503944860 08/03/2016

## PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2 EPAS ID: PAT3991516

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT

### **CONVEYING PARTY DATA**

Name	Execution Date
NOVAGENESIS FOUNDATION	07/28/2016

## **RECEIVING PARTY DATA**

Name:	GENESIS TECHNOLOGIES LIMITED
Street Address:	CGI TOWER 2ND FLOOR,
City:	WARRENS, ST. MICHAEL
State/Country:	BARBADOS
Postal Code:	BB22026

## **PROPERTY NUMBERS Total: 6**

Property Type	Number
Application Number:	13504988
Application Number:	13464987
Application Number:	14958791
Application Number:	14111738
Application Number:	13321681
Application Number:	12993565

## **CORRESPONDENCE DATA**

Fax Number: (949)760-9502

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent

using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

Phone: 949-760-0404 Email: efiling@knobbe.com

KNOBBE, MARTENS, OLSON & BEAR, LLP. **Correspondent Name:** 

Address Line 1: 2040 MAIN STREET

Address Line 2: 14TH FLOOR

Address Line 4: IRVINE, CALIFORNIA 92614

ATTORNEY DOCKET NUMBER:	POT12
NAME OF SUBMITTER:	RAYMOND D. SMITH (REG. NO 55,634)
SIGNATURE:	/Raymond D. Smith/
DATE SIGNED:	08/03/2016

## Total Attachments: 5 source=Assignment -POT12#page1.tif source=Assignment -POT12#page2.tif source=Assignment -POT12#page3.tif source=Assignment -POT12#page4.tif source=Assignment -POT12#page5.tif

# CONFIRMATORY DEED OF ASSIGNMENT

THIS CONFIRMATORY DEED OF ASSIGNMENT is made on the 28th day of July two thousand and sixteen

## BETWEEN

- $\bigcirc$ Novagenesis Foundation of Obstbaumstrasse 31, CH-6353 Weggis. Switzerland (hereinafter called "the Assignor") of the one
- $\mathfrak{D}$ Genesis Technologies Limited of CGI Tower 2nd Floor. Warrens. St. Michael BB22026, Barbados (hereinafter called "the Assignee") of the other part.

## WHEREAS

- $\widehat{\mathbb{A}}$ (hereinafter referred to as "the Patents and Patent Applications"). The Assignor is the registered applicant in respect of the patents and patent applications set out in the appended schedule
- $\overline{\mathbb{B}}$ By virtue of a series of agreements dated September 30, 2015 and May 2, 2016, the Assignor's rights in the Patents and Patent Applications have been transferred to the Assignee.

## NOW IT IS HEREBY AGREED

- sue for damages and other remedies in respect of any infringement or such rights or other rights within the scope of the claims of of and shall vest in the Assignce TOGETHER WITH all the rights and powers arising or accrued therefrom including the right to and all divisions, reissues, continuations and extensions thereof) to the intent that grant of a patent thereon shall be in the name any published specification accompanying the Patents and Patent Applications prior to the date hereof. licences, charges or other encumbrances all right, title and interest in and to the Patents and Patent Applications (including any The Assignor, by way of confirmatory assignment, HEREBY ASSIGNS with full title guarantee absolutely and free from any
- 2 patents pursuant to the Applications and will execute all such deeds and documents and do all such acts as may be necessary or Applications to grant and will assist the defence of any proceedings by way of intervention or in opposition to the grant of the The Assignor agrees that at the request of the Assignce it will at all times hereafter assist the prosecution of the Patent

law of each State and to procure the grant of patents pursuant to the Applications. desirable formally to register this Assignment at the Patent Offices and to render this Assignment effective under the national

- درا be granted pursuant to the Applications. The Assignee shall by virtue of this confirmatory assignment be entitled to the grant direct to it in its own name of the patents to
- 4 similar protection shall be in the name of and vest in the Assignee. in the Patents and Patent Applications including the right to claim priority therefrom to the intent that the grant of any patents or The right to apply for, prosecute and obtain patent or similar protection throughout the world in respect of any invention claimed
- Ç relevant Patent Offices and to assist in the resolution of any question concerning the Patents and Patent Applications. secure the vesting in the Assignee of all rights assigned to the Assignee hereunder to secure registration of this Assignment at the documents (including the making of or deposing to any declaration or oath) as may reasonably be necessary or desirable to The Assignor agrees that at the request of the Assignee it will at all times hereafter do all such acts and execute all such
- 6 The Assignee hereby accepts such confirmatory assignment.

