# 504028819 09/29/2016

## PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2 EPAS ID: PAT4075478

SUBMISSION TYPE:	NEW ASSIGNMENT			
NATURE OF CONVEYANCE:	ASSIGNMENT			
CONVEYING PARTY DATA				
	Name	Execution Date		
MATHIAS KURT HERRLEIN		04/25/2016		
TATJANA SCHAEFER		04/25/2016		
ANDREAS FLOHR		04/25/2016		
MARIANNA FORGIONE		04/26/2016		
MATIJA CRNE		04/25/2016		
YONAS GIZAW		05/20/2016		
URSULA CHRISTINA GLASER		04/25/2016		
WACKER CHEMIE AG		06/01/2016		
FRANZ XAVER WIMMER		05/17/2016		
TASSILO HABEREDER		05/17/2016		

### **RECEIVING PARTY DATA**

Name:	The Procter & Gamble Company	
Street Address:	Attention: Chief Patent Counsel	
Internal Address:	One Procter & Gamble Plaza	
City:	Cincinnati	
State/Country:	OHIO	
Postal Code:	45202	

#### **PROPERTY NUMBERS Total: 1**

Property Type	Number
Application Number:	15042545

#### CORRESPONDENCE DATA

Fax Number:	(513)277-2573			
Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.				
Phone:	513-983-2549			
Email:	durr.pf@pg.com			
Correspondent Name:	THE PROCTER & GAMBLE COMPANY			
Address Line 1:	ONE P&G PLAZA			
Address Line 2:	CENTRAL DOCKETING - C9			
Address Line 4:	CINCINNATI, OHIO 45202			

ATTORNEY DOCKET NUMBER:	CM4173MQ	
NAME OF SUBMITTER:	JAMES T. FONDRIEST	
SIGNATURE:	/James T. Fondriest/	
DATE SIGNED:	09/29/2016	
Total Attachments: 12		
source=CM4173FMQASGN#page1.tif		
source=CM4173FMQASGN#page2.tif		
source=CM4173FMQASGN#page3.tif		
source=CM4173FMQASGN#page4.tif		
source=CM4173FMQASGN#page5.tif		
source=CM4173FMQASGN#page6.tif		
source=CM4173FMQASGN#page7.tif		
source=CM4173FMQASGN#page8.tif		
source=CM4173FMQASGN#page9.tif		
source=CM4173FMQASGN#page10.tif		
source=CM4173FMQASGN#page11.tif		
source=CM4173FMQASGN#page12.tif		

× ...

.....

WHEREAS I/we, the undersigned inventor(s) herewith declare that I am the sole inventor/we are the joint inventors and I/we have made certain invention(s), as set forth in a patent application (including industrial designs and utility models), whether claimed or unclaimed, entitled COMPOSITION FOR FORMING A FILM ON KERATIN FIBRES, Attorney's Docket No. CM04173FMQ and filed in the European Patent Office as Number 16155400.1, on February 12, 2016. (I/we grant the hereinafter named assignee and/or any person authorized thereby, the power to insert the Serial Number and filing date of said application in this document when ascertained.)

Mathias Kurt HERRLEIN of Procter & Gamble Service GmbH, Sulzbacher Str. 40, 65824 Schwalbach am Taunus, DE;

Tatjana NMN SCHAEPER of Procter & Gamble Service GmbH, Sulzbacher Str. 40, 65824 Schwalbach am Taunus, DE;

Andreas NMN FLOHR of Procter & Gamble Service GmbH, Sulzbacher Str. 40, 65824 Schwalbach am Taunus, DE;

Marianna NMN FORGIONE of Procter & Gamble Service GmbH, Sulzbacher Str. 40, 65824 Schwalbach am Taunus, DE;

Matija NMN CRNE of Procter & Gamble Service GmbH, Sulzbacher Str. 40, 65824 Schwalbach am Taunus, DE;

Yonas NMN GIZAW of The Procter & Gamble Company, One Procter & Gamble Plaza, Cincinnati, Ohio 45202, US;

Ursula Christina GLASER of Procter & Gamble Service GmbH, Sulzbacher Str. 40, 65824 Schwalbach am Taunus, DE.

