# PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2 EPAS ID: PAT4031563

| SUBMISSION TYPE:      | NEW ASSIGNMENT |
|-----------------------|----------------|
| NATURE OF CONVEYANCE: | ASSIGNMENT     |

#### **CONVEYING PARTY DATA**

| Name        | Execution Date |
|-------------|----------------|
| XIUYU CAI   | 03/18/2015     |
| RUILONG XIE | 03/06/2015     |

# **RECEIVING PARTY DATA**

| Name:                                      | GLOBALFOUNDRIES, INC. |  |
|--|-----------------------|--|
| Street Address: P.O. BOX 309, UGLAND HOUSE |                       |  |
| City:                                      | GRAND CAYMAN          |  |
| State/Country:                             | CAYMAN ISLANDS        |  |
| Postal Code:                               | KY1-1104              |  |

# **PROPERTY NUMBERS Total: 1**

| Property Type       | Number   |
|---------------------|----------|
| Application Number: | 15252315 |

### CORRESPONDENCE DATA

Fax Number: (607)429-4119

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent

using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

Phone: 914-766-0567 Email: eraub@us.ibm.com

Correspondent Name: IBM CORPORATION - ENDICOTT DRAFTING CENT

Address Line 1: 294 ROUTE 100 Address Line 2: P.O. BOX 100

Address Line 4: **SOMERS, NEW YORK 10589-0100** 

| ATTORNEY DOCKET NUMBER: YOR920140243US2 |  |  |
|---|--|--|
| AME OF SUBMITTER: NICHOLAS L. CADMUS    |  |  |
| SIGNATURE:                              | /Nicholas L. Cadmus/                                       |  |
| DATE SIGNED:                            | 08/31/2016   |  |
|   | This document serves as an Oath/Declaration (37 CFR 1.63). |  |

### **Total Attachments: 2**

source=YOR920140243US1\_GlobalFoundaries\_SignedAssignmentDeclaration\_3-27-15#page1.tif source=YOR920140243US1 GlobalFoundaries SignedAssignmentDeclaration 3-27-15#page2.tif

**PATENT REEL: 039598 FRAME: 0089** 503984907

GF Ref. No.: EU893

COMBINED DECLARATION and ASSIGNMENT (37 CFR 1.63) FOR UTILITY OR DESIGN APPLICATION USING AN APPLICATION DATA SHEET (37 CFR 1.76)

| Title of<br>Invention  | DUAL CHANNEL FINFET WITH RELAXED PFET REGION  |  |
|--|---|--|
| DECLARATION  |   |  |
| As the below named inventor, I hereby declare that:  |   |  |
| This declarate is directed to:   | and $\mathbf{K} \times \mathbf{K}$ . The state of the state |  |
|  | United States application or PCT international application number filed on  |  |
| The above-id   | entified application was made or authorized to be made by me.   |  |
| I believe that I am the original inventor or an original joint inventor of a claimed invention in the application.   |   |  |
| I hereby acknowledge that any willful false statement made in this declaration is punishable under 18 U.S.C. 1001 by fine or imprisonment of not more than five (5) years, or both.  |   |  |
| I have reviewed and understand the contents of the application and am aware of the duty to disclose to the US Patent and Trademark Office all information known to me to be material to patentability as defined in 37 CFR § 1.56.   |   |  |
|  | ASSIGNMENT  |  |
| For good and valuable consideration, the receipt, sufficiency and adequacy of which are hereby acknowledged, I hereby sell, assign, transfer, to GLOBALFOUNDRIES Inc. having a business address of PO Box 309, Ugland House, Grand Cayman KY1-1104 its successors and assigns, absolutely and forever, my entire right, title and interest in and to the invention listed above, together with the Application, any and all Patents that may issue in the United States and/or any foreign countries, and any reissues, re-examinations, renewals, continuations, continuation-in-parts, divisionals or extensions thereof that may be issued or granted, and all right, title and interest to the inventions contained in said Patents and Applications, for the United States and all foreign countries, and all the rights and privileges relating thereto including but not limited to the priority rights arising from said Applications, the right to recover and take all such proceedings as may be necessary for the recovery of damages or otherwise in respect of past, present and future infringement, and the right to apply for, take and maintain patents on said inventions.  If any portion of this Combined Declaration or Assignment is held unenforceable, then remaining portions hereof shall nevertheless remain in full force and effect. |   |  |
|  |   |  |
| LEGAL NAM  | IE OF INVENTOR  Xiuyu Cai Date: 03/18/2015  |  |
| Inventor   | Xiuyu Cai Date: 05/18/2015  |  |
| Signature:   |   |  |
|  | cation data sheet (PTO/SB/14 or equivalent), including naming the entire inventive entity, must accompany this form, all form for each additional inventor.   |  |

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PATENT REEL: 039598 FRAME: 0090

GF Ref. No.: EU893

COMBINED DECLARATION and ASSIGNMENT (37 CFR 1.63) FOR UTILITY OR DESIGN APPLICATION USING AN APPLICATION DATA SHEET (37 CFR 1.76)

| Title of<br>Invention   | DUAL CHANNEL FINFET WITH RELAXED PFET REGION   |  |
|---|--|--|
|   | DECLARATION  |  |
| As the below named inventor, I hereby declare that:   |  |  |
| This declarati  |  |  |
|   | United States application or PCT international application number filed on   |  |
| The above-ide   | entified application was made or authorized to be made by me.  |  |
| I believe that I am the original inventor or an original joint inventor of a claimed invention in the application.  |  |  |
| I hereby acknowledge that any willful false statement made in this declaration is punishable under 18 U.S.C. 1001 by fine or imprisonment of not more than five (5) years, or both.   |  |  |
| I have reviewed and understand the contents of the application and am aware of the duty to disclose to the US Patent and Trademark Office all information known to me to be material to patentability as defined in 37 CFR § 1.56.  |  |  |
|   | ASSIGNMENT   |  |
| For good and valuable consideration, the receipt, sufficiency and adequacy of which are hereby acknowledged, I hereby sell, assign, transfer, to GLOBALFOUNDRIES Inc. having a business address of PO Box 309, Ugland House, Grand Cayman KY1-1104 its successors and assigns, absolutely and forever, my entire right, title and interest in and to the invention listed above, together with the Application, any and all Patents that may issue in the United States and/or any foreign countries, and any reissues, re-examinations, renewals, continuations, continuation-in-parts, divisionals or extensions thereof that may be issued or granted, and all right, title and interest to the inventions contained in said Patents and Applications, for the United States and all foreign countries, and all the rights and privileges relating thereto including but not limited to the priority rights arising from said Applications, the right to recover and take all such proceedings as may be necessary for the recovery of damages or otherwise in respect of past, present and future infringement, and the right to apply for, take and maintain patents on said inventions. |  |  |
| If any portion of this Combined Declaration or Assignment is held unenforceable, then remaining portions hereof shall nevertheless remain in full force and effect.   |  |  |
| LEGAL NAV   | TE OF INVENTOR   |  |
| Inventor:   | Ruilong Xie Date: 03/06/20/5   |  |
| Signature:  |  |  |
| Note: An appli  | cation data sheet (PTO/SB/14 or equivalent), including naming the entire inventive entity, must accompany this form. |  |

PATENT REEL: 039598 FRAME: 0091

**RECORDED: 08/31/2016**