

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
 Stylesheet Version v1.2

EPAS ID: PAT4075455

SUBMISSION TYPE:	NEW ASSIGNMENT	
NATURE OF CONVEYANCE:	ASSIGNMENT	
CONVEYING PARTY DATA		
	Name	Execution Date
	KEVIN ROBIN	04/17/2008
	DO D. UONG	04/17/2008
RECEIVING PARTY DATA		
Name:	DIRECT FLOW MEDICAL, INC.	
Street Address:	451 AVIATION BOULEVARD	
Internal Address:	SUITE 107A	
City:	SANTA ROSA	
State/Country:	CALIFORNIA	
Postal Code:	95403	
PROPERTY NUMBERS Total: 1		
Property Type	Number	
Application Number:	13045468	
CORRESPONDENCE DATA		
Fax Number:	(949)760-9502	
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>		
Phone:	949-760-0404	
Email:	efiling@knobbe.com	
Correspondent Name:	KNOBBE, MARTENS, OLSON & BEAR, LLP	
Address Line 1:	2040 MAIN STREET	
Address Line 2:	14TH FLOOR	
Address Line 4:	IRVINE, CALIFORNIA 92614	
ATTORNEY DOCKET NUMBER:	DFMED.023C1	
NAME OF SUBMITTER:	AGNES JUANG	
SIGNATURE:	/Agnes Juang/	
DATE SIGNED:	09/29/2016	
Total Attachments: 5		
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Application No.: 11/875,768
Filing Date: October 19, 2007

PATENT
Client Code: DFMED.023A
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ASSIGNMENT

WHEREAS, We, Kevin Robin, a United States citizen, residing at 655 Enterprise Drive, #218, Rohnert Park, CA 94928, and Do D. Uong, a United States citizen, residing at 2149 Waltzer Road, Santa Rosa, CA 95403, have invented certain new and useful improvements in a PROFILE REDUCTION OF VALVE IMPLANT for which we have filed an application for Letters Patent in the United States, Application No. 11/875,768, filed on October 19, 2007;

AND WHEREAS, Direct Flow Medical, Inc. (hereinafter "ASSIGNEE"), a Delaware Corporation, with its principal place of business at 451 Aviation Boulevard, Suite 107A, Santa Rosa, CA 95403, desires to acquire the entire right, title, and interest in and to said improvements and said Application:

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00) to me in hand paid, and other good and valuable consideration, the receipt of which is hereby acknowledged, I, said inventor, do hereby acknowledge that I have sold, assigned, transferred and set over, and by these presents do hereby sell, assign, transfer and set over, unto said ASSIGNEE, its successors, legal representatives and assigns, the entire right, title, and interest throughout the world in, to and under said improvements, and said application including all provisional applications relating thereto (including but not limited to U.S. Provisional Application No(s). 60/862,201, filed October 19, 2006 (respectively if plural applications)), and all divisions, renewals and continuations thereof, and all Letters Patent of the United States which may be granted thereon and all reissues and extensions thereof, and all rights of priority under International Conventions and applications for Letters Patent which may hereafter be filed for said improvements in any country or countries foreign to the United States, and all Letters Patent which may be granted for said improvements in any country or countries foreign to the United States and all extensions, renewals and reissues thereof; and I hereby authorize and request the Commissioner of Patents of the United States, and any Official of any country or countries foreign to the United States, whose duty it is to issue patents on applications as aforesaid, to issue all Letters Patent for said improvements to said ASSIGNEE, its successors, legal representatives and assigns, in accordance with the terms of this instrument.

AND WE DO HEREBY sell, assign, transfer, and convey to ASSIGNEE, his successors, legal representatives, and assigns all claims for damages and all remedies arising out of any violation of the rights assigned hereby that may have accrued prior to the date of assignment to ASSIGNEE, or may accrue hereafter, including, but not limited to, the right to sue for, collect, and retain damages for past infringements of said Letters Patent before or after issuance.

AND WE HEREBY covenant and agree that we will communicate to said ASSIGNEE, its successors, legal representatives and assigns, any facts known to us respecting said improvements, and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing and reissue applications, make all rightful oaths and generally do everything possible to aid said ASSIGNEE, its successors, legal representatives and assigns, to obtain and enforce proper patent protection for said improvements in all countries.

Application No.: 11/875,768
Filing Date: October 19, 2007

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IN TESTIMONY WHEREOF, I hereunto set my hand and seal this ____ day of
_____, 20__.