I/We made said invention(s) set forth in said patent application while employed by, or otherwise under an obligation to assign said invention(s) to The Procter & Gamble Company or one of its Affiliates, and acknowledge my/our obligation at the time the invention(s) was made to assign said invention(s) to The Procter & Gamble Company or the Affiliate, respectively. Pursuant to said obligation (and in the case of employment by or obligation to the Affiliate, at the request of the Affiliate), and/or for valuable consideration, the receipt and sufficiency of which are hereby acknowledged, I/we assign to The Procter & Gamble Company, its legal representatives, successors and assigns, all right, title, and interest, in the United States and throughout the world, in, to and under said invention(s) and all patents, patent applications, patent rights, and inventor's certificates thereof, therefore, and therein, including without limitation said application, all divisions and continuations thereof, all patents which may be granted thereon, all reissues and extensions thereof, all patents which may be granted for said invention(s) by states or nations other than the United States, or by other authority, entity, or organization, and all applications therefore (including the right to claim priority of the filing date of said application in the United States and/or under international conventions), as fully and completely as the same would have been held by me/us had this assignment not been made. The entire right, title and interest shall vest irrevocably in The Procter & Gamble Company. I/We further agree upon request, without additional compensation but at no expense to me/us, to execute or assent to any and all applications for said invention(s), and to execute all other legal documents as may be necessary or desirable to vest the enjoyment of the rights assigned to The Procter & Gamble Company, its legal representatives, successors and assigns, or as said Company may direct.

I/We request and authorize the Commissioner of Patents and Trademarks and/or appropriately empowered officials of foreign countries to issue any and all Patent(s) and/or letters patent(s) which may be granted for said invention(s) herein assigned and as set forth in U. S. Application Serial Number 15/042,545 to The Procter & Gamble Company, its legal representatives, successors or assigns, as the sole owner of the entire right, title, and interest in said Patent(s).

This GLOBAL GENERAL ASSIGNMENT is effective as of the earlier of 1) the date of execution shown below, or 2) the filing date of the first-filed of said patent application(s).

Signed: Mathias Kurt HERRLEIN

Date

STATEMENT OF WITNESS:

I, \_\_\_\_\_\_\_\_\_(name of witness), declare that I was personally present and did see Mathias Kurt HERRLEIN duly sign and execute the above assignment. I make this Statement conscientiously believing it to be true and knowing that it is of the same force and effect as if made under oath or affirmation, and with the knowledge that knowingly making false statements herein may be punishable by law.

Witness printed name

Witness signature

Signed: Tatjana SCHAEFER

Date

.....

STATEMENT OF WITNESS:

I, \_\_\_\_\_\_\_\_(name of witness), declare that I was personally present and did see Tatjana SCHAEFER duly sign and execute the above assignment. I make this Statement conscientiously believing it to be true and knowing that it is of the same force and effect as if made under oath or affirmation, and with the knowledge that knowingly making false statements herein may be punishable by law.

Witness printed name

Witness signature

Signed: Andreas FLOHR

Date

STATEMENT OF WITNESS:

I. \_\_\_\_\_\_\_\_ (name of witness), declare that I was personally present and did see Andreas FLOHR duly sign and execute the above assignment. I make this Statement conscientiously believing it to be true and knowing that it is of the same force and effect as if made under oath or affirmation, and with the knowledge that knowingly making false statements herein may be punishable by law.

Witness printed name

Witness signature

Signed: Maríanna FORGIONE

STATEMENT OF WITNESS:

I. \_\_\_\_\_\_\_\_ (name of witness), declare that I was personally present and did see Marianna FORGIONE duly sign and execute the above assignment. I make this Statement conscientiously believing it to be true and knowing that it is of the same force and effect as if made under oath or affirmation, and with the knowledge that knowingly making false statements herein may be punishable by law.