7

The parties agree that this Assignment shall be governed by and construed in accordance with English law and hereby submit to the non-exclusive jurisdiction of the English Courts.

Assignment. IN WITNESS of these matters this document has been executed as a deed by the parties on the date set out at the beginning of this

This Assignment may be executed in counterparts. all of which shall be considered one and the same agreement

SIGNED as a DEED by:

Novagenesis Foundation

acting by:

SIGNED as a DEED by:

Director

Mark Scott Stollmeyer

acting by:

Genesis Technologies Limited

Director

Michel Barbey

# SCHEDULE OF PATENTS AND PATENT APPLICATIONS

TOTAL PROPERTY.			
Country	Title	Application Date	Application Number
AU - Australia	Methods for reprogramming cells and uses thereof	2010-11-01	2010312240
BR - Brazil	Methods for reprogramming cells and uses thereof	2010-11-01	BR 112012009921-3
CA - Canada	Methods for reprogramming cells and uses thereof	2010-11-01	2779310
CN - China	Methods for reprogramming cells and uses thereof	2010-11-01	
EP - European Patent Office	Methods for reprogramming cells and uses thereof	2010-11-01	10825907.8
HK - Hong Kong	Methods for reprogramming cells and uses thereof	2010-11-01	13101888.8
IL - Israel	Methods for reprogramming cells and uses thereof	2010-11-01	219453
IN - India	Methods for reprogramming cells and uses thereof	2010-11-01	1092/MUMNP/2012
JP - Japan	Methods for reprogramming cells and uses thereof	2010-11-01	2012-535565
KR - Korea (Republic of)	Methods for reprogramming cells and uses thereof	2010-11-01	10-2012-7014081
MX - Mexico	Methods for reprogramming cells and uses thereof	2010-11-01	MX/a/2012/004881
PC - PCT	Methods for reprogramming cells and uses thereof	2010-11-01	PCT/CA2010/001727
SG - Singapore	Methods for reprogramming cells and uses thereof	2010-11-01	201203102-7
US - United States of America	Methods for reprogramming cells and uses thereof	2010-11-01	13/504988
US - United States of America	Methods for reprogramming cells and uses thereof	2010-11-01	13/464987
US - United States of America	Methods for reprogramming cells and uses thereof	2010-11-01	13/843713
ZA - South Africa	Methods for reprogramming cells and uses thereof	2010-11-01	2012/3902
CA - Canada	Selective cysteine protease inhibitors and uses thereof	2012-04-15	2833082
Office Patent	Selective cysteine protease inhibitors and uses thereof	2012-04-15	12770817.0
PC - PCT	Selective cysteine protease inhibitors and uses thereof	2012-04-15	PCT/IB2012/000747
America	Selective cysteine protease inhibitors and uses thereof	2012-04-15	14/111738
PC - PCT	Selective caspase inhibitors and uses thereof	2010-05-21	PCT/CA2010/000783

