## 503999139 09/09/2016

# PATENT ASSIGNMENT COVER SHEET

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SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT

#### **CONVEYING PARTY DATA**

Name	Execution Date
NINGYAN ZHANG	06/17/2016
ZHIQIANG AN	06/17/2016

#### **RECEIVING PARTY DATA**

Name:	BOARD OF REGENTS, THE UNIVERSITY OF TEXAS SYSTEM	
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State/Country:	TEXAS	
Postal Code:	78701	

#### **PROPERTY NUMBERS Total: 1**

Property Type	Number
Application Number:	14774808

#### **CORRESPONDENCE DATA**

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ATTORNEY DOCKET NUMBER:	UTSH.P0295US	
NAME OF SUBMITTER:	MARSHALL P BYRD	
SIGNATURE:	/MARSHALL P BYRD/	
DATE SIGNED:	09/09/2016	

#### **Total Attachments: 4**

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PATENT 503999139 REEL: 039691 FRAME: 0190

# **ASSIGNMENT**

For valuable consideration paid to me by the BOARD OF REGENTS OF THE UNIVERSITY OF TEXAS SYSTEM, the receipt and sufficiency of which is hereby acknowledged, we, Ningyan ZHANG and Zhiqiang AN ("ASSIGNORS"), having made an invention in HER3 SPECIFIC MONOCLONAL ANTIBODIES FOR DIAGNOSTIC AND THERAPEUTIC USE while we were in the employ either as an employee or consultant of THE UNIVERSITY OF TEXAS SYSTEM, an entity organized and existing under the laws of the State of Texas, doing business at 201 West 7<sup>th</sup> Street, Austin, Texas U.S.A., 78701, (hereinafter called "ASSIGNEE"), do hereby ASSIGN, SELL and CONVEY to the BOARD OF REGENTS OF THE UNIVERSITY OF TEXAS SYSTEM, their successors and assigns, the entire right, title and interest throughout the world in and to:

- 1. All inventions in HER3 SPECIFIC MONOCLONAL ANTIBODIES FOR DIAGNOSTIC AND THERAPEUTIC USE, University Ref. No. 2013-0024.
- 2. U.S. Provisional Application on said Invention, Application No. 61/782,770, filed on March 14, 2013, Attorney's File No. UTSH.P0295US.P1, entitled HER3 SPECIFIC MONOCLONAL ANTIBODIES FOR DIAGNOSTIC AND THERAPEUTIC USE;
- 3. Patent Cooperation Treaty international application on said Invention, Application No. PCT/US2014/025446, filed March 14, 2014, Attorney's File No. UTFH.P0295WO, entitled HER3 SPECIFIC MONOCLONAL ANTIBODIES FOR DIAGNOSTIC AND THERAPEUTIC USE,
- 4. U. S. National Phase Patent Application on said Invention, Application No. 14/774,808, filed September 11, 2015, Attorney's File No. UTSH.P0295US, entitled HER3 SPECIFIC MONOCLONAL ANTIBODIES FOR DIAGNOSTIC AND THERAPEUTIC USE,
- European National Phase Patent Application on said Invention, Application No. 14772555.0, filed September 11, 2015, Attorney's File No. UTSH.P0295EP, entitled HER3 SPECIFIC MONOCLONAL ANTIBODIES FOR DIAGNOSTIC AND

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### THERAPEUTIC USE,

- Chinese National Phase Patent Application on said Invention, Application No. 156412 1PWCN, filed September 11, 2015, Attorney's File No. UTSH.P0295CN, entitled HER3 SPECIFIC MONOCLONAL ANTIBODIES FOR DIAGNOSTIC AND THERAPEUTIC USE,
- 7. All applications for patent or like protection on said Inventions that have now been or may in the future be made by me or my legal representatives, including any continuation, continuation-in-part and any other utility applications that may be based on these Inventions, whether in the United States of America or any other place anywhere in the world;
- 8. All patents and like protection that have now been or may in the future be granted on said Inventions to me or my legal representatives, whether in the United States of America or in any other country or place anywhere in the world;
- 9. All substitutions for and divisions, continuations, continuations-inpart, renewals, reissues, extensions, and the like of said applications and patents and like grants, including without limitation, those obtained or permissible under past, present and future laws and statutes:
- 10. All rights of action on account of past, present and future unauthorized use of said Inventions and for infringement of said patents and like protection;
- 11. The right in ASSIGNEE to file in their names, jointly, applications for patents and like protection for said Inventions in any country or countries foreign to the United States; and
- 12. All international rights of priority associated with said Inventions, applications, patents and like protection; and

and I covenant that I, and my heirs, legal representatives, assigns, administrators, and executors, will, at the expense of ASSIGNEE, or their successors and assigns, execute all papers and perform such other acts as may be reasonably necessary to give ASSIGNEE, or their successors and assigns, the full benefit of this Assignment.

PATENT REEL: 039691 FRAME: 0192 EXECUTED on the date indicated below, opposite my signature.

ASSIGNO	
Date: 6-17-2016	Ju pu
Ningyan Z	HANG /
THE STATE OF TEXAS §	
8	
COUNTY OF Harris §	
v	
BEFORE ME, the undersigned authority, on this da <b>ZHANG</b> , known to me to be the person whose name is subscribe acknowledged to me that he executed the same for the puexpressed.	ed to the foregoing instrument, and
	- HI
GIVEN UNDER MY HAND AND SEAL OF OFFICE, 1	this the $\frac{7}{2}$ day of
June, 2016.	
PETER GEORGE SEFERIAN Notary ID # 126282921 My Commission Expires  Notary Pub	lic in add for

ACCICNIOD

My Commission Expires:

October 9,2019

I covenant that I, and my heirs, legal representatives, assigns, administrators, and executors, will, at the expense of ASSIGNEE, or their successors and assigns, execute all papers and perform such other acts as may be reasonably necessary to give ASSIGNEE, or their successors and assigns, the full benefit of this Assignment.

EXECUTED on the date indicated below, opposite my signature.

Date: 6-17-20 16

**ASSIGNOR** 

the State of TEXAS

Zhiqiang AN

THE STATE OF TEXAS	§
	§
COUNTY OF HANNES	§

BEFORE ME, the undersigned authority, on this day personally appeared **Zhiqiang AN**, known to me to be the person whose name is subscribed to the foregoing instrument, and acknowledged to me that he executed the same for the purposes and consideration therein expressed.

Sue\_\_\_\_\_, 2016.

PETER GEORGE SEFERIAN
Notary ID # 126282921
My Commission Expires
October 9, 2019

Notary Public in and for the State of TEXAS

My Commission Expires:

October 9, 2019