Witness printed name

Witness signature

Signed: Matija CRNE

Date

.....

STATEMENT OF WITNESS:

I. \_\_\_\_\_\_\_\_ (name of witness), declare that I was personally present and did see Matija CRNE duly sign and execute the above assignment. I make this Statement conscientiously believing it to be true and knowing that it is of the same force and effect as if made under oath or affirmation, and with the knowledge that knowingly making false statements herein may be punishable by law,

Witness printed name

Witness signature

Date

Attorney Docket Number CM04173FMQ Page 4 of 4

Signe Yonas GI2

STATEMENT OF WITNESS:

I,  $\underline{P_{ay} \, J_{e_{comm}}}_{b_{comm}}$  (name of witness), declare that I was personally present and did see Yonas GIZAW duly sign and execute the above assignment. I make this Statement conscientiously believing it to be true and knowing that it is of the same force and effect as if made under oath or affirmation, and with the knowledge that knowingly making false statements herein may be punishable by law.

<u>Ray Jerowe Narrington</u> Witness printed name

Signed: Ursula Christina GLASER

Date

STATEMENT OF WITNESS:

I, \_\_\_\_\_\_\_(name of witness), declare that I was personally present and did see Ursula Christina GLASER duly sign and execute the above assignment. I make this Statement conscientiously believing it to be true and knowing that it is of the same force and effect as if made under oath or affirmation, and with the knowledge that knowingly making false statements herein may be punishable by law.

Witness printed name

Witness signature

#### GLOBAL GENERAL ASSIGNMENT

WHEREAS I/we, the undersigned inventor(s) herewith declare that I am the sole inventor/we are the joint inventors and I/we have made certain invention(s), as set forth in a patent application (including industrial designs and utility models), whether claimed or unclaimed, entitled COMPOSITION FOR FORMING A FILM ON KERATIN FIBRES, Attorney's Docket No. CM04173FMQ and filed in the European Patent Office as Number 16155400.1, on February 12, 2016. (I/we grant the hereinafter named assignee and/or any person authorized thereby, the power to insert the Serial Number and filing date of said application in this document when ascertained.)

Mathias Kurt HERRLEIN of Procter & Gamble Service GmbH, Sulzbacher Str. 40, 65824 Schwalbach am Taunus, DE;

Tatjana NMN SCHAEFER of Procter & Gamble Service GmbH, Sulzbacher Str. 40, 65824 Schwalbach am Taunus, DE;

Andreas NMN FLOHR of Procter & Gamble Service GmbH, Sulzbacher Str. 40, 65824 Schwalbach am Taunus, DE;

Marianna NMN FORGIONE of Procter & Gamble Service GmbH, Sulzbacher Str. 40, 65824 Schwalbach am Taunus, DE;

Matija NMN CRNE of Procter & Gamble Service GmbH, Sulzbacher Str. 40, 65824 Schwalbach am Taunus, DE;

Yonas NMN GIZAW of The Procter & Gamble Company, One Procter & Gamble Plaza, Cincinnati, Ohio 45202, US;

Ursula Christina GLASER of Procter & Gamble Service GmbH, Sulzbacher Str. 40, 65824 Schwalbach am Taunus, DE.

I/We made said invention(s) set forth in said patent application while employed by, or otherwise under an obligation to assign said invention(s) to The Procter & Gamble Company or one of its Affiliates, and acknowledge my/our obligation at the time the invention(s) was made to assign said invention(s) to The Procter & Gamble Company or the Affiliate, respectively. Pursuant to said obligation (and in the case of employment by or obligation to the Affiliate, at the request of the Affiliate), and/or for valuable consideration, the receipt and sufficiency of which are hereby acknowledged, I/we assign to The Procter & Gamble Company, its legal representatives, successors and assigns, all right, title, and interest, in the United States and throughout the world, in, to and under said invention(s) and all patents, patent applications, patent rights, and inventor's certificates thereof, therefore, and therein, including without limitation said application, all divisions and continuations thereof, all patents which may be granted thereon, all reissues and extensions thereof, all patents which may be granted for said invention(s) by states or nations other than the United States, or by other authority, entity, or organization, and all applications therefore (including the right to claim priority of the filing date of said application in the United States and/or under international conventions), as fully and completely as the same would have been held by me/us had this assignment not been made. The entire right, title and interest shall vest irrevocably in The Procter & Gamble Company. I/We further agree upon request, without additional compensation but at no expense to me/us, to execute or assent to any and all applications for said invention(s), and to execute all other legal documents as may be necessary or desirable to vest the enjoyment of the rights assigned to The Procter & Gamble Company, its legal representatives, successors and assigns, or as said Company may direct.

