

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
 Stylesheet Version v1.2

EPAS ID: PAT4072925

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
DAVID PETER BULLIVANT	08/29/2016
PAUL BURTON ROBERTSON	08/25/2016
MARK ANDREW SAGAR	08/29/2016
RECEIVING PARTY DATA	
Name:	AUCKLAND UNISERVICES LIMITED
Street Address:	LEVEL 10, 70 SYMONDS STREET
City:	AUCKLAND, 1010
State/Country:	NEW ZEALAND
PROPERTY NUMBERS Total: 1	
Property Type	Number
Application Number:	14909570
CORRESPONDENCE DATA	
Fax Number:	
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
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Correspondent Name:	SCHWEGMAN LUNDBERG & WOESSNER, P.A.
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Address Line 4:	MINNEAPOLIS, MINNESOTA 55402
ATTORNEY DOCKET NUMBER:	4050.023US1
NAME OF SUBMITTER:	KIMBERLIE PATES
SIGNATURE:	/Kimberlie Pates/
DATE SIGNED:	09/28/2016
Total Attachments: 6	
source=4050023US1_ASSN#page1.tif	
source=4050023US1_ASSN#page2.tif	
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RECORDATION FORM COVER SHEET
PATENTS ONLY

Atty Ref/Docket No.: 4050.023US1

Patent and Trademark Office

To the Director of the U.S. Patent and Trademark Office: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

David Peter Bullivant, Paul Burton Robertson, Mark Andrew Sagar

Additional name(s) of conveying party(ies) attached?

Yes No

3. Nature of conveyance:

Assignment Merger
 Security Agreement Change of Name
 Other

Execution Date: August 29, 2016, August 25, 2016, August 29, 2016

2. Name and address of receiving party(ies):

Name: Auckland UniServices Limited

Street Address: Level 10, 70 Symonds Street

City: Auckland, 1010

Country: New Zealand

Additional name(s) & address(es) attached? Yes No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

B. Patent No.(s)

Serial No. 14/909,570

Additional numbers attached? Yes No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: James D. Hallenbeck

Address:

Schwegman Lundberg & Woessner, P.A.
P.O. Box 2938
Minneapolis, MN 55402--0938

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41): \$ 0.00

Enclosed

Authorized to be charged to deposit account 19-0743

8. Please charge any additional fees or credit any over payments to our Deposit Account No.: 19-0743

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

James D. Hallenbeck/Reg. No. 63,561

Name of Person Signing

James D. Hallenbeck / 9/23/2016

Signature

Date

Total number of pages including cover sheet: 6

Mail documents to be recorded with required cover sheet information to:

Commissioner of Patents and Trademarks
Mail Stop Assignment Recordation Services
P.O. Box 1450
Alexandria, VA 22313-1450

PATENT
REEL: 039876 FRAME: 0354

ASSIGNMENT

WHEREAS, David Peter Bullivant, Paul Burton Robertson and Mark Andrew Sagar (hereinafter the "Undersigned") have made one or more inventions and other subject matter (hereinafter collectively referred to as the "Invention") which are described in a patent application filed on February 2, 2016, which application was assigned US patent application serial number 14/909,570, and which is titled SYSTEM FOR NEUROBEHAVIOURAL ANIMATION; which are described in a patent application filed on August 4, 2014, which application was assigned PCT application serial number PCT/NZ2014/000156, and which is titled SYSTEM FOR NEUROBEHAVIOURAL ANIMATION; which are described in a patent application filed on May 30, 2014, which application was assigned US application serial number 62/005,195, and which is titled SYSTEM FOR NEUROBEHAVIOURAL ANIMATION; which are described in a patent application filed on August 2, 2013, which application was assigned NZ application serial number 613938, and which is titled SYSTEM FOR NEUROBEHAVIOURAL ANIMATION.

