

## PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1  
Stylesheet Version v1.2

EPAS ID: PAT4031231

<b>SUBMISSION TYPE:</b>	NEW ASSIGNMENT
<b>NATURE OF CONVEYANCE:</b>	ASSIGNMENT
<b>CONVEYING PARTY DATA</b>	
<b>Name</b>	<b>Execution Date</b>
CHANGHONG ZHAO	03/03/2016
ENRIQUE MALLADA GARCIA	03/03/2016
STEVEN H. LOW	03/21/2016
<b>RECEIVING PARTY DATA</b>	
<b>Name:</b>	CALIFORNIA INSTITUTE OF TECHNOLOGY
<b>Street Address:</b>	1200 E. CALIFORNIA BOULEVARD
<b>Internal Address:</b>	M/C 6-32
<b>City:</b>	PASADENA
<b>State/Country:</b>	CALIFORNIA
<b>Postal Code:</b>	91125
<b>PROPERTY NUMBERS Total: 1</b>	
<b>Property Type</b>	<b>Number</b>
<b>Application Number:</b>	14796869
<b>CORRESPONDENCE DATA</b>	
<b>Fax Number:</b>	(949)852-0004
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
<b>Phone:</b>	9498520000
<b>Email:</b>	pair@kppb.com
<b>Correspondent Name:</b>	KPPB LLP
<b>Address Line 1:</b>	2400 E. KATELLA AVENUE
<b>Address Line 2:</b>	SUITE 1050
<b>Address Line 4:</b>	ANAHEIM, CALIFORNIA 92806
<b>ATTORNEY DOCKET NUMBER:</b>	C9-03892
<b>NAME OF SUBMITTER:</b>	MELISSA YEE
<b>SIGNATURE:</b>	/Melissa Yee/
<b>DATE SIGNED:</b>	08/30/2016
<b>Total Attachments: 10</b>	
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**RECORDATION FORM COVER SHEET  
PATENTS ONLY**

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

**1. Name of conveying party(ies)**

Changhong Zhao; Enrique Mallada Garcia;  
Steven H. Low

Additional name(s) of conveying party(ies) attached?  Yes  No

**3. Nature of conveyance/Execution Date(s):**

Execution Date(s) March 3, 2016; March 3, 2016; March 21, 2016

- Assignment  Merger  
 Security Agreement  Change of Name  
 Joint Research Agreement  
 Government Interest Assignment  
 Executive Order 9424, Confirmatory License  
 Other \_\_\_\_\_

**2. Name and address of receiving party(ies)**

Name: California Institute of Technology

Internal Address: M/C 6-32

Street Address: 1200 E. California Boulevard

City: Pasadena

State: CA

Country: US Zip: 91125

Additional name(s) & address(es) attached?  Yes  No

**4. Application or patent number(s):**

This document serves as an Oath/Declaration (37 CFR 1.63).

A. Patent Application No.(s)

B. Patent No.(s)

14/796,869

Additional numbers attached?  Yes  No

**5. Name and address to whom correspondence concerning document should be mailed:**

Name: KPPB LLP

Internal Address: Suite 1050

Street Address: 2400 E. Katella Avenue

City: Anaheim

State: CA Zip: 92806

Phone Number: 949.852.0000

Docket Number: C9-03892

Email Address: pair@kppb.com

**6. Total number of applications and patents involved:** 1

**7. Total fee (37 CFR 1.21(h) & 3.41) \$** \_\_\_\_\_

- Authorized to be charged to deposit account  
 Enclosed  
 None required (government interest not affecting title)

**8. Payment Information**

Deposit Account Number \_\_\_\_\_

Authorized User Name \_\_\_\_\_

**9. Signature:** /Jason D. Wolfe/

Aug. 30, 2016

Signature

Date

Jason D. Wolfe

Name of Person Signing

Total number of pages including cover sheet, attachments, and documents:

**10**

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:  
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O.Box 1450, Alexandria, V.A. 22313-1450

## ASSIGNMENT

WHEREAS, WE, Changhong Zhao, Enrique Mallada Garcia, and Steven H. Low have made certain new and useful inventions and improvements for which an application for Letters Patent of the United States entitled Dynamic Frequency Control in Power Networks was filed July 10, 2015 and assigned Application No. 14/796,869;