I/We request and authorize the Commissioner of Patents and Trademarks and/or appropriately empowered officials of foreign countries to issue any and all Patent(s) and/or letters patent(s) which may be granted for said invention(s) herein assigned and as set forth in U. S. Application Serial Number <u>15/042,545</u> to The Procter & Gamble Company, its legal representatives, successors or assigns, as the sole owner of the entire right, title, and interest in said Patent(s).

This GLOBAL GENERAL ASSIGNMENT is effective as of the earlier of 1) the date of execution shown below, or 2) the filing date of the first-filed of said patent application(s).

Signed:

Mathias Kurt HERRLEIN

STATEMENT OF WITNESS:

I, <u>Beate Sauer</u> (name of witness), declare that I was personally present and did see Mathias Kurt HERRLEIN duly sign and execute the above assignment. I make this Statement conscientiously believing it to be true and knowing that it is of the same force and effect as if made under oath or affirmation, and with the knowledge that knowingly making false statements herein may be punishable by law.

BEATTE SAUER Witness printed name

<u>Reate Sauer</u> Witness signature

Signed:

Tatjana SCHAEFER

STATEMENT OF WITNESS:

I, Beate Sauer (name of witness), declare that I was personally present and did see Tatjana SCHAEFER duly sign and execute the above assignment. I make this Statement conscientiously believing it to be true and knowing that it is of the same force and effect as if made under oath or affirmation, and with the knowledge that knowingly making false statements herein may be punishable by law.

SEATE SAUER Witness printed name

<u>/Seale Source</u> Witness signature

Signed: Thedres Andreas FLOHR

<u>25-April-2016</u> Date

STATEMENT OF WITNESS:

I, Beate Sault (name of witness), declare that I was personally present and did see Andreas FLOHR duly sign and execute the above assignment. I make this Statement conscientiously believing it to be true and knowing that it is of the same force and effect as if made under oath or affirmation, and with the knowledge that knowingly making false statements herein may be punishable by law.

BEATE SAUER

Witness printed name

<u>Beak Sauer</u> ness signature

Signed: Uanane Forjoac Marianna FORGIONE

26. April 16

Der la Car

25.4.2016

Witness signature

STATEMENT OF WITNESS:

I, PATRICK McLACC (name of witness), declare that I was personally present and did see Marianna FORGIONE duly sign and execute the above assignment. I make this Statement conscientiously believing it to be true and knowing that it is of the same force and effect as if made under oath or affirmation, and with the knowledge that knowingly making false statements herein may be punishable by law.

PATRICK MeCALL Witness printed name

STATEMENT OF WITNESS:

I, <u>Geate</u> Saues (name of witness), declare that I was personally present and did see Matija CRNE duly sign and execute the above assignment. I make this Statement conscientiously believing it to be true and knowing that it is of the same force and effect as if made under oath or affirmation, and with the knowledge that knowingly making false statements herein may be punishable by law.

<u>ISEATE SAUER</u>

Witness printed name

Beate Saver

Witness signature

Signed: Yonas GIZAW

Date

STATEMENT OF WITNESS:

I, \_\_\_\_\_\_\_\_\_ (name of witness), declare that I was personally present and did see Yonas GIZAW duly sign and execute the above assignment. I make this Statement conscientiously believing it to be true and knowing that it is of the same force and effect as if made under oath or affirmation, and with the knowledge that knowingly making false statements herein may be punishable by law.

