

PATENT ASSIGNMENT COVER SHEET


Electronic Version v1.1
 Stylesheet Version v1.2

EPAS ID: PAT4026720

SUBMISSION TYPE:	NEW ASSIGNMENT	
NATURE OF CONVEYANCE:	ASSIGNMENT	
CONVEYING PARTY DATA		
	Name	Execution Date
	MICHAEL P. ALLEN	06/23/2014
RECEIVING PARTY DATA		
Name:	EMBOLX, INC.	
Street Address:	5760 ARBORETUM DRIVE	
City:	LOS ALTOS	
State/Country:	CALIFORNIA	
Postal Code:	94024	
PROPERTY NUMBERS Total: 1		
Property Type	Number	
Application Number:	14954699	
CORRESPONDENCE DATA		
Fax Number:	(650)212-7562	
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>		
Phone:	(650) 212-1700	
Email:	info@shayglenn.com,mae@shayglenn.com	
Correspondent Name:	DOUGLAS C. LIMBACH	
Address Line 1:	SHAY GLENN LLP	
Address Line 2:	2755 CAMPUS DRIVE, SUITE 210	
Address Line 4:	SAN MATEO, CALIFORNIA 94403	
ATTORNEY DOCKET NUMBER:	13099-700.400	
NAME OF SUBMITTER:	MAE PATTISON	
SIGNATURE:	/DOUGLAS C. LIMBACH/	
DATE SIGNED:	08/26/2016	
Total Attachments: 6		
source=13099-700.400 Assignment#page1.tif		
source=13099-700.400 Assignment#page2.tif		
source=13099-700.400 Assignment#page3.tif		
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source=13099-700.400 Assignment#page5.tif		

RECORDATION FORM COVER SHEET
PATENTS ONLY

TO THE HONORABLE DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE. PLEASE RECORD THE ATTACHED ORIGINAL DOCUMENTS OR COPY THEREOF.

1. Name of conveying party(ies): (1) Michael P. ALLEN (06/23/2014) Additional name(s) of conveying party(ies) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		2. Name and address of receiving party(ies): Name: EMBOLX, INC. 5760 Arboretum Drive Los Altos, CA 94024	
3. Nature of Conveyance: <input checked="" type="checkbox"/> Assignment <input type="checkbox"/> Merger <input type="checkbox"/> Security Agreement <input type="checkbox"/> Change of Name <input type="checkbox"/> Other		Name and address of receiving party(ies): Name: Street Address: City: State: Zip: Country: Additional name(s) & address(es) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
4. Application number(s) or patent number(s): If this document is being filed together with a new application, the execution date of the application is:			
A. Patent Application No.(s) – 14/954,699 Title: DEVICE AND METHODS FOR TRANSVASCULAR TUMOR EMBOLIZATION WITH INTEGRATED FLOW REGULATION		B. Patent No.(s)	
Additional numbers attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
5. Name and address of party to whom correspondence concerning document should be mailed: DOUGLAS C. LIMBACH Shay Glenn LLP 2755 Campus Drive, Suite 210 San Mateo, CA 94403		6. Total number of applications and patents involved: <u>1</u>	
		7. Total fee (37 CFR 3.41): \$0.00	
DO NOT USE THIS SPACE			
8. Statement and signature. <i>To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.</i> Douglas C. Limbach, Reg. No. 35,249  August 22, 2016 Name of Person Signing Signature Date Total number of pages including cover sheet, attachments, and documents: 6			

This Assignment of Patent Application is between:

Michael P. ALLEN of Los Altos, CA;
Robert A. BROMMER of Fremont, CA;
Trevor M. HANNON of Hayward, CA and
Robert J.J. DE NEVE of Cupertino, CA,

(hereinafter referred to as "Inventor") and

EMBOLX, INC., a corporation of the State of California, having a place of business at 5760 Arboretum Drive, Los Altos, CA 94024, (hereinafter referred to as "Assignee").

WHEREAS Inventor has invented certain new and useful improvements in:

**"DEVICE AND METHODS FOR TRANSVASCULAR TUMOR EMBOLIZATION WITH
INTEGRATED FLOW REGULATION"**

for which an application for a United States Patent was filed on **May 8, 2014** and assigned Application No. **14/273,445**.

WHEREAS, Assignee is desirous of acquiring the entire right, title and interest of each inventor in and to said application and the invention disclosed therein, and in and to all embodiments of the invention, heretofore conceived, made or discovered by said Inventor (all collectively hereinafter termed "said invention"), and in and to any and all patents, inventor's certificates and other forms of protection (hereinafter termed "patents") thereon granted in the United States and foreign countries.

