

PATENT ASSIGNMENT COVER SHEET

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EPAS ID: PAT4032892

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
XINYAO DU	06/30/2016
STEVEN M. ANSELL	06/30/2016
RECEIVING PARTY DATA	
Name:	ACUITAS THERAPEUTICS, INC.
Street Address:	2714 WEST 31ST AVENUE
City:	VANCOUVER, BC
State/Country:	CANADA
Postal Code:	V6L 2A1
PROPERTY NUMBERS Total: 2	
Property Type	Number
Application Number:	15196582
PCT Number:	US1639999
CORRESPONDENCE DATA	
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<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
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ATTORNEY DOCKET NUMBER:	100177.403
NAME OF SUBMITTER:	ERIC A. HARWOOD, PH.D.
SIGNATURE:	/Eric A. Harwood/
DATE SIGNED:	08/31/2016
Total Attachments: 3	
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source=Assignment100177403#page3.tif	

ASSIGNMENT

WHEREAS, we,

Name	Address	
Xinyao Du	9580 Snowdon Avenue, Richmond, BC, Canada V7A 2M1	and
Steven M. Ansell	201-2010 West 8 th Avenue, Vancouver, BC, Canada V6J 1W5	

(hereinafter referred to as ASSIGNORS), having mailing addresses as listed above, are the joint inventors of an invention entitled "LIPIDS AND LIPID NANOPARTICLE FORMULATIONS FOR DELIVERY OF NUCLEIC ACIDS," as described and claimed in the specification for which an application for United States letters patent was filed on June 29, 2016 and assigned Application No. 15/196,582; and for which an application was filed on June 29, 2016 with the U.S. Receiving Office of the PCT and assigned Application No. PCT/US2016/039999; and for which a U.S. Provisional Application was filed on June 29, 2015 and assigned Application No. 62/186,210;

WHEREAS, Acuitas Therapeutics, Inc. (hereinafter referred to as ASSIGNEE), a corporation having a place of business at 2714 West 31st Avenue, Vancouver, BC, Canada V6L 2A1, is desirous of acquiring the entire right, title and interest in and to the invention and in and to any letters patent that may be granted therefor in the United States and in any and all foreign countries;

NOW, THEREFORE, in exchange for good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, ASSIGNORS hereby sell, assign and transfer unto said ASSIGNEE, the entire right, title and interest in and to said invention, said application and any and all letters patent which may be granted for said invention in the United States of America and its territorial possessions including any extensions or adjustments in term thereof and in any and all foreign countries, and in any and all divisions, reissues and continuations thereof, including the right to file foreign applications directly in the name of ASSIGNEE and to claim priority rights deriving from said United States application to which said foreign applications are entitled by virtue of international convention, treaty or otherwise, and including the right to sue and collect damages for past and present infringement of said letters patent; said invention, application and all letters patent on said invention to be held and enjoyed by ASSIGNEE and its successors and assigns for their use and benefit and of their successors and assigns as fully and entirely as the same would have been held and enjoyed by ASSIGNORS had this assignment, transfer and sale not been made. ASSIGNORS hereby

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authorize and request the Commissioner of Patents and Trademarks to issue all letters patent on said invention to ASSIGNEE. ASSIGNORS agree to execute all instruments and documents required for the making and prosecution of applications for United States and foreign letters patent on said invention, for litigation regarding said letters patent, or for the purpose of protecting title to said invention or letters patent therefor.

30 June 2016
Date _____
Xinyao Du (Assignor)

I certify that I know or have satisfactory evidence that Xinyao Du signed this instrument of his/her own free will, as a voluntary act for the uses and purposes mentioned in the instrument.

Date 30 June 2016 Signed at Vancouver
Chris Barbosa (Name of Witness) [Signature] (Signature of Witness)

30 JUNE 2016
Date _____
Steven M. Ansell (Assignor)

I certify that I know or have satisfactory evidence that Steven M. Ansell signed this instrument of his/her own free will, as a voluntary act for the uses and purposes mentioned in the instrument.

Date 30 June 2016 Signed at Vancouver
Chris Barbosa (Name of Witness) [Signature] (Signature of Witness)

Acuitas Therapeutics, Inc.

30TH JUNE 2016
Date

 (Assignee)
(Signatory Signature)

THOMAS HADDEN, PRESIDENT AND CEO
(Assignee Signatory Name, Title)

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