

## PATENT ASSIGNMENT COVER SHEET

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EPAS ID: PAT4041749

<b>SUBMISSION TYPE:</b>	NEW ASSIGNMENT
<b>NATURE OF CONVEYANCE:</b>	ASSIGNMENT
<b>CONVEYING PARTY DATA</b>	
<b>Name</b>	<b>Execution Date</b>
MIKE ROTHE	02/03/2016
THORE HETTMANN	02/03/2016
<b>RECEIVING PARTY DATA</b>	
<b>Name:</b>	U3 PHARMA GMBH
<b>Street Address:</b>	FRAUNHOFER STR. 22
<b>City:</b>	MARTINSRIED
<b>State/Country:</b>	GERMANY
<b>Postal Code:</b>	82152
<b>PROPERTY NUMBERS Total: 1</b>	
<b>Property Type</b>	<b>Number</b>
<b>Application Number:</b>	15000005
<b>CORRESPONDENCE DATA</b>	
<b>Fax Number:</b>	(650)332-1800
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
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<b>ATTORNEY DOCKET NUMBER:</b>	0152-004003
<b>NAME OF SUBMITTER:</b>	BIRGIT MILLAUER
<b>SIGNATURE:</b>	/Birgit Millauer/
<b>DATE SIGNED:</b>	09/07/2016
<b>Total Attachments: 6</b>	
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## ASSIGNMENT

For valuable consideration, we, Thore Hettmann, of Franz-Leonhard-Weg 7, D-35037 Marburg, Germany, and Mike Rothe, of Elisenstr. 4, D-82152 Krailling, Germany, hereinafter ASSIGNOR, do hereby sell, assign and transfer to U3 PHARMA GMBH, a German Corporation, having its principal place of business at Fraunhoferstr. 22, D-82152 Martinsried, Germany, hereinafter ASSIGNEE, the entire right, title and interest, together with all rights of priority, in and to our invention for MATERIAL AND METHODS FOR TREATING OR PREVENTING HER-3 ASSOCIATED DISEASES, and as described and claimed in an Application for United States Letters Patent filed January 19, 2016, as Application Serial No. 15/000,005, which is a continuation of and claims priority to Application Ser. No. 13/870,796, filed on April 25, 2013, which is a divisional application of and claims priority to Application Ser. No. 12/944,764, filed on November 12, 2010, which claims priority to Provisional Application No. 61/261,149, filed on November 13, 2009. This application is also a continuation-in-part application of and claims priority to Application Ser. No. 12/657,784, filed February 4, 2009, which is a divisional application of Application Ser. No. 11/649,722, filed January 3, 2007, which claims priority to Provisional Application No. 60/755,103, filed December 30, and as described and/or claimed in any and all applications for Letters Patent claiming priority thereto or based thereon, including divisions, continuations and reissues thereof as well as all foreign counterparts thereof together with all Letters Patent issuing on any of the aforesaid applications for Letters Patent, the same to be held and enjoyed by ASSIGNEE, its successors, assigns or other legal representatives, to the full ends of the terms of all said Letters Patent therefor which may be granted.

AND I HEREBY AUTHORIZE AND EMPOWER ASSIGNEE, its successors, assigns and legal representatives or nominees, to make applications for patent or other form of protection for my invention referenced above, the benefit of the right of priority provided by the International Convention for the Protection of Industrial Property, as amended, or

by any convention which may henceforth be substituted for it, and to invoke and claim such right of priority without further written or oral authorization from me, and to receive Letters Patent for said invention in any foreign countries in its own name, or in my name, at its election.

AND I HEREBY COVENANT AND AGREE that I will execute or procure any further necessary assurance of title to said invention and any Letters Patent which may issue therefor and that I will, at any time, upon the request and at the expense of ASSIGNEE deliver any testimony in any legal proceedings and execute all papers that may be necessary or desirable to perfect the title to said invention or any Letters Patent which may be granted therefor in ASSIGNEE, its successors, assigns, or other legal representatives, and that I will, at any time, upon the request and at the expense of ASSIGNEE execute any continuations, divisions, reissues, or any other additional applications for Letters Patent for said invention or any part or parts thereof, all of which applications and any Letters Patent issuing thereon are hereby assigned to ASSIGNEE, and will make all rightful oaths, and do all lawful acts required to procure the same therein, without further compensation, but at the expense of ASSIGNEE, its successors, assigns or other legal representatives.