Witness printed name

Witness signature

Signed:

Ursula Christina GLASER

STATEMENT OF WITNESS:

I, <u>Sabine</u> <u>Baecker</u> (name of witness), declare that I was personally present and did see Ursula Christina GLASER duly sign and execute the above assignment. I make this Statement conscientiously believing it to be true and knowing that it is of the same force and effect as if made under oath or affirmation, and with the knowledge that knowingly making false statements herein may be punishable by law.

SABINE BAECKER

Witness printed name

<u>ls. Apr. 2016</u> Date

.Saldy\_\_\_\_

#### GLOBAL GENERAL ASSIGNMENT

WHEREAS Wacker Chemie AG, Hanns-Seidel-Platz 4, 81737 Muenchen, DE declares that it is the sole owner of certain invention(s), as set forth in a patent application (including industrial designs and utility models), whether claimed or unclaimed, entitled COMPOSITION FOR FORMING A FILM ON KERATIN FIBRES, filed in the name(s) of The Procter & Gamble Company of One Procter & Gamble Plaza, Cincinnati, Ohio 45202, as Applicant-Assignee and naming Franz Xaver WIMMER and Tassilo NMN HABEREDER as inventors, Attorney's Docket No. CM04173FMQ and filed in the European Patent Office as Number 16155400.1, on February 12, 2016. (Wacker Chemie AG grants the hereinafter named assignee and/or any person authorized thereby, the power to insert the Serial Number and filing date of said application in this document when ascertained.)

For valuable consideration, the receipt and sufficiency of which are hereby acknowledged, Wacker Chemie AG assigns to The Procter & Gamble Company, its legal representatives, successors and assigns, all right, title, and interest owned by Wacker Chemie AG, in the United States and throughout the world, in, to and under said invention(s) and all patents, patent applications, patent rights, and inventor's certificates thereof, therefore, and therein, including without limitation said application, all divisions and continuations thereof, all patents which may be granted thereon, all reissues and extensions thereof, all patents which may be granted for said invention(s) by states or nations other than the United States, or by other authority, entity, or organization, and all applications therefore (including the right to claim priority of the filing date of said application in the United States and/or under international conventions), as fully and completely as the same would have been held by Wacker Chemic AG had this assignment not been made. The entire right, title and interest shall vest irrevocably in The Procter & Gamble Company. Wacker Chemie AG further agrees upon request, without additional compensation, but at no expense to Wacker Chemie AG, to assist The Procter & Gamble Company in obtaining signatures for any applications or any other legal documents as may be necessary or desirable to vest the enjoyment of the rights assigned to The Procter & Gamble Company, its legal representatives, successors and assigns, or as The Procter & Gamble Company may direct.

Wacker Chemie AG requests and authorizes the Commissioner of Patents and Trademarks and/or appropriately empowered officials of foreign countries to issue any and all Patent(s) and/or letters patent(s) which may be granted for said invention(s) herein assigned and as set forth in U. S. Application Serial Number 15/042,545 to The Procter & Gamble Company, its legal representatives, successors or assigns, as the sole owner of the entire right, title, and interest in said Patent(s).

This GLOBAL GENERAL ASSIGNMENT is effective as of the earlier of 1) the date of execution shown below, or 2) the filing date of the first-filed of said patent application(s).

Signed: Resibil 1	H 1 1 2016
For: Wacker Chemie AG	Date O Date
Title Social President ppath	Willion Frienkas

STATEMENT OF WITNESS:

I, Edith Sprenger, declare that I was personally present and did see Dr. Karl-Heinz Rimböck and Dr. Robert Fränkel duly sign and execute the above assignment. I make this Statement conscientiously believing it to be true and knowing that it is of the same force and effect as if made under oath or affirmation, and with the knowledge that knowingly making false statements herein may be punishable by law.