Kevin Robin

STATE OF _____ }
COUNTY OF _____ } ss.

On _____, before me, _____, notary public,
personally appeared Kevin Robin personally known to me (or proved to me on the basis of
satisfactory evidence) to be the person(s) whose name(s) is/are subscribed to the within
instrument, and acknowledged to me that he executed the same in his authorized capacity(ies),
and that by his signature(s) on the instrument the person(s), or the entity upon behalf of which
the person(s) acted, executed the instrument.

WITNESS my hand and official seal.

[SEAL]

Notary Signature

CALIFORNIA ALL-PURPOSE ACKNOWLEDGMENT

State of California

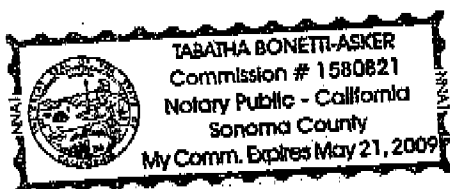
County of SONOMAOn APRIL 17, 2008 before me, TABATHA BONETTI-ASKER, Notary Public

Date

Here Insert Name and Title of the Officer

personally appeared -KEVIN ROBIN-

Name(s) of Signer(s)



who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

Signature

Signature of Notary Public

Place Notary Seal Above

OPTIONAL

Though the information below is not required by law, it may prove valuable to persons relying on the document and could prevent fraudulent removal and reattachment of this form to another document.

Description of Attached DocumentTitle or Type of Document: DECLARATION - USA PATENT APPLICATIONDocument Date: OCTOBER 19, 2007 Number of Pages: 4Signer(s) Other Than Named Above: -RANDY LASHINSKI & DO B. WOOD-**Capacity(ies) Claimed by Signer(s)**Signer's Name: -KEVIN ROBIN-☒ Individual☐ Corporate Officer — Title(s): _____☐ Partner — ☐ Limited ☐ General☐ Attorney in Fact☐ Trustee☐ Guardian or Conservator☐ Other: _____Signer Is Representing: SELFRIGHT THUMBPRINT
OF SIGNER

Top of thumb here

Signer's Name: _____

☐ Individual☐ Corporate Officer — Title(s): _____☐ Partner — ☐ Limited ☐ General☐ Attorney in Fact☐ Trustee☐ Guardian or Conservator☐ Other: _____

Signer Is Representing: _____

RIGHT THUMBPRINT
OF SIGNER

Top of thumb here

Application No.: 11/875,768
Filing Date: October 19, 2007

PATENT
Client Code: DFMED.023A
Page 3

IN TESTIMONY WHEREOF, I hereunto set my hand and seal this ____ day of
_____, 20__.

Do D. Uong

STATE OF
COUNTY OF

} ss.

On _____, before me, _____, notary public,
personally appeared Do D. Uong personally known to me (or proved to me on the basis of
satisfactory evidence) to be the person(s) whose name(s) is/are subscribed to the within
instrument, and acknowledged to me that he executed the same in his authorized capacity(ies),
and that by his signature(s) on the instrument the person(s), or the entity upon behalf of which
the person(s) acted, executed the instrument.

WITNESS my hand and official seal.

[SEAL]

Notary Signature

4537277
111407

CALIFORNIA ALL-PURPOSE ACKNOWLEDGMENT

State of California

County of SONOMAOn APRIL 17, 2008 before me,

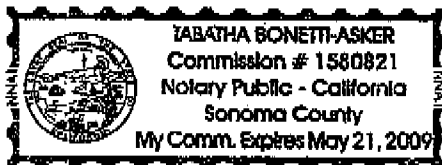
Date

TABATHA BONETTI-ASKER, Notary Public

Here Insert Name and Title of the Officer

personally appeared DO D. WONG

Name(s) of Signer(s)



who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

Signature

Signature of Notary Public

Place Notary Seal Above

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OF SIGNER

Top of thumb here

Signer's Name: _____

☐ Individual☐ Corporate Officer — Title(s): _____☐ Partner — ☐ Limited ☐ General☐ Attorney in Fact☐ Trustee☐ Guardian or Conservator☐ Other: _____

Signer Is Representing: _____

RIGHT THUMBPRINT
OF SIGNER

Top of thumb here

PATENT**RECORDED: 09/29/2016****REEL: 039895 FRAME: 0193**