

## PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1  
 Stylesheet Version v1.2

EPAS ID: PAT4061947

<b>SUBMISSION TYPE:</b>	NEW ASSIGNMENT
<b>NATURE OF CONVEYANCE:</b>	ASSIGNMENT
<b>CONVEYING PARTY DATA</b>	
<b>Name</b>	<b>Execution Date</b>
RAVINDRA DATTATRAY AHER	09/08/2016
BOOPATHI SENTHIL KUMAR	08/14/2016
ARUMUGAM SUDALAI	08/09/2016
KOMAL GIRDHARI LALWANI	09/05/2016
<b>RECEIVING PARTY DATA</b>	
<b>Name:</b>	COUNCIL OF SCIENTIFIC AND INDUSTRIAL RESEARCH
<b>Street Address:</b>	ANUSANDHAN BHAWAN
<b>Internal Address:</b>	2 RAFI MARG
<b>City:</b>	NEW DELHI
<b>State/Country:</b>	INDIA
<b>Postal Code:</b>	110001
<b>PROPERTY NUMBERS Total: 1</b>	
<b>Property Type</b>	<b>Number</b>
<b>Application Number:</b>	15109474
<b>CORRESPONDENCE DATA</b>	
<b>Fax Number:</b>	
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
<b>Email:</b>	request@slwip.com
<b>Correspondent Name:</b>	SCHWEGMAN LUNDBERG & WOESSNER, P.A.
<b>Address Line 1:</b>	P.O. BOX 2938
<b>Address Line 4:</b>	MINNEAPOLIS, MINNESOTA 55402
<b>ATTORNEY DOCKET NUMBER:</b>	2959.156US1
<b>NAME OF SUBMITTER:</b>	KASIE A. BOSMA
<b>SIGNATURE:</b>	/Kasie A. Bosma/
<b>DATE SIGNED:</b>	09/21/2016
<b>Total Attachments: 7</b>	
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**PATENT**

**REEL: 039816 FRAME: 0863**

RECORDATION FORM COVER SHEET  
PATENTS ONLY

Atty Ref/Docket No.: 2959.156US1

Patent and Trademark Office

To the Director of the U.S. Patent and Trademark Office: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Ravindra Dattatray Aher, Boopathi Senthil Kumar,  
Arumugam Sudalai, Komal Girdhari Lalwani

Additional name(s) of conveying party(ies) attached?

☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other

2. Name and address of receiving party(ies):

Name: Council of Scientific and Industrial Research

Street Address: Anusandhan Bhawan  
2 Rafi Marg

City: New Delhi, 110001

Country: India

Additional name(s) & address(es) attached? ☐ Yes ☒ No

Execution Date: September 8, 2016, August 14, 2016,  
August 9, 2016, September 5, 2016

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

B. Patent No.(s)

Serial No. 15/109,474

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Monique M. Perdok

Address:

Schwegman Lundberg & Woessner, P.A.  
P.O. Box 2938  
Minneapolis, MN 55402--0938

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41): \$ 0.00

☐ Enclosed

☐ Authorized to be charged to deposit account 19-  
0743

8. Please charge any additional fees or credit any over payments to our Deposit Account No.: 19-0743

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Monique M. Perdok/Reg. No. 42,989

Name of Person Signing

Monique M. Perdok

Signature

September 20, 2016

Date

Total number of pages including cover sheet: 7

Mail documents to be recorded with required cover sheet information to:

Commissioner of Patents and Trademarks  
Mail Stop Assignment Recordation Services  
P.O. Box 1450  
Alexandria, VA 22313-1450

PATENT  
REEL: 039816 FRAME: 0864

### ASSIGNMENT

WHEREAS, Ravindra Dattatray Aher, Boopathi Senthil Kumar, Arumugam Sudalai and Komal Girdhari Lalwani (hereinafter the "Undersigned") have made one or more inventions and other subject matter (hereinafter collectively referred to as the "Invention") which are described in a U.S. patent application filed on July 1, 2016, which application was assigned U.S. patent application number 15/109,474, and which is titled NOVEL SUBSTITUTED 5 MEMBERED HETEROCYCLIC COMPOUNDS AND PREPARATION THEREOF; and which are described in an international patent application filed on January 5, 2015, which application was assigned PCT application number PCT/IN2015/000004, and which is titled NOVEL SUBSTITUTED 5 MEMBERED HETEROCYCLIC COMPOUNDS AND PREPARATION THEREOF; and which are described in an Indian patent application filed on February 14, 2014, which application was assigned Indian application number 0420/DEL/2014, and which is titled NOVEL SUBSTITUTED 5 MEMBERED HETEROCYCLIC COMPOUNDS AND PREPARATION THEREOF; and which are described in an Indian patent application filed on January 21, 2014, which application was assigned Indian application number 0182/DEL/2014, and which is titled NOVEL SUBSTITUTED 5 MEMBERED HETEROCYCLIC COMPOUNDS AND PREPARATION THEREOF; and which are described in an Indian patent application filed on January 3, 2014, which application was assigned Indian application number 0014/DEL/2014, and which is titled NOVEL SUBSTITUTED 5 MEMBERED HETEROCYCLIC COMPOUNDS AND PREPARATION THEREOF.

