

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
 Stylesheet Version v1.2

EPAS ID: PAT4021509

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
JEFFREY FRIEDMAN	07/02/2014
SARAH STANLEY	07/02/2014
RECEIVING PARTY DATA	
Name:	THE ROCKEFELLER UNIVERSITY
Street Address:	1230 YORK AVENUE
City:	NEW YORK
State/Country:	NEW YORK
Postal Code:	10065
PROPERTY NUMBERS Total: 1	
Property Type	Number
Application Number:	15168950
CORRESPONDENCE DATA	
Fax Number:	(516)822-3582
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
Phone:	516-822-3550
Email:	sdavies@hbiplaw.com
Correspondent Name:	HOFFMANN & BARON LLP
Address Line 1:	6900 JERICO TURNPIKE
Address Line 4:	SYOSSET, NEW YORK 11791
ATTORNEY DOCKET NUMBER:	1119-38 PCT/US/CON
NAME OF SUBMITTER:	PERRY Y. WOO
SIGNATURE:	/perry y. woo/
DATE SIGNED:	08/24/2016
Total Attachments: 3	
source=Assignment_1119_38_PCTUSCON#page1.tif	
source=Assignment_1119_38_PCTUSCON#page2.tif	
source=Assignment_1119_38_PCTUSCON#page3.tif	

Attorney's Docket No.: 1119-38 PCT/US/CON

PATENT

For: ☒ U.S. and/or ☒ Foreign Rights
For: ☒ U.S. Application;
☒ U.S. Provisional Application;
☐ U.S. Patent; or
☒ PCT Application
By: ☒ Inventors or ☐ Present Owners

ASSIGNMENT OF INVENTION

In consideration of the payment by ASSIGNEE to ASSIGNOR of the sum of One Dollar (\$1.00), the receipt of which is hereby acknowledged, and for other good and valuable consideration,

ASSIGNORS: (inventor(s) or person(s) or entity(ies) who own the invention)

Jeffrey Friedman
Name

Sarah Stanley
Name

1230 York Avenue
Address

1230 York Avenue
Address

New York, NY 10065

New York, NY 10065

US
Nationality

UK
Nationality

(If assignment is by person or entity to whom invention was previously assigned and this was recorded in PTO add the following)

Recorded on _____

Reel _____
Frame _____

hereby sells, assigns and transfers to

ASSIGNEE:

The Rockefeller University
(Type or print name of ASSIGNEE)

1230 York Avenue
Address

New York, New York 10065

US

Assignment of Invention -- Page 1 of 3

Nationality

and the successors, assigns and legal representatives of the ASSIGNEE,

(complete one of the following)

- ☒ the entire right, title and interest
☐ an undivided _____ percent (_____%) interest

for the United States and its territorial possessions

- ☒ and in all foreign countries, including all rights to claim priority,

in and to any and all improvements which are disclosed in the invention entitled:

COMPOSITIONS AND METHODS TO MODULATE CELL ACTIVITY

and which is found in

(check and complete (a), (b), (c), (d), (e), (f), or (g))

- (a) ☐ U.S. patent application executed on even date herewith
(b) ☒ U.S. Continuation application No. 15/168,950 filed on May 31, 2016.
(c) ☒ U.S. provisional application No. 61/526,985 filed on August 24, 2011.
☐ To comply with 37 CFR 3.21 for recordal of this assignment, I, an ASSIGNOR signing below, hereby authorize and request my attorney to insert below the filing date and application number when they become known
(d) ☒ U.S. application Serial No. 14/239,427 filed on February 18, 2014
(e) ☒ International application No. PCT/US2012/052391, filed on August 24, 2012.
(f) ☐ U.S. Patent No. _____ issued _____
☐ A change of address to which correspondence is to be sent regarding patent maintenance fees is being sent separately.
(g) ☒ and any legal equivalent thereof in a foreign country, including the right to claim priority

and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, renewal, or substitute thereof, and as to Letters Patent any re-issue or re-examination thereof.

ASSIGNOR hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment.

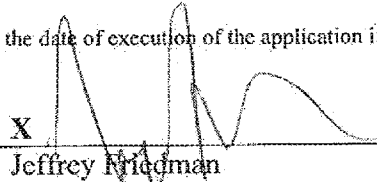
ASSIGNOR further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation or proceeding related thereto and will promptly execute and deliver to ASSIGNEE or its legal representatives any and all papers, instruments or affidavits

required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof.

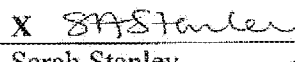
IN WITNESS WHEREOF, I/We have hereunto set hand and seal this

WARNING: Date of signing must be the same as the date of execution of the application if item (a) was checked above.

Date: X 7/2/14
Date of signing

X 
Jeffrey Friedman

Date: X 7/2/14
Date of signing

X 
Sarah Stanley

NOTE: No witnessing, notarization or legalization is necessary. If the assignment is notarized or legalized then it will only be prima facie evidence of execution 35 USC 261. Use next page if notarization is desired.

☐ Notarization or Legalization Page Added.