

## PATENT ASSIGNMENT COVER SHEET

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EPAS ID: PAT4079766

<b>SUBMISSION TYPE:</b>	NEW ASSIGNMENT
<b>NATURE OF CONVEYANCE:</b>	ASSIGNMENT
<b>CONVEYING PARTY DATA</b>	
<b>Name</b>	<b>Execution Date</b>
MICHAEL M. LIPP	05/11/2013
JEAN C. SUNG	04/17/2013
<b>RECEIVING PARTY DATA</b>	
<b>Name:</b>	PULMATRIX, INC.
<b>Street Address:</b>	99 HAYDEN AVENUE
<b>City:</b>	LEXINGTON
<b>State/Country:</b>	MASSACHUSETTS
<b>Postal Code:</b>	02421
<b>PROPERTY NUMBERS Total: 1</b>	
<b>Property Type</b>	<b>Number</b>
<b>Application Number:</b>	15277062
<b>CORRESPONDENCE DATA</b>	
<b>Fax Number:</b>	
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
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<b>ATTORNEY DOCKET NUMBER:</b>	083277-0492
<b>NAME OF SUBMITTER:</b>	SARAH WALSH
<b>SIGNATURE:</b>	/Sarah Walsh/
<b>DATE SIGNED:</b>	10/03/2016
<b>Total Attachments: 4</b>	
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## ASSIGNMENT BY INVENTORS

**THIS ASSIGNMENT**, made this 17<sup>th</sup> day of April, 2013, by Michael M. Lipp of 45A Flanagan Drive, Framingham, MA 01701 and Jean C. Sung of 259A Putnam Avenue, Cambridge, MA 02139 (hereinafter referred to as Assignors), respectively;

**WHEREAS**, Assignors have invented certain new and useful improvements in MONOVALENT METAL CATION DRY POWDERS FOR INHALATION, set forth in a Patent application for Letters Patent of the United States, already filed on March 27, 2013 as U.S. Application No. 13/876,312; and

**WHEREAS**, Pulmatrix, Inc., a Corporation organized under and pursuant to the laws of the State of Delaware, and having its principal place of business at 99 Hayden Avenue, Lexington, Massachusetts 02421 (hereinafter referred to as Assignee), is desirous of acquiring the entire right, title and interest in and to said inventions and said Application for Letters Patent of the United States, and in and to any Patent including any Letters Patent of the United States to be obtained therefore and thereon.

**NOW, THEREFORE**, in consideration of said Agreements and other good and sufficient consideration, the receipt and sufficiency of which is hereby acknowledged, Assignors have sold, assigned, transferred and set over, and by these presents do sell, assign, transfer and set over, unto Assignee, its successors, legal representatives and assigns, the entire right, title and interest in and to the above-mentioned inventions and application for Letters Patent, and in and to any and all direct and indirect divisions, continuations and continuations-in-part of said application, and in and to any and all Letters Patent in the United States and all foreign countries which may be granted therefor and thereon, and reissues, reexaminations and extensions of said Letters Patent, and all rights under the International Convention for the Protection of Industrial Property, the same to be held and enjoyed by Assignee, for its own use and benefit and the use and benefit of its successors, legal representatives and assigns, to the full end of the term or terms for which Letters Patent may be granted and/or extended, as fully and entirely as the same would have been held and enjoyed by Assignors, had this sale and assignment not been made.

**AND** for the same consideration, Assignors hereby represent and warrant to Assignee, its successors, legal representatives and assigns, that, at the time of execution and delivery of these presents, except for any rights, titles and/or interests that have arisen to Assignee under law or that have already been transferred to Assignee, Assignors are the sole and lawful owners of the entire right, title and interest in and to the said inventions and application for Letters Patent above-mentioned, and that the same are unencumbered and that Assignors have good and full right and lawful authority to sell and convey the same in the manner herein set forth.

**AND** for the same consideration, Assignors hereby covenant and agree to and with Assignee, its successors, legal representatives and assigns, that Assignors will sign all papers and documents, take all lawful oaths and do all acts necessary or required to be done for the procurement, maintenance, enforcement and defense of any Letters Patent and applications for Letters Patent for said inventions, without charge to Assignee, its successors, legal representatives and assigns, whenever counsel of Assignee, or counsel of its successors, legal representatives and assigns, shall advise: that any proceeding in connection with said inventions, or said Patent application for Letters Patent, or any proceeding in connection with any Letters Patent or applications for Letters Patent for said inventions in any country, including but not limited to interference proceedings, is lawful and desirable; or, that any division, continuation or continuation-in-part of any application for Letters Patent, or any reissue, reexamination or extension of any Letters Patent, to be obtained thereon, is lawful and desirable.

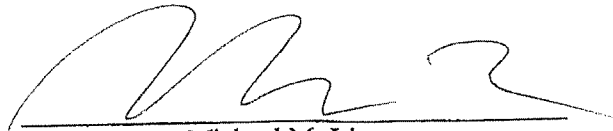
**AND** Assignors hereby request the Commissioner of Patent and Trademarks to issue said Letters Patent of the United States to Assignee, as Assignee of said inventions and the Letters Patent to be issued thereon, for the sole use and benefit of Assignee, its successors, legal representatives and assigns.

**AND** Assignors hereby grant the following individuals the power to insert on this Assignment any further identification which may be necessary or desirable in order to comply with the rules of the United States Patent and Trademark Office for recordation of this document:

MCDERMOTT WILL & EMERY LLP

All practitioners at Customer Number 23630

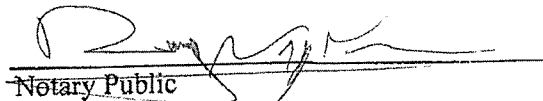
AND Assignors acknowledge an obligation of assignment of this invention to Assignee at the time the invention was made.

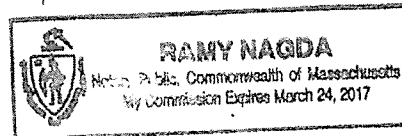
  
Michael M. Lipp

Date: May 11, 2013

United States of America )  
Commonwealth of Massachusetts ) ss.:  
County of Middlesex )

On this 11<sup>th</sup> day of May, 2013, before me  
personally came Michael M. Lipp, to me known to be the individual  
described in and who executed the foregoing instrument, and acknowledged execution  
of the same.

  
Notary Public



Jean C. Sung  
Jean C. Sung

Date: 17 APR 2013

United States of America )  
Commonwealth of Massachusetts ) ss.:  
County of Middlesex )

On this 17<sup>th</sup> day of April, 2013, before me  
personally came Jean C. Sung, to me known to be the individual  
described in and who executed the foregoing instrument, and acknowledged execution  
of the same.

Jean M. DeCoster  
Notary Public

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