

PATENT ASSIGNMENT COVER SHEET

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SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
DAIICHI SANKYO COMPANY, LIMITED	05/25/2016
RECEIVING PARTY DATA	
Name:	DAIICHI SANKYO COMPANY, LIMITED
Street Address:	3-5-1, NIHONBASHI HONCHO, CHUO-KU
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State/Country:	JAPAN
Postal Code:	1038426
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City:	MARTINSRIED
State/Country:	GERMANY
Postal Code:	82152
PROPERTY NUMBERS Total: 1	
Property Type	Number
Application Number:	15285156
CORRESPONDENCE DATA	
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ATTORNEY DOCKET NUMBER:	111119-0109
NAME OF SUBMITTER:	SHIN-SHYAN JEN
SIGNATURE:	/SHIN-SHYAN JEN/ for Michael D. Kaminski
DATE SIGNED:	10/04/2016
Total Attachments: 2	

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ASSIGNMENT

For good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, each undersigned (hereinafter referred to singly and collectively as "ASSIGNOR") has sold, assigned, and transferred, and by these presents hereby sells, assigns, and transfers, unto

DAIICHI SANKYO COMPANY, LIMITED
3-5-1, Nihonbashi Honcho, Chuo-ku
Tokyo, 103-8426 Japan

U3 PHARMA GMBH
Fraunhoferstr. 22
82152 Martinsried
Germany

(hereinafter referred to singly and collectively as "ASSIGNEE") its successors and assigns, the full and exclusive right, title and interest for the United States, its territories and possessions in and to this invention relating to

ANTI-HER3 ANTIBODY-DRUG CONJUGATE

as set forth in this United States Patent Application

Check
one ☒ executed concurrently herewith
☐ executed on _____
☐ Serial No. _____ Filed _____
and

as well as in and to (a) all improvements and modifications of the above-identified invention or inventions, (b) the above-identified application and all other applications for Letters Patent of the United States for above-identified invention or inventions and all improvements and modifications thereof, (c) all Letters Patent which may issue from said applications in the United States, (d) all divisions, continuations, reissues, and extensions of said applications and Letters Patent, and (e) the right to claim for any of said applications the full benefits and priority rights under the Patent Cooperation Treaty, the Paris Convention and any other international agreement; such right, title, and interest to be held and enjoyed by ASSIGNEE, its successors and assigns, to the full end of the term or terms for which any and all such Letters Patent may be granted as fully and entirely as would have been held and enjoyed by ASSIGNOR had this Assignment not been made.

ASSIGNOR HEREBY AUTHORIZES AND REQUESTS the Commissioner of Patents and Trademarks to issue said Letters Patent to ASSIGNEE as assignee of the entire interest, for the sole use and benefit of ASSIGNEE, its successors and assigns.

ASSIGNOR HEREBY AGREES (a) to communicate to ASSIGNEE, its successors and assigns, or their representative or agents, all facts and information known or available to ASSIGNOR respecting said invention or inventions, improvements, and modifications including evidence for interference, reexamination, reissue, opposition, revocation, extension, or infringement purposes or other legal, judicial, or administrative proceedings, whenever requested by ASSIGNEE; (b) to testify in person or by affidavit as required by ASSIGNEE, its successors and assigns, in any such proceeding in the United States; (c) to execute and deliver, upon request by ASSIGNEE, all lawful papers including, but not limited to, original, divisional, continuation, and reissue applications, renewals, assignments, powers of attorney, oaths, affidavits, and declarations, depositions; and (d) to provide all reasonable assistance to ASSIGNEE, its successors and assigns, in obtaining and enforcing proper title in and protection for said invention or inventions, improvements, and modifications under the intellectual property laws of the United States and countries foreign thereto.

ASSIGNOR HEREBY REPRESENTS AND WARRANTS that ASSIGNOR has the full and unencumbered right to sell, assign, and transfer the interests sold, assigned, and transferred herein, and that ASSIGNOR has not executed and will not execute any document or instrument in conflict herewith.

ASSIGNOR HEREBY GRANTS to the law firm of **Foley & Lardner LLP** the power and authority to insert in this Assignment any further identification which may be necessary or desirable to comply with the rules of the U.S. Patent and Trademark Office for recordation of this Assignment.

ASSIGNOR UNDERSTANDS AND AGREES that the attorneys and agents of the law firm of **Foley & Lardner LLP** do not personally represent ASSIGNOR OR ASSIGNOR's legal interests, but instead represent the interests of ASSIGNEE; since said attorneys and agents cannot provide legal advice to ASSIGNOR with respect to this Assignment, ASSIGNOR acknowledges its right to seek its own independent legal counsel.

DAIICHI SANKYO COMPANY, LIMITED

Date: May 25, 2016

By: 

Name: Kazuo SATO

Title: Corporate Officer, Vice President, Intellectual Property