the said assignors hereby request the Commissioner of Patents to issue said Letters Patent of the United States to the said assignee as the assignee of said inventions and the Letters Patent to be issued thereon for the sole use and behoof of the said assignee, its successors, legal representatives and assigns.

State of California } ss. County of Alameda

On  $\underbrace{fily(6, 2004)}_{\text{here}}$  before me, <u>Danna R. Kramer</u>, personally appeared <u>Alejandra Elena</u> <u>Arbetman</u> personally known to me (or proved to me on the basis of satisfactory evidence) to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.

10 and R. Khomer ) NOTARY SIGNATURE

My commission expires: May 19, 2006

Date  $\frac{\sqrt{6,2004}}{3}$  Name of Inventor

DANNA R. KRAMER Comm. # 1357224 NOTARY PUBLIC - CALIFORNIA Q Alameda County My Comm. Expires Hay 19, 2006 NOTARY SEAL Alejandra Elena Arbetman

State of California County of Alameda } ss.

On  $\underline{Jaly(g, 2004)}$  before me, <u>Danna R. Kramer</u>, personally appeared <u>Peter C. Colosi</u> personally known to me (or proved to me on the basis of satisfactory evidence) to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.

Danna to Kramer NOTARY SIGNATURE

My commission expires: May 19, 200 p

Date  $\frac{7804}{}$  Name of Inventor

DANNA R. KRAMER Comm. # 1357224 Ø NOTARY PUBLIC - CALIFORNIA Alameda County My Comm. Expires May 19, 2006 1 NOTARY SEAL

Peter C. Colosi

State of California County of Alameda } ss.

On  $\underbrace{\int a/\sqrt{-7}, a^2 e^{-it}}_{\text{personally known to me (or proved to me on the basis of satisfactory evidence) to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.$ 

WITNESS my hand and official seal.

Hanna to Whamer

NOTARY SIGNATURE

My commission expires: May 19 2006

Alameda County My Comm. Expires May 19, 2006 NOTARY SEAL

DANNA R. KRAMER Comm. # 1357224

OTARY PUBLIC - CALIFORNIA

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Date <u>July 7, 2004</u> Name of Inventor

Machael O. Fortwie

Michael A. Lochri

State of California County of Alameda } ss.

On Jaly 6, 2004 before me, <u>Danna R. Kramer</u>, personally appeared <u>Richard T. Surosky</u> personally known to me (or proved to me on the basis of satisfactory evidence) to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

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WITNESS my hand and official seal.

Banna D'Kramer NOTARY SIGNATURE

My commission expires: May 19, 3006

Date  $6 \overline{JU} + 3004$  Name of Inventor

DANNA R. KRAMER Comm. # 1357224 NOTARY PUBLIC - CALIFORNIA Alameda County Comm Expires May 19, 2005

NOTARY SEAL

Richard T. Surosky