NOW, THEREFORE, in consideration of good and valuable consideration acknowledged by said Inventor to have been received in full from said Assignee:

1. Said Inventor does hereby sell, assign, transfer and convey unto said Assignee the entire right, title and interest (a) in and to said application and said invention; (b) in and to all rights to apply for foreign patents on said invention pursuant to the International Convention for the Protection of Industrial Property or otherwise; (c) in and to any and all applications filed and any and all patents granted on said invention in the United States or any foreign country, including each and every application filed and each and every patent granted on any application which is a divisional, substitution, continuation, or continuation-in-part of any of said applications; and (d) in and to each and every reissue or extensions of any of said patents.

2. Said Inventor hereby covenants and agrees to cooperate with said Assignee to enable said Assignee to enjoy to the fullest extent the right, title and interest herein conveyed in the United States and foreign countries. Such cooperation by said Inventor shall include prompt production of pertinent facts and documents, giving of testimony, execution of petitions, oaths, specifications, declarations or other papers, and other assistance all to the extent deemed necessary or desirable by said Assignee (a) for perfecting in said Assignee the right, title and interest herein conveyed; (b) for prosecuting any of the said applications; (c) for filing and prosecuting substitute, divisional, continuing or additional

ASSIGNMENT OF PATENT APPLICATION**SG Docket No.: 13099-700.200**


applications covering said invention; (d) for filing and prosecuting applications for reissuance of any said patents; (e) for interference or other priority proceedings involving said invention; and (f) for legal proceedings involving said invention and any applications therefor and any patents granted thereon, including without limitation reissues and reexaminations, opposition proceedings, cancellation proceedings, priority contest, public use proceedings, infringement actions and court actions; provided, however, that the expense incurred by said Inventor in providing such cooperation shall be paid for by said Assignee.

3. The terms and covenants of this assignment shall inure to the benefit of said Assignee, its successors, assigns and other legal representatives, and shall be binding upon said Inventor, his heirs, legal representatives and assigns.

4. Said Inventor hereby warrants and represents that he has not entered and will not enter into any assignment, contract, or understanding in conflict herewith.

IN WITNESS WHEREOF, said Inventor has executed and delivered this instrument to said Assignee:

6/23/14
Date



Michael P. ALLEN

Date

Robert A. BROMMER

Date

Trevor M. HANNON

Date

Robert J. J. DE NEVE

ASSIGNMENT OF PATENT APPLICATION**SG Docket No.: 13099-700.200**

applications covering said invention; (d) for filing and prosecuting applications for reissuance of any said patents; (e) for interference or other priority proceedings involving said invention; and (f) for legal proceedings involving said invention and any applications therefor and any patents granted thereon, including without limitation reissues and reexaminations, opposition proceedings, cancellation proceedings, priority contest, public use proceedings, infringement actions and court actions; provided, however, that the expense incurred by said Inventor in providing such cooperation shall be paid for by said Assignee.

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4. Said Inventor hereby warrants and represents that he has not entered and will not enter into any assignment, contract, or understanding in conflict herewith.

IN WITNESS WHEREOF, said Inventor has executed and delivered this instrument to said Assignee:

Date

6/25/14

Date_____
Michael P. ALLEN_____
Robert A. BROMMER_____
Date_____
Trevor M. HANNON_____
Date_____
Robert J. J. DE NEVE

ASSIGNMENT OF PATENT APPLICATION**SG Docket No.: 13099-700.200**

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IN WITNESS WHEREOF, said Inventor has executed and delivered this instrument to said Assignee:

Date_____
Michael P. ALLEN_____
Date_____
Robert A. BROMMER_____
Date

6/24/2014

Trevor M. HANNON_____
Date_____
Robert J. J. DE NEVE

ASSIGNMENT OF PATENT APPLICATION**SG Docket No.: 13099-700.200**

applications covering said invention; (d) for filing and prosecuting applications for reissuance of any said patents; (e) for interference or other priority proceedings involving said invention; and (f) for legal proceedings involving said invention and any applications therefor and any patents granted thereon, including without limitation reissues and reexaminations, opposition proceedings, cancellation proceedings, priority contest, public use proceedings, infringement actions and court actions; provided, however, that the expense incurred by said Inventor in providing such cooperation shall be paid for by said Assignee.

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IN WITNESS WHEREOF, said Inventor has executed and delivered this instrument to said Assignee:

Date_____
Michael P. ALLEN_____
Date_____
Robert A. BROMMER_____
Date_____
Trevor M. HANNON_____
Date

7/2/14

Robert J. J. DE NEVE