AND I HEREBY AUTHORIZE AND REQUEST the Commissioner of Patents and Trademarks to issue any and all Letters Patent of the United States for said invention, resulting from any of the aforesaid applications to said assignee.

AND I HEREBY CONSENT that a copy of this assignment shall be deemed a full legal and formal equivalent of any assignment, consent to file or like document which may be required in any country for any purpose and more particularly in proof of the right of the said assignee or nominee to claim the aforesaid benefit of the right priority provided by the International Convention for the Protection of Industrial Property as, or by any convention which may henceforth be substituted for it.

AND I COVENANT with said assignee, its successors, assigns and legal representatives that the rights and property herein conveyed are free and clear of any encumbrance and that I have full right to convey the same as herein expressed.

Thore Hettmann  
Thore Hettmann

Feb 3, 2016  
Date

\_\_\_\_\_  
Mike Rothe

\_\_\_\_\_  
Date

### **ASSIGNMENT**

For valuable consideration, we, Thore Hettmann, of Franz-Leonhard-Weg 7, D-35037 Marburg, Germany, and Mike Rothe, of Elisenstr. 4, D-82152 Krailling, Germany, hereinafter ASSIGNOR, do hereby sell, assign and transfer to U3 PHARMA GMBH, a German Corporation, having its principal place of business at Fraunhoferstr. 22, D-82152 Martinsried, Germany, hereinafter ASSIGNEE, the entire right, title and interest, together with all rights of priority, in and to our invention for MATERIAL AND METHODS FOR TREATING OR PREVENTING HER-3 ASSOCIATED DISEASES, and as described and claimed in an Application for United States Letters Patent filed January 19, 2016, as Application Serial No. 15/000,005, which is a continuation of and claims priority to Application Ser. No. 13/870,796, filed on April 25, 2013, which is a divisional application of and claims priority to Application Ser. No. 12/944,764, filed on November 12, 2010, which claims priority to Provisional Application No. 61/261,149, filed on November 13, 2009. This application is also a continuation-in-part application of and claims priority to Application Ser. No. 12/657,784, filed February 4, 2009, which is a divisional application of Application Ser. No. 11/649,722, filed January 3, 2007, which claims priority to Provisional Application No. 60/755,103, filed December 30, and as described and/or claimed in any and all applications for Letters Patent claiming priority thereto or based thereon, including divisions, continuations and reissues thereof as well as all foreign counterparts thereof together with all Letters Patent issuing on any of the aforesaid applications for Letters Patent, the same to be held and enjoyed by ASSIGNEE, its successors, assigns or other legal representatives, to the full ends of the terms of all said Letters Patent therefor which may be granted.

AND I HEREBY AUTHORIZE AND EMPOWER ASSIGNEE, its successors, assigns and legal representatives or nominees, to make applications for patent or other form of protection for my invention referenced above, the benefit of the right of priority provided by the International Convention for the Protection of Industrial Property, as amended, or

by any convention which may henceforth be substituted for it, and to invoke and claim such right of priority without further written or oral authorization from me, and to receive Letters Patent for said invention in any foreign countries in its own name, or in my name, at its election.

AND I HEREBY COVENANT AND AGREE that I will execute or procure any further necessary assurance of title to said invention and any Letters Patent which may issue therefor and that I will, at any time, upon the request and at the expense of ASSIGNEE deliver any testimony in any legal proceedings and execute all papers that may be necessary or desirable to perfect the title to said invention or any Letters Patent which may be granted therefor in ASSIGNEE, its successors, assigns, or other legal representatives, and that I will, at any time, upon the request and at the expense of ASSIGNEE execute any continuations, divisions, reissues, or any other additional applications for Letters Patent for said invention or any part or parts thereof, all of which applications and any Letters Patent issuing thereon are hereby assigned to ASSIGNEE, and will make all rightful oaths, and do all lawful acts required to procure the same therein, without further compensation, but at the expense of ASSIGNEE, its successors, assigns or other legal representatives.

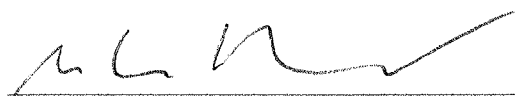
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\_\_\_\_\_  
Thore Hettmann

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Date

  
\_\_\_\_\_  
Mike Rothe

\_\_\_\_\_  
Date