United States of Enica         Selective caspase inhibitors and uses thereof         2010-05-21         13/321681           - Australia         Selective caspase inhibitors and uses thereof         2009-05-21         2009250295           - Canadda         Selective caspase inhibitors and uses thereof         2009-05-21         2009250295           - China         Selective caspase inhibitors and uses thereof         2009-05-21         200980128709.7           - European Patent ce         Selective caspase inhibitors and uses thereof         2009-05-21         20980128709.7           Israel         Selective caspase inhibitors and uses thereof         2009-05-21         209407           India         Selective caspase inhibitors and uses thereof         2009-05-21         209407           Japan         Selective caspase inhibitors and uses thereof         2009-05-21         2011-509829           Japan         Selective caspase inhibitors and uses thereof         2009-05-21         2011-509829           Japan         Selective caspase inhibitors and uses thereof         2009-05-21         2011-509829           Japan         Selective caspase inhibitors and uses thereof         2009-05-21         2011-509829           Japan         Selective caspase inhibitors and uses thereof         2009-05-21         201008508-2           Japan         Selective caspase in	61/781261	2013-03-14	Reprogrammed cells: Methods and uses thereof	US – United States of America
Selective caspase inhibitors and uses thereof	14/307025	2009-05-21	Selective caspase inhibitors and uses thereof	US - United States of America
Selective caspase inhibitors and uses thereof	12/993565	2009-05-21	Selective caspase inhibitors and uses thereof	US - United States of America
selective caspase inhibitors and uses thereof	201303899-7	2009-05-21	Selective caspase inhibitors and uses thereof	SG - Singapore
Selective caspase inhibitors and uses thereof	2010085802	2009-05-21	Selective caspase inhibitors and uses thereof	SG - Singapore
ted States of Selective caspase inhibitors and uses thereof 2010-05-21  ada Selective caspase inhibitors and uses thereof 2009-05-21  ada Selective caspase inhibitors and uses thereof 2009-05-21  ada Selective caspase inhibitors and uses thereof 2009-05-21	201008508-2	2009-05-21	Selective caspase inhibitors and uses thereof	SG - Singapore
ted States of Selective caspase inhibitors and uses thereof 2010-05-21  ada Selective caspase inhibitors and uses thereof 2009-05-21  ada Selective caspase inhibitors and uses thereof 2009-05-21  ada Selective caspase inhibitors and uses thereof 2009-05-21	PCT/CA2009/00	2009-05-21	Selective caspase inhibitors and uses thereof	PC - PCT
ted States of  Selective caspase inhibitors and uses thereof  2010-05-21  2010-05-21  2009-05-21  2009-05-21  2009-05-21  2009-05-21  2009-05-21  2009-05-21  2009-05-21  Selective caspase inhibitors and uses thereof	2014-265816	2009-05-21	Selective caspase inhibitors and uses thereof	JP - Japan
ted States of Selective caspase inhibitors and uses thereof 2010-05-21  Iralia Selective caspase inhibitors and uses thereof 2009-05-21  ada Selective caspase inhibitors and uses thereof 2009-05-21  Belective caspase inhibitors and uses thereof 2009-05-21  Selective caspase inhibitors and uses thereof 2009-05-21  Selective caspase inhibitors and uses thereof 2009-05-21  Selective caspase inhibitors and uses thereof 2009-05-21	2011-509829	2009-05-21	Selective caspase inhibitors and uses thereof	JP - Japan
Selective caspase inhibitors and uses thereof	8739/DELNP/20	2009-05-21	Selective caspase inhibitors and uses thereof	IN - India
States of Selective caspase inhibitors and uses thereof 2010-05-21  Selective caspase inhibitors and uses thereof 2009-05-21	209407	2009-05-21	Selective caspase inhibitors and uses thereof	IL - Israel
Selective caspase inhibitors and uses thereof  2009-05-21	09749369.6	2009-05-21	Selective caspase inhibitors and uses thereof	Office
Selective caspase inhibitors and uses thereof	200980128709.	2009-05-21	Selective caspase inhibitors and uses thereof	CN - China
Selective caspase inhibitors and uses thereof  Selective caspase inhibitors and uses thereof  2010-05-21	2724785	2009-05-21	Selective caspase inhibitors and uses thereof	CA - Canada
ited States of Selective caspase inhibitors and uses thereof 2010-05-21	2009250295	2009-05-21	Selective caspase inhibitors and uses thereof	AU - Australia
	13/321681	2010-05-21	Selective caspase inhibitors and uses thereof	US - United States of America

PATENT
RECORDED: 08/03/2016 REEL: 039560 FRAME: 0774