FOR GOOD AND VALUABLE CONSIDERATION, the receipt, sufficiency, and adequacy of which are hereby acknowledged by the Undersigned, the Undersigned do hereby irrevocably and unconditionally:

CONVEY, ASSIGN, AND TRANSFER to Council of Scientific and Industrial Research (the "Assignee"), a company organized and existing under the laws of India, having a place of business at Anusandhan Bhawan, 2 Rafi Marg, New Delhi, 110001, India, the Undersigned's entire right, title, and interest for the United States and all foreign countries and jurisdictions in and to:

the Invention which is disclosed in the above-identified application or applications;

such application or applications, and all divisional, continuing (including continuation-in-part), substitute, renewal, reissue, and all other applications for a patent or patents which have been or shall be filed in the United States (including all provisional and non-provisional applications), and in all foreign countries and jurisdictions based in whole or in part on any of such Invention (including any application for a utility model or an innovation patent application);

all original and reissued patents which have been or shall be issued in the United States and all foreign countries and jurisdictions based in whole or in part on any of such Invention;

including the right to claim priority to the above-identified patent application or applications in relation to subject matter based in whole or in part on the above-identified patent application or applications and any of the foregoing including the right to file foreign applications under the provisions of any convention or treaty;

**Assignment**

Assignors: Ravindra Dattatray Aher et al.

Title: NOVEL SUBSTITUTED 5 MEMBERED HETEROCYCLIC COMPOUNDS AND PREPARATION THEREOF

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Docket No: 2959,156US1  
Client Ref. No. PD015083US-NP

and including the right to all causes of action, remedies, and other enforcement rights related to the above-identified application or applications, including without limitation the right to sue for past, present, or future infringement, misappropriation, or violation of any and all rights related to the above-identified patent application or applications and any of the foregoing, including the right to obtain and collect damages for past, present, or future infringement;

AUTHORIZE AND REQUEST the issuing authority to issue any and all United States and foreign patents granted on such Invention to the Assignee;

AUTHORIZE AND REQUEST that any attorney associated with U.S. Patent and Trademark Office (USPTO) Customer No. 21186 may (directly or through his/her designee) delete, insert, or alter any information related to the above-identified patent application or applications or any of the foregoing, after execution of this Assignment;

WARRANT AND COVENANT that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been or shall be made to others by the Undersigned, and that the full right to convey the same as herein expressed is possessed by the Undersigned;

COVENANT, that when requested and without compensation, but at the expense of the Assignee, in order to carry out in good faith the intent and purpose of this Assignment, the Undersigned shall (1) execute all provisional, non-provisional, divisional, continuing (including continuation-in-part), substitute, renewal, reissue, and all other patent applications for the Invention; (2) execute all rightful oaths, declarations, assignments, powers of attorney and other papers for the Invention; (3) communicate to the Assignee all facts known to the Undersigned relating to the Invention and the history thereof; (4) cooperate with the Assignee in any interference, reexamination, reissue, opposition, dispute, or litigation involving any of the applications or patents for the Invention; and (5) take such further actions as the Assignee shall reasonably consider necessary or desirable for vesting title to such Invention in the Assignee, or for securing, maintaining and enforcing proper patent protection for the Invention;

COVENANT, that should any provision of this agreement be held unenforceable by an authority of competent jurisdiction, such a ruling shall not affect the validity and enforceability of the remaining provisions. To the extent that any such provision is found to be unenforceable, the Undersigned, when requested and without compensation shall act in good faith to substitute for such provision a new provision with content and purpose as close as possible to the provision deemed unenforceable.

THIS AGREEMENT IS TO BE BINDING on the heirs, assigns, representatives, and successors of the Undersigned, and is to extend to the benefit of the successors, assigns, and nominees of the Assignee.

AGREED as of the date of my signature below:

Assignment

Assignor: Ravindra Dattatray Aher et al.

Docket No: 2009156151


Chem Ref. No. PD015083US-NP

Title: NOVEL SUBSTITUTED 5 MEMBERED HETEROCYCLIC COMPOUNDS AND PREPARATION THEREOF

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Assignor:

(Signature):



Name: Ravindra Dattatray Aher

City/State: Pune, India

Date:

8-09-2016

Assignment

Assignors: Ravindra Dattatray Aher et al.

Docket No: 20091160US1

Chem Ref. No. PD015083US-NP

Title: NOVEL SUBSTITUTED 5 MEMBERED HETEROCYCLIC COMPOUNDS AND PREPARATION THEREOF

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Assignor:

(Signature):



Name: Boopathi Senthil Kumar

City/State: Pune, India

Date:

Aug 14, 2016

Assignment

Assignors: Ravindra Dattatray Aher et al

Title: NOVEL SUBSTITUTED 5 MEMBERED HETEROCYCLIC COMPOUNDS AND PREPARATION THEREOF

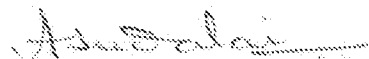
Page 5 of 6

Docket No: 2959.136US1

Client Ref. No: PD01508308-NP

Assignor:

(Signature):



Name: Arumugam Sudalai

City/State: Pune, India

Date:

08.09.2016



Assignment

Assignors: Ravindra Dattatray Aher et al

Title: NOVEL SUBSTITUTED 5 MEMBERED HETEROCYCLIC COMPOUNDS AND PREPARATION THEREOF

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Docket No: 2959.1561/01

Client Ref. No: PD013683US-0P

Assignor:

(Signature):

Kalwani K G

Name: Komal Girdhari Lalwani

City/State: Pune, India

Date:

05/09/2016