504016272 09/21/2016

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2 EPAS ID: PAT4062930

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT

CONVEYING PARTY DATA

Name	Execution Date
RIKKY MULLER	09/21/2016

RECEIVING PARTY DATA

Name:	THE REGENTS OF THE UNIVERSITY OF CALIFORNIA
Street Address:	1111 FRANKLIN STREET
Internal Address:	12TH FL.
City:	OAKLAND
State/Country:	CALIFORNIA
Postal Code:	94607-5200

PROPERTY NUMBERS Total: 1

Property Type	Number
Application Number:	15044965

CORRESPONDENCE DATA

Fax Number: (916)498-1074

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent

using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

Phone: 916-498-1010

Email: sek@intellectual.com

Correspondent Name: STEVEN E KENNEDY

Address Line 1: 400 CAPITOL MALL

Address Line 2: SUITE 1550

Address Line 4: SACRAMENTO, CALIFORNIA 95814

ATTORNEY DOCKET NUMBER:	BK-2013-050-2-US
NAME OF SUBMITTER:	STEVEN E. KENNEDY
SIGNATURE:	/STEVEN E. KENNEDY/
DATE SIGNED:	09/21/2016

Total Attachments: 2

source=BK_2013_050_2_US_EPO_executed_assignment#page1.tif source=BK_2013_050_2_US_EPO_executed_assignment#page2.tif

PATENT 504016272 REEL: 039821 FRAME: 0153

ASSIGNMENT

U.C. Case Nos. BK-2013-050-2-FP, BK-2013-050-2-US, BK-2013-050-2-EP

For good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNOR,

RIKKY MULLER

hereby sells, assigns and transfers to ASSIGNEES, The Regents of the University of California, a California Corporation, having its statewide administrative offices located at 1111 Franklin Street, 12th Fl., Oakland, CA 94607-5200, and Cortera Neurotechnologies, Inc. located at 2150 Shattuck Avenue, Penthouse, Berkeley, California 94704 and the successors, assigns and legal representatives of the ASSIGNEES all of her right, title and interest for the United States and its territorial possessions and in all foreign countries in and to, any and all improvements which are disclosed in the UCB Case Nos. BK-2013-050-2-FP, BK-2013-050-2-US and BK-2013-050-2-EP entitled:

SYSTEMS AND METHODS FOR ELECTROCORTICOGRAPHY SIGNAL ACQUISITION

and wh	ich is found in
(a)	U.S. provisional application filed herewith and listing the above named persons as inventors
(b)	_U.S. patent application filed herewith and listing the above named persons as inventors
(c) <u>X</u>	U.S. application serial no. 15/044,965, filed on February 16, 2016.
(d) <u>X</u>	EPO application No.: 14837296.4, filed on February 17, 2016.
(e) <u>X</u>	_International Patent Application Serial No. PCT/US2014/051959, filed on August 20, 2013.

and any legal equivalent thereof in a foreign country, including the right to claim priority and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, continuation-in-part, extension, conversion to 35 USC 111(a) or substitute thereof, and any reissue, reexamination or extension of said Letters Patent and all rights under all International Conventions for the Protection of Industrial Property;

ASSIGNOR hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment;

ASSIGNOR further covenants that ASSIGNEES will, upon their request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation, or proceeding relating thereto and will promptly execute and deliver to ASSIGNEES or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue or enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof. An attorney of record is authorized and requested by the execution of this assignment to insert into this assignment the filing date and serial number of said application when officially known.

AND the <u>ASSIGNOR</u> requests the Commissioner of Patents and Trademarks to issue said Letters Patent of the United States, and other countries and any reissue or extension thereof to the ASSIGNEES, The Regents of the University of California and Cortera Neurotechnologies, Inc.

PATENT REEL: 039821 FRAME: 0154 Executed this:

21 day of September, 2016

Signature of Inventor(s)

RIKKY MULLER

Hereby accepted on behalf of the Assignee:

Bv:

Date: September 21, 2016

Name: Steven Kennedy

O'BANION & RITCHEY LLP

PATENT REEL: 039821 FRAME: 0155

RECORDED: 09/21/2016