WHEREAS, California Institute of Technology, a corporation organized and existing under and by virtue of the laws of the State of California, and having an office and place of business at 1200 E. California Boulevard, Mail Stop 6-32, Pasadena, CA 91125 (hereinafter "Assignee"), is desirous of acquiring the entire right, title and interest in and to said inventions, improvements and application and in and to the Letters Patent to be obtained therefore;

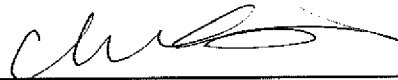
NOW, THEREFORE, to all whom it may concern, be it known that for good and valuable consideration, the receipt and sufficiency whereof is hereby acknowledged, we have sold, assigned, and transferred, and by these presents do sell, assign and transfer unto said Assignee, its successors or assigns, the entire right, title and interest for all countries in and to all inventions and improvements disclosed in the aforesaid application, and in and to the said application, all divisions, continuations, continuations-in-part, or renewals thereof, all Letters Patent which may be granted there from, and all reissues or extensions of such patents, and in and to any and all applications which have been or shall be filed in any foreign countries for Letters Patent on the said inventions and improvements, including an assignment of all rights under the provisions of the International Convention, and all Letters Patent of foreign countries which may be granted there from; and we do hereby authorize and request the Commissioner of Patents and Trademarks to issue any and all United States Letters Patent for the aforesaid inventions and improvements to the said Assignee as the assignee of the entire right, title and interest in and to the same, for the use of the said Assignee, its successors and assigns.

AND, for the consideration aforesaid, we do hereby agree that we and our executors and legal representatives will make, execute and deliver any and all other instruments in writing including any and all further application papers, affidavits, assignments and other documents, and will communicate to said Assignee, its successors and representatives all facts known to us relating to said improvements and the history thereof and will testify in all legal proceedings and generally do all things which may be necessary or desirable more

effectually to secure to and vest in said Assignee, its successors or assigns the entire right, title and interest in and to the said improvements, inventions, applications, Letters Patent, rights, titles, benefits, privileges and advantages hereby sold, assigned and conveyed, or intended so to be.

AND, furthermore, we covenant and agree with said Assignee, its successors and assigns, that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by me and that full right to convey the same as herein expressed is possessed by me.

IN WITNESS WHEREOF, I have hereunto set my hand this 3rd day of March, 2016.



Changhong Zhao  
1200 E. California Blvd, MC 305-16  
Pasadena, CA 91125

WITNESS: \_\_\_\_\_

Print name \_\_\_\_\_

\*\*\*\*\*

IN WITNESS WHEREOF, I have hereunto set my hand this \_\_\_\_ day of \_\_\_\_\_, 2016.

\_\_\_\_\_  
Enrique Mallada Garcia  
605 N. Holliston Avenue  
Pasadena, CA 91106

WITNESS: \_\_\_\_\_

Print name \_\_\_\_\_

\*\*\*\*\*

IN WITNESS WHEREOF, I have hereunto set my hand this \_\_\_\_ day of \_\_\_\_\_, 2016.

\_\_\_\_\_  
Steven H. Low  
200 Mariner Views Lane  
La Canada, CA 91011

WITNESS: \_\_\_\_\_

Print name \_\_\_\_\_

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NOW, THEREFORE, to all whom it may concern, be it known that for good and valuable consideration, the receipt and sufficiency whereof is hereby acknowledged, we have sold, assigned, and transferred, and by these presents do sell, assign and transfer unto said Assignee, its successors or assigns, the entire right, title and interest for all countries in and to all inventions and improvements disclosed in the aforesaid application, and in and to the said application, all divisions, continuations, continuations-in-part, or renewals thereof, all Letters Patent which may be granted there from, and all reissues or extensions of such patents, and in and to any and all applications which have been or shall be filed in any foreign countries for Letters Patent on the said inventions and improvements, including an assignment of all rights under the provisions of the International Convention, and all Letters Patent of foreign countries which may be granted there from; and we do hereby authorize and request the Commissioner of Patents and Trademarks to issue any and all United States Letters Patent for the aforesaid inventions and improvements to the said Assignee as the assignee of the entire right, title and interest in and to the same, for the use of the said Assignee, its successors and assigns.