EDITH SPRENGER Witness printed name <u>Edill Critical</u> Witness signature

#### GLOBAL GENERAL ASSIGNMENT

WHEREAS I/we, the undersigned inventor(s) herewith declare that I am the sole inventor/we are the joint inventors and I/we have made certain invention(s), as set forth in a patent application (including industrial designs and utility models), whether claimed or unclaimed, entitled COMPOSITION FOR FORMING A FILM ON KERATIN FIBRES, Attorney's Docket No. CM04173FMQ and filed in the European Patent Office as Number 16155400.1, on February 12, 2016. (I/we grant the hereinafter named assignee and/or any person authorized thereby, the power to insert the Serial Number and filing date of said application in this document when ascertained.)

Franz Xaver WIMMER of Wacker Chemie AG, Hanns-Seidel-Platz 4, 81737 Muenchen, DE; Tassilo NMN HABEREDER of Wacker Chemie AG, Hanns-Seidel-Platz 4, 81737 Muenchen, DE.

I/We made said invention(s) set forth in said patent application while employed by, or otherwise under an obligation to assign said invention(s) to Wacker Chemie AG of Hanns-Seidel-Platz 4, 81737 Muenchen, DE or one of its Affiliates, and acknowledge my/our obligation at the time the invention(s) was made to assign said invention(s) to Wacker Chemie AG or the Affiliate, respectively. Pursuant to said obligation (and in the case of employment by or obligation to the Affiliate, at the request of the Affiliate), and/or for valuable consideration, the receipt and sufficiency of which are hereby acknowledged, I/we assign to Wacker Chemie AG, its legal representatives, successors and assigns, all right, title, and interest, in the United States and throughout the world, in, to and under said invention(s) and all patents, patent applications, patent rights, and inventor's certificates thereof, therefore, and therein, including without limitation said application, all divisions and continuations thereof, all patents which may be granted thereon, all reissues and extensions thereof, all patents which may be granted for said invention(s) by states or nations other than the United States, or by other authority, entity, or organization, and all applications therefore (including the right to claim priority of the filing date of said application in the United States and/or under international conventions), as fully and completely as the same would have been held by me/us had this assignment not been made. The entire right, title and interest shall vest irrevocably in Wacker Chemie AG. I/We further agree upon request, without additional compensation but at no expense to me/us, to execute or assent to any and all applications for said invention(s), and to execute all other legal documents as may be necessary or desirable to vest the enjoyment of the rights assigned to Wacker Chemie AG, its legal representatives, successors and assigns, or as said Company may direct.

I/We request and authorize the Commissioner of Patents and Trademarks and/or appropriately empowered officials of foreign countries to issue any and all Patent(s) and/or letters patent(s) which may be granted for said invention(s) herein assigned and as set forth in U. S. Application Serial Number 15/042,545 to Wacker Chemie AG, its legal representatives, successors or assigns, as the sole owner of the entire right, title, and interest in said Patent(s).

This GLOBAL GENERAL ASSIGNMENT is effective as of the earlier of 1) the date of execution shown below, or 2) the filing date of the first-filed of said patent application(s).

**RECORDED: 09/29/2016** 

Signed: From Never Win Franz Xaver WIMMER

17. 5. 2016 Date

STATEMENT OF WITNESS:

I declare that I was personally present and did see Franz Xaver WIMMER duly sign and execute the above assignment. I make this Statement conscientiously believing it to be true and knowing that it is of the same force and effect as if made under oath or affirmation, and with the knowledge that knowingly making false statements herein may be punishable by law.

Dr. Gunten Mahr Witness printed name

Witness signature

Signed: T. Rock

Tassilo HABEREDER

STATEMENT OF WITNESS:

I declare that I was personally present and did see Tassilo HABEREDER duly sign and execute the above assignment. I make this Statement conscientiously believing it to be true and knowing that it is of the same force and effect as if made under oath or affirmation, and with the knowledge that knowingly making false statements herein may be punishable by law.

Dr Gamther, Make Witness printed name

\_\_\_\_\_\_\_ . <u>\_\_\_\_\_</u>\_\_\_\_\_ Date

Witness signature