FOR GOOD AND VALUABLE CONSIDERATION, the receipt, sufficiency, and adequacy of which are hereby acknowledged by the Undersigned, the Undersigned do hereby irrevocably and unconditionally:

CONVEY, ASSIGN, AND TRANSFER to Auckland UniServices Limited (the "Assignee"), having a place of business at Level 10, 70 Symonds Street, Auckland 1010, New Zealand, the Undersigned's entire right, title, and interest for the United States and all foreign countries and jurisdictions in and to:

the Invention which is disclosed in the above-identified application or applications;

such application or applications, and all divisional, continuing (including continuation-in-part), substitute, renewal, reissue, and all other applications for a patent or patents which have been or shall be filed in the United States (including all provisional and non-provisional applications), and in all foreign countries and jurisdictions based in whole or in part on any of such Invention (including any application for a utility model or an innovation patent application);

all original and reissued patents which have been or shall be issued in the United States and all foreign countries and jurisdictions based in whole or in part on any of such Invention;

including the right to claim priority to the above-identified patent application or applications in relation to subject matter based in whole or in part on the above-identified patent application or applications and any of the foregoing including the right to file foreign applications under the provisions of any convention or treaty;

and including the right to all causes of action, remedies, and other enforcement rights related to the above-identified application or applications, including without limitation the right to sue for past, present, or future infringement, misappropriation, or violation of any and all rights related to the above-identified patent application or applications and any of the foregoing, including the right to obtain and collect damages for past, present, or future infringement;

AUTHORIZE AND REQUEST the issuing authority to issue any and all United States and foreign patents granted on such Invention to the Assignee;

AUTHORIZE AND REQUEST that any attorney associated with U.S. Patent and Trademark Office (USPTO) Customer No. 21186 may (directly or through his/her designee) delete, insert, or alter any information related to the above-identified patent application or applications or any of the foregoing, after execution of this Assignment;

WARRANT AND COVENANT that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been or shall be made to others by the Undersigned, and that the full right to convey the same as herein expressed is possessed by the Undersigned;

COVENANT, that when requested and without compensation, but at the expense of the Assignee, in order to carry out in good faith the intent and purpose of this Assignment, the Undersigned shall (1) execute all provisional, non-provisional, divisional, continuing (including continuation-in-part), substitute, renewal, reissue, and all other patent applications for the Invention; (2) execute all rightful oaths, declarations, assignments, powers of attorney and other papers for the Invention; (3) communicate to the Assignee all facts known to the Undersigned relating to the Invention and the history thereof; (4) cooperate with the Assignee in any interference, reexamination, reissue, opposition, dispute, or litigation involving any of the applications or patents for the Invention; and (5) take such further actions as the Assignee shall reasonably consider necessary or desirable for vesting title to such Invention in the Assignee, or for securing, maintaining and enforcing proper patent protection for the Invention;

COVENANT, that should any provision of this agreement be held unenforceable by an authority of competent jurisdiction, such a ruling shall not affect the validity and enforceability of the remaining provisions. To the extent that any such provision is found to be unenforceable, the Undersigned, when requested and without compensation shall act in good faith to substitute for such provision a new provision with content and purpose as close as possible to the provision deemed unenforceable.

THIS AGREEMENT IS TO BE BINDING on the heirs, assigns, representatives, and successors of the Undersigned, and is to extend to the benefit of the successors, assigns, and nominees of the Assignee.

AGREED as of the date of my signature below:

Assignment
Assignors: David Peter Bullivant et al.
Title: SYSTEM FOR NEUROBEHAVIOURAL ANIMATION
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Docket No: 4050.023US1
Client Ref. No. WEJ 510632USPR

Assignor:

(Signature):

Paul Robertson

Name: Paul Burton Robertson

City/State: Epsom, Auckland

Date:

25/08/2016

Assignment
Assignors: David Peter Bullivant et al.
Title: SYSTEM FOR NEUROBEHAVIOURAL ANIMATION
Page 5 of 5

Docket No: 4050.023US1
Client Ref. No. WEI 510632USPR

Assignor:

(Signature):



Name: Mark Andrew Sagar

City/State: Devonport, Auckland

Date:

29/8/16