

PATENT ASSIGNMENT COVER SHEET

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EPAS ID: PAT4032370

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
ROCHE DIAGNOSTICS INTERNATIONAL AG	03/17/2015
RECEIVING PARTY DATA	
Name:	Roche Diagnostics Operations, Inc.
Street Address:	9115 Hague Road
City:	Indianapolis
State/Country:	INDIANA
Postal Code:	46250
PROPERTY NUMBERS Total: 1	
Property Type	Number
Application Number:	15220555
CORRESPONDENCE DATA	
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<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
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ATTORNEY DOCKET NUMBER:	P31748-US-PD
NAME OF SUBMITTER:	KENNETH J. WAITE
SIGNATURE:	/Kenneth J. Waite/
DATE SIGNED:	08/31/2016
Total Attachments: 5	
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ASSIGNMENT AGREEMENT

Roche Diagnostics International AG ("ASSIGNOR") a corporation duly organized under the laws of Switzerland and having its principal place of business at Forrenstrasse 2, CH-6343 Rotkreuz, Switzerland

acknowledges the previous transfer to

Roche Diagnostics Operations, Inc. (ASSIGNEE) a corporation duly organized under the laws of the State of Delaware, United States of America, having its principal place of business at 9115 Hague Road, Indianapolis 46250, Indiana, United States of America

for good and valuable consideration paid to ASSIGNOR by ASSIGNEE, the receipt and sufficiency of which is hereby acknowledged, of all right, title, and interest in, to, and deriving from the invention(s) disclosed in the patent application(s) set forth below **for the territory of the United States of America** and its possessions by operation of law, contract, or otherwise, and any Letters Patent which may hereafter be granted on the same in the United States including any application claiming priority under 35 U.S.C. §119(e), divisions, renewals, continuations in whole or in part, substitutions, conversions, reissues, reexaminations, prolongations or extensions thereof.

As far as the right, title, and interest of ASSIGNOR in, to, and deriving from the invention(s) disclosed in the patent application(s) set forth below may not have been transferred, for good and valuable consideration paid to ASSIGNOR by ASIGNEE, the receipt and sufficiency of which is hereby acknowledged, ASSIGNOR hereby assigns, sells and transfers to ASSIGNEE, and to ASSIGNEE'S successors and assigns, for the territory of the United States of America and its possessions all of ASSIGNOR'S right, title and interest in, to, and deriving from the invention(s) described in the patent application(s) listed below, including any divisions, renewals, continuations in whole or in part, substitutions, conversions, reissues, reexaminations, prolongations or extensions thereof, or other U.S. patent applications claiming the benefit of the filing date of the following patent application(s):

Case No.	Country	Filing Date	Serial No.
P31748	Europe	January 28, 2014	14 152 777.0

entitled

Biomarkers for risk assessment and treatment monitoring in heart failure patients guided by natriuretic peptides

ASSIGNEE, by virtue of this Assignment, shall have the right to file and own patent applications that claim priority to and are based in whole or in part on the patent application(s) cited above with the United States Patent and Trademark Office and to own Letters Patents that issue thereon. Accordingly, ASSIGNOR hereby authorizes and requests the Commissioner of Patents and Trademarks to issue any United States Letters Patent which may issue for such patent applications, including any divisions, renewals, continuations in whole or in part, substitutions, conversions, reissues, reexaminations, prolongations or extensions thereof, to ASSIGNEE, its successors and assigns.

ASSIGNOR does hereby covenant and agree with ASSIGNEE, its successors and assigns, that ASSIGNOR will not execute any writing or do any act conflicting with this assignment, and ASSIGNOR further agrees that he will, without charge to said ASSIGNEE, but at ASSIGNEE'S expense, cooperate with ASSIGNEE in the

prosecution of said Letters Patent and/or applications, execute, verify, acknowledge and deliver all such further papers, including applications for Letters Patent and for the reissue thereof, and instruments of assignment and transfer thereof, and will perform such other acts as ASSIGNEE lawfully may request, to obtain or maintain Letters Patent for said invention and improvement in any and all countries, and to vest title thereto in said ASSIGNEE, or ASSIGNEE'S successors and assigns.