AND, for the consideration aforesaid, we do hereby agree that we and our executors and legal representatives will make, execute and deliver any and all other instruments in writing including any and all further application papers, affidavits, assignments and other documents, and will communicate to said Assignee, its successors and representatives all facts known to us relating to said improvements and the history thereof and will testify in all legal proceedings and generally do all things which may be necessary or desirable more

effectually to secure to and vest in said Assignee, its successors or assigns the entire right, title and interest in and to the said improvements, inventions, applications, Letters Patent, rights, titles, benefits, privileges and advantages hereby sold, assigned and conveyed, or intended so to be.

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\_\_\_\_\_  
Changhong Zhao  
1200 E. California Blvd, MC 305-16  
Pasadena, CA 91125

WITNESS: \_\_\_\_\_

Print name \_\_\_\_\_

\*\*\*\*\*



IN WITNESS WHEREOF, I have hereunto set my hand this 3<sup>rd</sup> day of March, 2016.



Enrique Mallada Garcia  
605 N. Holliston Avenue  
Pasadena, CA 91106

611 Park Ave Apt 801  
Baltimore, MD 21201

E.M.

WITNESS: \_\_\_\_\_



Print name JOSEFINA IANNELLO

\*\*\*\*\*

IN WITNESS WHEREOF, I have hereunto set my hand this \_\_\_\_\_ day of \_\_\_\_\_, 2016.

Steven H. Low  
200 Mariner Views Lane  
La Canada, CA 91011

WITNESS: \_\_\_\_\_

Print name \_\_\_\_\_

## ASSIGNMENT

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NOW, THEREFORE, to all whom it may concern, be it known that for good and valuable consideration, the receipt and sufficiency whereof is hereby acknowledged, we have sold, assigned, and transferred, and by these presents do sell, assign and transfer unto said Assignee, its successors or assigns, the entire right, title and interest for all countries in and to all inventions and improvements disclosed in the aforesaid application, and in and to the said application, all divisions, continuations, continuations-in-part, or renewals thereof, all Letters Patent which may be granted there from, and all reissues or extensions of such patents, and in and to any and all applications which have been or shall be filed in any foreign countries for Letters Patent on the said inventions and improvements, including an assignment of all rights under the provisions of the International Convention, and all Letters Patent of foreign countries which may be granted there from; and we do hereby authorize and request the Commissioner of Patents and Trademarks to issue any and all United States Letters Patent for the aforesaid inventions and improvements to the said Assignee as the assignee of the entire right, title and interest in and to the same, for the use of the said Assignee, its successors and assigns.

AND, for the consideration aforesaid, we do hereby agree that we and our executors and legal representatives will make, execute and deliver any and all other instruments in writing including any and all further application papers, affidavits, assignments and other documents, and will communicate to said Assignee, its successors and representatives all facts known to us relating to said improvements and the history thereof and will testify in all legal proceedings and generally do all things which may be necessary or desirable more

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IN WITNESS WHEREOF, I have hereunto set my hand this \_\_\_\_ day of \_\_\_\_\_, 2016.

\_\_\_\_\_  
Changhong Zhao  
1200 E. California Blvd, MC 305-16  
Pasadena, CA 91125

WITNESS: \_\_\_\_\_

Print name \_\_\_\_\_

\*\*\*\*\*

IN WITNESS WHEREOF, I have hereunto set my hand this 21<sup>st</sup> day of March, 2016.

\_\_\_\_\_  
Enrique Mallada Garcia  
605 N. Holliston Avenue  
Pasadena, CA 91106

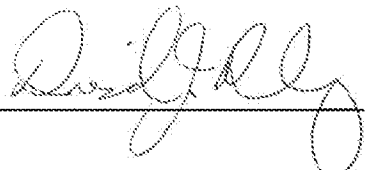
WITNESS: \_\_\_\_\_

Print name \_\_\_\_\_

\*\*\*\*\*

IN WITNESS WHEREOF, I have hereunto set my hand this 21<sup>st</sup> day of March, 2016.

  
\_\_\_\_\_  
Steven H. Low  
200 Mariner Views Lane  
La Canada, CA 91011

WITNESS:  \_\_\_\_\_

Print name DAVID J. PAILES