ASSIGNOR

Roche Diagnostics International AG
ppa. i.V.

Rotkreuz, March 17, 2015

Place, Date



Dr. Barbara Herren
Holder of Procuration



Dr. Mario Curcio
European Patent Attorney

NOTARIAL CERTIFICATION

I, Christoph Schweiger, lic. iur., attorney at law, a Notary Public in 6300 Zug, Dammstrasse 19, (Switzerland),

do hereby certify that the signatures above are the true signatures of

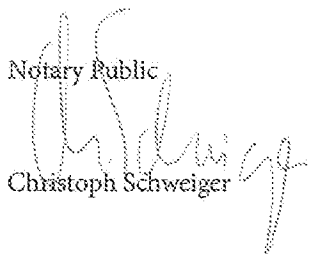
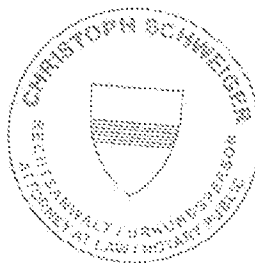
Dr. Barbara Irene Herren, authorized signatory with joint signing rights according to art. 458 et seqq. Swiss Code of Obligations ("Prokura"), citizen of Neuenegg BE, in Baar, ZG (Switzerland), and

Dr. Mario Curcio, authorized representative with joint signing rights according to art. 462 Swiss Code of Obligations ("Handlungsvollmacht") as well as representative with special power of attorney, citizen of Italy, in Hochdorf, LU (Switzerland),

on behalf of Roche Diagnostics International AG, with legal seat in Risch, Switzerland.

Zug, Aug. 18, 2016

Notary Public


Christoph Schweiger

ASSIGNEE

Roche Diagnostics Operations, Inc

Indianapolis, IN August 31, 2016 D. Michael Young
Place, Date USA Vice President & Chief Intellectual
Property Counsel
ACKNOWLEDGMENT

United States of America)

State of Indiana)

Marion County)

On August 31, , before me, Jacqueline M. Pike, a Notary Public,

personally appeared D. Michael Young.

[X] personally known to me

[] proved to me on the basis of satisfactory evidence
to be the person described in the foregoing Assignment,
who acknowledged that (s)he executed the foregoing
Assignment in her/his authorized capacity, and that by
her/his signature on the foregoing Assignment the
person, or **Roche Diagnostics Operations, Inc.**, executed
the foregoing Assignment.

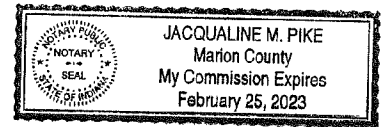
Jacqueline M. Pike

Notary Public

Printed Name: Jacqueline M. Pike

My Commission Expires: February 25, 2023

County of Residence: Marion



NOTARIELLE BEGLAUBIGUNG

NOTARIAL CERTIFICATION

Ich

I

Jacqueline M. Pike

(Name of Notary Public)

Notar in

a Notary Public residing at

2720 Sheffield Drive, Indianapolis, Indiana 46229, U.S.A.

(Address)

beglaubige hiermit die Echtheit der vor mir persönlich
vollzogenen umseitigen Unterschrift(en) von

do hereby certify that

D. Michael Young

Vice President

Chief Intellectual Property Counsel

und dass der(die) Unterschreibende erklärte, dass er(sie) die
Übertragungserklärung mit entsprechender Firmenvollmacht
unterzeichnete, und dass mit seiner(ihrer) Unterschrift die
Übertragungserklärung als durch ihn(sie) persönlich oder
durch Roche Diagnostics Operations, Inc. ausgefertigt gilt.

appeared before me, signed the foregoing document in my
presence and acknowledged that (s)he executed the Declaration
of Assignment in her/his authorized capacity and that by
her/his signature the person or Roche Diagnostics Operations,
Inc., executed the foregoing Declaration of Assignment

Jacqueline M. Pike

Notar

/ Notary Public

